



# REPORT TO COUNCIL

## City of Sacramento

4

915 I Street, Sacramento, CA 95814-2604  
www.CityofSacramento.org

Consent  
February 23, 2010

Honorable Mayor and  
Members of the City Council

**Title: Grant Application: Annual Federal Funds for California Task Force Seven (CA TF-7), Urban Search and Rescue (US&R) Team**

**Location/Council District:** Citywide

**Recommendation:** Adopt a **Resolution: 1)** Authorizing the City Manager, or his designee, to apply, sign and submit documentation for annual federal funding from the Department of Homeland Security (DHS)/Federal Emergency Management Agency (FEMA) for the California Task Force Seven, Urban Search and Rescue Team (CA TF-7 US&R); **2)** Accepting federal funds, if awarded, in amount awarded; **3)** Establishing an operating grant for CA TF-7 US&R (G12501000) including revenue and expenditure budgets for the CA TF-7 US&R program; **4)** Accepting all eligible, grant related expenditures to the CA TF-7 US&R grant (G12501000) beginning May 1, 2010.

**Contact:** Ed Vasques, Assistant Fire Chief, 264-7522; Denise Pinkston-Maas, Support Services Manager, 808-1604

**Presenters:** N/A

**Department:** Fire

**Division:** Special Operations

**Organization No:** 12001431

### Description/Analysis

**Issue:** The City of Sacramento is the sponsoring agency for US&R CA TF-7. Each year the City applies for annual federal funding from DHS/FEMA for the operation of CA TF-7. This report is recommending that the City Council authorize the City Manager, or his designee, to apply for the federal funding for Federal Fiscal year 2010 (Federal Fiscal year is October 1, 2009 through September 30, 2010), and accept any funds awarded to CA TF-7. Per FEMA, the amount of funding per award, subject to availability, is estimated to range from \$1,001,900 to \$1,050,900.

**Policy Considerations:** The US&R grant funds will provide federal funds that will be used to staff, train and equip a regional/national emergency response team.

Application for, and acceptance of the federal US&R funding is consistent with City Council action relative to state and federal grant awards.

**Environmental Considerations:**

**California Environmental Quality Act (CEQA):** Ongoing administrative maintenance activities, such as purchases of supplies, equipment or materials which are not made for purposes of a public construction project, do not constitute a "project" and are exempt from the California Environmental Quality Act (CEQA). CEQA Guidelines, Section 15061(b)(1), 15378(b)(3).

**Sustainability Considerations:** There are no sustainability considerations applicable to the applying and acceptance of federal funding reimbursing DHS/FEMA directed activities.

**Commission/Committee Action:** None.

**Rationale for Recommendation:** The City of Sacramento Fire Department is the sponsoring agency for the CA TF-7 US&R Team. The team also includes the following participating agencies: County of Sacramento - Airport System, City of Folsom Fire Department, City of West Sacramento Fire Department, Cosumnes Community Services District (CSD) Fire Department, FBA, Inc., Roseville Fire Department, Sacramento Metropolitan Fire District, and various affiliated personnel.

The City of Sacramento Fire Department is responsible for organizing, equipping, and maintaining the specialized equipment cache, training task force personnel, and mobilizing the team upon activation. The application process is the first step in the receipt of the annual federal US&R funds. Staff recommends authorization for the City Manager, or his designee, to sign and submit documentation for annual federal grant funding; and if awarded, accept this funding to continue the operations of CA TF-7. See Exhibit A: "Listing of documents available for review on the City of Sacramento's web site and on file with the City Clerk's Office ([http://sacramento.granicus.com/ViewPublisher.php?view\\_id=8](http://sacramento.granicus.com/ViewPublisher.php?view_id=8))".

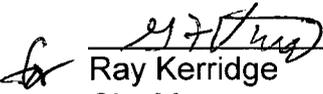
**Financial Considerations:** The approval and adoption of the attached Resolution, is not requesting an augmentation to the Fire Department budget. The CA TF-7 US&R grant funds will be used for personnel costs, training, storage and maintenance, and purchase of supplies and equipment in order to maintain a state of readiness, and to meet the grant reporting and audit requirements. Staff is recommending that an operating grant be established with a revenue and expenditure budget to match the final grant when awarded. If the grant is not awarded, the program ends with the last approved cooperative agreement and funding.

**Emerging Small Business Development (ESBD):** Staff will follow the emerging and small business guidelines when purchasing any supplies or services under this grant.

Respectfully Submitted by:   
Ed Vasques  
Assistant Chief

Approved by:   
for Ray S. Jones  
Fire Chief

Recommendation Approved:

  
Ray Kerridge  
City Manager

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<b>Attachments</b>	
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**RESOLUTION NO.**

Adopted by the Sacramento City Council

February 23, 2010

**GRANT APPLICATION: ANNUAL FEDERAL FUNDS FOR CALIFORNIA TASK FORCE SEVEN (CA TF-7), URBAN SEARCH AND RESCUE (US&R) TEAM**

**BACKGROUND**

- A. The CA TF-7, US&R Team, sponsored by the City of Sacramento, consists of personnel from the City of Sacramento Fire Department, various other participating agencies and affiliated personnel. The Sacramento Fire Department, representing the City as the sponsoring agency, is responsible for organizing, equipping, and maintaining the specialized equipment cache, training task force personnel, and mobilizing the team upon activation.
- B. Each year the City of Sacramento Fire Department, as the sponsoring agency, must submit an application to the Department of Homeland Security/Federal Emergency Management Agency (DHS/FEMA) to receive annual funding to support the administrative requirements, training, equipment maintenance and/or purchases in order to ensure the task force readiness of CA TF-7. If the grant is not awarded, the program ends with the last approved cooperative agreement and funding.

**BASED ON THE FACTS SET FORTH IN THE BACKGROUND, THE CITY COUNCIL RESOLVES AS FOLLOWS:**

- Section 1. The City Manager, or his designee, is authorized to apply, sign and submit documentation for annual federal funding from DHS/FEMA for the California Task Force Seven, Urban Search and Rescue Team (CA TF-7 US&R);
- Section 2. The City Manager, or his designee, is authorized to accept federal funds in the amount awarded;
- Section 3. An operating grant for CA TF-7 US&R (G12501000) including revenue and expenditure budgets for the CA TF-7 US&R program shall be established;
- Section 4. All eligible CA TF-7 US&R grant (G12501000) related expenditures beginning May 1, 2010 are accepted.

**Table of Contents:**

Exhibit A Listing of documents available for review on the City of Sacramento's web site and on file with the City Clerk's Office  
([http://sacramento.granicus.com/ViewPublisher.php?view\\_id=8](http://sacramento.granicus.com/ViewPublisher.php?view_id=8))

## EXHIBIT A

Listing of documents available for review on the City of Sacramento's website ([http://sacramento.granicus.com/ViewPublisher.php?view\\_id=8](http://sacramento.granicus.com/ViewPublisher.php?view_id=8)) and on file with the City Clerk's Office:

- California Task Force Seven (CA TF-7) Application to Department of Homeland Security (DHS)/Federal Emergency Management Agency (FEMA), 2009 Urban Search & Rescue Program Grant:
  1. SF 424 – Application
  2. Assurances Non-Construction Programs
  3. Disclosure of Lobbying Activities



# City of Sacramento

Tax ID # if applicable:

Requires Council Approval:  No  YES Meeting: 2-23-10

Real Estate  Other Party Signature Needed  Recording Requested

## General Information

Type: Grant	PO Type: Select PO Type	Attachment: Original No.:
\$ Not to Exceed: \$ 1,041,900.00		Original Doc Number:
Other Party: Federal Emergency Management Agency (FEMA)		Certified Copies of Document:: 3
Project Name: Annual US&R Grant application and assurance		Deed: <input checked="" type="checkbox"/> None <input type="checkbox"/> Included <input type="checkbox"/> Separate
Project Number: G12501000	Bid Transaction #:	E/SBE-DBE-M/WBE:

## Department Information

Department: Fire Division: Fiscal  
 Project Mgr: Greta Ossman Supervisor: Denise Pinkston-Maas  
 Contract Services: Steven Sakakihara Date: 2-17-10 Division Mgr: Denise Pinkston-Maas  
 Phone Number: 808-1349 Org Number: 12001031  
 Comment:

## Review and Signature Routing

Department	Signature or Initial	Date
Project Mgr:		
Accounting:		
Contract Services:	SS	2/17/10
Supervisor:		
Division Manager:		

City Attorney	Signature or Initial	Date
City Attorney:	JW	2/18/10

Send Interoffice Mail  Notify for Pick Up

Authorization	Signature or Initial	Date
Jones, Ray Department Director:		
City Mgr: yes <input checked="" type="checkbox"/> No <input type="checkbox"/>		

Contract Cover/Routing Form: Must Accompany ALL Contracts; however, is not part of the contract. (01-01-09)

**For City Clerk Processing**

**Finalized:**  
Initial: \_\_\_\_\_  
Date: \_\_\_\_\_

**Imaged:**  
Initial: \_\_\_\_\_  
Date: \_\_\_\_\_

**Received:**  
(City Clerk Stamp Here)

**APPLICATION FOR  
FEDERAL ASSISTANCE**

Version 7/03

<b>1. TYPE OF SUBMISSION:</b>  Application <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction  Pre-application <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction		<b>2. DATE SUBMITTED</b>  <p style="text-align: center;"><b>March 12, 2010</b></p>	Applicant Identifier  <p style="text-align: center;"><b>0</b></p>
		<b>3. DATE RECEIVED BY STATE</b>	State Application Identifier  <p style="text-align: center;"><b>0</b></p>
		<b>4. DATE RECEIVED BY FEDERAL AGENCY</b>	Federal Identifier

**5. APPLICANT INFORMATION**

<b>Legal Name:</b> City of Sacramento Fire Department	<b>Organizational Unit:</b> 0
<b>Organizational DUNS:</b> 138929133	<b>Department:</b> Fire
<b>Address:</b> 5770 Freeport Boulevard	<b>Division:</b> Special Operations
<b>Street:</b> Suite 200	<b>Name and telephone number of person to be contacted on matters involving this application (give area code)</b>
<b>City:</b> Sacramento	<b>Prefix:</b> 0   <b>First Name:</b> Ed
<b>County:</b> Sacramento	<b>Middle Name:</b>
<b>State:</b> CA	<b>Last Name:</b> Vasques
<b>Country:</b> USA	<b>Suffix:</b>

<b>6. EMPLOYER IDENTIFICATION NUMBER (EIN):</b>  94-6000410	<b>Email:</b> <a href="mailto:evasques@sfd.cityofsacramento.org">evasques@sfd.cityofsacramento.org</a>
	<b>Phone Number:</b> 916-264-7522   <b>Fax Number:</b> 916-264-5060

<b>8. TYPE OF APPLICATION:</b> <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision If Revision, enter appropriate letter(s) in box(es) See back of Form for description of letters  Other (Specify):	<b>7. TYPE OF APPLICANT:</b> (See back of Form for Application Types)  <p style="text-align: center;">c. Municipal</p>
	<b>9. NAME OF FEDERAL AGENCY:</b> U.S. Department of Homeland Security / Federal Emergency Management Agency (FEMA)

<b>10. CATALOG OF FEDERAL DOMESTIC ASSISTANCE NUMBER:</b>  TITLE: Urban Search & Rescue Response System 9 7 0 2 5	<b>11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT:</b>  <p style="text-align: center;">Urban Search &amp; Rescue Response System</p>
<b>12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.):</b>  Statewide	

<b>13. PROPOSED PROJECT:</b> Coordinate Statewide Emergency Management Program <b>Start Date:</b> 5/1/2010 <b>Ending Date:</b> 10/31/2011	<b>14. CONGRESSIONAL DISTRICTS OF:</b> a. Applicant: 3, 4, 5, 11    b. Project: 1, 6, 8, 9
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<b>15. ESTIMATED FUNDING:</b> <table border="1"> <tr><td>a. Federal</td><td>\$</td><td>1,041,900 .00</td></tr> <tr><td>b. Applicant</td><td></td><td>.00</td></tr> <tr><td>c. State</td><td>\$</td><td>.00</td></tr> <tr><td>d. Local</td><td></td><td>.00</td></tr> <tr><td>e. Other</td><td></td><td>.00</td></tr> <tr><td>f. Program Income</td><td>\$</td><td>.00</td></tr> <tr><td>g. TOTAL</td><td>\$</td><td>1,041,900 .00</td></tr> </table>	a. Federal	\$	1,041,900 .00	b. Applicant		.00	c. State	\$	.00	d. Local		.00	e. Other		.00	f. Program Income	\$	.00	g. TOTAL	\$	1,041,900 .00	<b>16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?</b> a. YES <input checked="" type="checkbox"/> THIS PREAPPLICATION/APPLICATION WAS MADE AVAILABLE TO THE STATE EXECUTIVE ORDER 12372 PROCESS FOR REVIEW ON:  DATE: January 0, 1900  b. NO. <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E.O. 12372 <input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW
a. Federal	\$	1,041,900 .00																				
b. Applicant		.00																				
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g. TOTAL	\$	1,041,900 .00																				
	<b>17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT?</b>  <input type="checkbox"/> Yes If "Yes," attach an explanation <input checked="" type="checkbox"/> No																					

18. TO THE BEST OF MY KNOWLEDGE AND BELIEF, ALL DATA IN THIS APPLICATION/PREAPPLICATION ARE TRUE AND CORRECT, THE DOCUMENT HAS BEEN DULY AUTHORIZED BY THE GOVERNING BODY OF THE APPLICANT AND THE APPLICANT WILL COMPLY WITH THE ATTACHED ASSURANCES IF THE ASSISTANCE IS AWARDED.

<b>a. Authorized Representative:</b>	
<b>Prefix:</b>	<b>First Name:</b> Ray
<b>Last Name:</b> Kerridge	<b>Middle Name:</b>
<b>b. Title:</b> City Manager	<b>Suffix:</b>
<b>d. Signature of Authorized Representative:</b>	<b>c. Telephone Number:</b> (916) 808-1601
	<b>e. Date Signed:</b>

APPROVED AS TO FORM:  
  
 CITY ATTORNEY

**APPLICATION FOR  
FEDERAL ASSISTANCE**

Version 7/03

1. TYPE OF SUBMISSION:		2. DATE SUBMITTED <b>March 12, 2010</b>	Applicant Identifier <b>0</b>
<input type="checkbox"/> Application <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction	<input type="checkbox"/> Pre-application <input type="checkbox"/> Construction <input type="checkbox"/> Non-Construction	3. DATE RECEIVED BY STATE	State Application Identifier <b>0</b>
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Organizational DUNS: <b>138929133</b>	Department: <b>Fire</b>
Address: <b>5770 Freeport Boulevard</b>	Division: <b>Special Operations</b>
Street: <b>Suite 200</b>	Name and telephone number of person to be contacted on matters involving this application (give area code)
City: <b>Sacramento</b>	Prefix: <b>0</b> First Name: <b>Ed</b>
County: <b>Sacramento</b>	Middle Name:
State: <b>CA</b>	Last Name: <b>Vasques</b>
Country: <b>USA</b>	Suffix:
6. EMPLOYER IDENTIFICATION NUMBER (EIN): <b>94-6000410</b>	Email: <b>evasques@sfd.cityofsacramento.org</b>
	Phone Number: <b>916-264-7522</b> Fax Number: <b>916-264-5060</b>
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12. AREAS AFFECTED BY PROJECT (Cities, Counties, States, etc.): <b>Statewide</b>	11. DESCRIPTIVE TITLE OF APPLICANT'S PROJECT: <b>Urban Search &amp; Rescue Response System</b>

13. PROPOSED PROJECT: <b>Coordinate Statewide Emergency Management Program</b>	14. CONGRESSIONAL DISTRICTS OF:
Start Date: <b>5/1/2010</b> Ending Date: <b>10/31/2011</b>	a. Applicant: <b>3, 4, 5, 11</b> b. Project: <b>1, 6, 8, 9</b>
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b. Applicant .00	b. NO <input checked="" type="checkbox"/> PROGRAM IS NOT COVERED BY E.O. 12372 <input type="checkbox"/> OR PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW
c. State \$ .00	17. IS THE APPLICANT DELINQUENT ON ANY FEDERAL DEBT? <input type="checkbox"/> Yes If "Yes," attach an explanation <input checked="" type="checkbox"/> No
d. Local .00	
e. Other .00	
f. Program Income \$ .00	
g. TOTAL \$ <b>1,041,900 .00</b>	

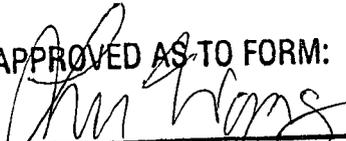
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a. Authorized Representative:

Prefix:	First Name: <b>Ray</b>	Middle Name:
Last Name: <b>Kerridge</b>	Suffix:	
b. Title: <b>City Manager</b>	c. Telephone Number: <b>(916) 808-1601</b>	
d. Signature of Authorized Representative:	e. Date Signed:	

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Standard Form 424 (Rev.09-2003)  
Prescribed by OMB Circular A-102

**APPROVED AS TO FORM:**  
  
**CITY ATTORNEY**

## ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

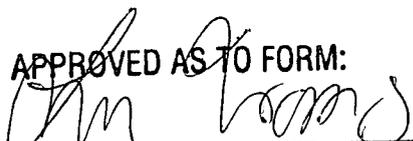
As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

<p>* SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL</p> <p>Completed on submission to Grants.gov</p>	<p>* TITLE</p> <p><input type="text"/></p>
<p>* APPLICANT ORGANIZATION</p> <p><input type="text"/></p>	<p>* DATE SUBMITTED</p> <p>Completed on submission to Grants.gov</p>

Standard Form 424B (Rev. 7-97) Back

APPROVED AS TO FORM:  
  
 \_\_\_\_\_  
 CITY ATTORNEY

## ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503.

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As the duly authorized representative of the applicant, I certify that the applicant:

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2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and, (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally-assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.

<p>* SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL</p> <p>Completed on submission to Grants.gov</p>	<p>* TITLE</p> <p><input type="text"/></p>
<p>* APPLICANT ORGANIZATION</p> <p><input type="text"/></p>	<p>* DATE SUBMITTED</p> <p>Completed on submission to Grants.gov</p>

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APPROVED AS TO FORM:

*Chris Wang*

CITY ATTORNEY

## DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352  
(See reverse for public burden disclosure.)

Approved by OMB  
0348-0046

<b>1. Type of Federal Action:</b> <input type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	<b>2. Status of Federal Action:</b> <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	<b>3. Report Type:</b> <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change <b>For Material Change Only:</b> year _____ quarter _____ date of last report _____
<b>4. Name and Address of Reporting Entity:</b> <input type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known:  <b>Congressional District, if known:</b>	<b>5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime:</b>   <b>Congressional District, if known:</b>	
<b>6. Federal Department/Agency:</b>	<b>7. Federal Program Name/Description:</b>  CFDA Number, if applicable: _____	
<b>8. Federal Action Number, if known:</b>	<b>9. Award Amount, if known:</b> \$ _____	
<b>10. a. Name and Address of Lobbying Entity</b> <i>(if individual, last name, first name, MI):</i>	<b>b. Individuals Performing Services</b> <i>(including address if different from No. 10a)</i> <i>(last name, first name, MI):</i>	
<i>(attach Continuation Sheet(s) SF-LLLA, if necessary)</i>		
<b>11. Amount of Payment</b> <i>(check all that apply):</i> \$ _____ <input type="checkbox"/> actual <input type="checkbox"/> planned	<b>13. Type of Payment</b> <i>(check all that apply):</i> <input type="checkbox"/> a. retainer <input type="checkbox"/> b. one-time fee <input type="checkbox"/> c. commission <input type="checkbox"/> d. contingent fee <input type="checkbox"/> e. deferred <input type="checkbox"/> f. other; specify: _____	
<b>12. Form of Payment</b> <i>(check all that apply):</i> <input type="checkbox"/> a. cash <input type="checkbox"/> b. in-kind; specify: nature _____ value _____		
<b>14. Brief Description of Services Performed or to be Performed and Date(s) of Service, including officer(s), employee(s), or Member(s) contacted, for Payment Indicated in Item 11:</b>     <i>(attach Continuation Sheet(s) SF-LLLA, if necessary)</i>		
<b>15. Continuation Sheet(s) SF-LLLA attached:</b> <input type="checkbox"/> Yes <input type="checkbox"/> No		
<b>16.</b> Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: _____ Print Name: _____ Title: _____ Telephone No.: _____ Date: _____	
<b>Federal Use Only:</b>		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

## INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Use the SF-LLLA Continuation Sheet for additional information if the space on the form is inadequate. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying entity engaged by the reporting entity identified in item 4 to influence the covered Federal action.  
  
(b) Enter the full names of the individual(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
11. Enter the amount of compensation paid or reasonably expected to be paid by the reporting entity (item 4) to the lobbying entity (item 10). Indicate whether the payment has been made (actual) or will be made (planned). Check all boxes that apply. If this is a material change report, enter the cumulative amount of payment made or planned to be made.
12. Check the appropriate box(es). Check all boxes that apply. If payment is made through an in-kind contribution, specify the nature and value of the in-kind payment.
13. Check the appropriate box(es). Check all boxes that apply. If other, specify nature.
14. Provide a specific and detailed description of the services that the lobbyist has performed, or will be expected to perform, and the date(s) of any services rendered. Include all preparatory and related activity, not just time spent in actual contact with Federal officials. Identify the Federal official(s) or employee(s) contacted or the officer(s), employee(s), or Member(s) of Congress that were contacted.
15. Check whether or not a SF-LLLA Continuation Sheet(s) is attached.
16. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 30 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.