

**Meeting Date:** 9/2/2014

**Report Type:** Review

**Report ID:** 2014-00644

**Title: (Agreement/Contract for Review and Information) Workers' Compensation Legal Services Agreements**

**Location:** Citywide

**Recommendation:** Review a report 1) awarding seven legal services agreements for workers' compensation legal services for contract terms of three years with a total combined not to exceed amount of \$1,975,000; 2) authorizing the City Attorney or designee to execute the agreements; and 3) continue to September 9, 2014 for approval.

**Contact:** Geri Hamby, Director, (916) 808-7173; Patrick Flaherty, Risk Manager, (916) 808-8587, Department of Human Resources

**Presenter:** None

**Department:** Human Resources

**Division:** Workers' Compensation

**Dept ID:** 08001371

**Attachments:**

- 1-Description/Analysis
- 2-Attachment 1 - Bradford
- 3-Attachment 2 - Cuneo
- 4-Attachment 3 - Hanna
- 5-Attachment 4 - Laughlin
- 6-Attachment 5 - Lenahan
- 7-Attachment 6 - Mullen
- 8-Attachment 7 - Twohy

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**City Attorney Review**

Approved as to Form  
Sandra Talbott  
8/27/2014 11:32:54 AM

**Approvals/Acknowledgements**

Department Director or Designee: Geri Hamby - 8/18/2014 11:01:04 AM

**Description/Analysis**

**Issue Detail:** The City has self-insured and self-administered its workers’ compensation claims since the 1980s. The City receives approximately 650 new workers’ compensation injury claims a year and approximately 70 of these claims will involve litigation. The City contracts out its workers’ compensation defense work to outside attorney firms and has done so since the 1980s. Workers’ compensation legal services are contracted out due to the high degree of specialization required to handle this type of litigation. Most workers’ compensation defense attorneys specialize in this field and it is advantageous to the City to work with firms that specialize in the defense of workers’ compensation litigation.

The City pays out approximately \$550,000 per year to outside workers’ compensation legal defense firms. Workers’ compensation defense firms interested in working with the city are required to annually participate in a request for proposal process managed by the City Attorney’s Office. Successful firms are placed on a panel of firms that may then be selected to provide legal services. Contract amounts are based on the historical participation of the firm in the city workers’ compensation program as well as on past performance and the area of legal specialty of the firm.

Attachment 1	Bradford & Barthel, LLP	\$ 50,000
Attachment 2	Cuneo, Black, Ward & Missler	\$ 525,000
Attachment 3	Hanna Brophy	\$ 625,000
Attachment 4	Laughlin, Falbo, Levy & Moresi, LLP	\$ 150,000
Attachment 5	Lenahan, Lee, Slater & Pearse, LLP	\$ 190,000
Attachment 6	Mullen & Filippi	\$ 90,000
Attachment 7	Twohy, Darneille & Frye	\$ 345,000

**Policy Considerations:** The City utilizes attorneys that specialize in workers’ compensation legal defense work to defend the City in workers’ compensation litigation. This is the most cost efficient method of providing legal services for workers’ compensation defense.

**Economic Impacts:** None

**Environmental Considerations:** None

**Sustainability:** There are no sustainability considerations applicable to this action.

**Commission/Committee Action:** None

**Rationale for Recommendation:** Workers’ compensation defense firms interested in working with the City are invited to submit an application to the City Attorney’s Office for review. The City Attorney’s Office reviews each firm’s application and selects a panel of firms qualified to

provide workers' compensation legal services to the City. The recommendation is to enter into contracts with each of the seven firms identified above for a period of three years.

**Financial Considerations:** The annual cost for workers' compensation legal services is approximately \$550,000. The not-to-exceed amount in the contracts with the seven firms is \$1,975,000 for three years. This amount is budgeted in the workers' compensation fund, 6504, and the corresponding expenditure budgets are established, subject to funding, annually through the annual budget process for the duration of the contract.

**Local Business Enterprise (LBE):** None



Requires Council Approval:  No  YES Meeting:

Real Estate  Other Party Signature Needed  Recording Requested

General Information

Type: Professional Services \$ Not to Exceed: \$50,000	PO Type: Select PO Type	Attachment: Original No.: Original Doc Number:
Other Party: Bradford & Barthel, LLP		Certified Copies of Document::
Project Name: Workers' Compensation Legal Services		Deed: <input type="checkbox"/> None <input type="checkbox"/> Included <input type="checkbox"/> Separate
Project Number:	Bid Transaction #:	E/SBE-DBE-M/WBE:

Department Information

Department: Human Resources Division: Risk Management  
 Project Mgr: Supervisor: Edna Young  
 Contract Services: Date: Division Mgr: Patrick Flaherty  
 Phone Number: 808-5741 Org Number: 08001371  
 Comment:

Review and Signature Routing

Department	Signature or Initial	Date
Project Mgr:		
Accounting:		
Contract Services:		
Supervisor:		
Division Manager:		

City Attorney	Signature or Initial	Date
City Attorney:	<i>[Signature]</i>	8-22-14

Send Interoffice Mail  Notify for Pick Up

Authorization	Signature or Initial	Date
Choose Director Department Director:		
City Mgr: yes <input type="checkbox"/> No <input type="checkbox"/>		

**For City Clerk Processing**

**Finalized:**  
Initial: \_\_\_\_\_  
Date: \_\_\_\_\_

**Imaged:**  
Initial: \_\_\_\_\_  
Date: \_\_\_\_\_

**Received:**  
(City Clerk Stamp Here)

Contract Cover/Routing Form: Must Accompany ALL Contracts; however, is not part of the contract. (01-01-09)

CITY OF SACRAMENTO  
**WORKERS COMPENSATION  
MASTER LEGAL SERVICES AGREEMENT**

**THIS AGREEMENT** is made at Sacramento, California, as of September 13, 2014, by and between the **CITY OF SACRAMENTO**, a municipal corporation ("CITY"), and

**Bradford & Barthel, LLP  
2518 River Plaza Drive  
Sacramento, CA 95833  
Atten: Donald R. Barthel  
Phone: (916) 569-0790 Fax: (916) 569-0799**

("ATTORNEY"), who agree as follows:

1. **Services.** Subject to the terms and conditions set forth in this Agreement, ATTORNEY shall provide to CITY the services described in Exhibit A. ATTORNEY shall not be compensated for services outside the scope of Exhibit A unless prior to the commencement of such services: (a) ATTORNEY notifies CITY and CITY agrees that such services are outside the scope of Exhibit A; (b) ATTORNEY estimates the additional compensation required for these additional services; and (c) CITY, after notice, approves in writing a Supplemental Agreement specifying the additional services and amount of compensation therefor.
2. **Fees and Costs.** CITY shall compensate ATTORNEY for legal services rendered at the hourly billing rates set forth in Exhibit B.
3. **General Provisions.** The General Provisions set forth in Exhibit C are part of this Agreement. In the event of any conflict between the General Provisions and any terms or conditions of any document prepared or provided by ATTORNEY and made a part of this Agreement, including without limitation any document relating to the scope of services or payment therefor, the General Provisions shall control over said terms or conditions.
4. **CITY Representative.** The CITY Representative(s) specified in Exhibit A, or the Representative's designee, shall administer this Agreement for CITY.
5. **Non-Discrimination in Employee Benefits.** This Agreement is subject to the provisions of Sacramento City Code Chapter 3.54, Non-Discrimination in Employee Benefits by City Contractors. The requirements of Sacramento City Code Chapter 3.54 are summarized in Exhibit D. ATTORNEY is required to sign the attached Declaration of Compliance (Equal Benefits Ordinance), to assure compliance with these requirements.
6. **Authority.** The person signing this Agreement for ATTORNEY hereby represents and warrants that he/she is fully authorized to sign this Agreement on behalf of ATTORNEY and to bind ATTORNEY to the performance of its obligations hereunder.
7. **Exhibits.** All exhibits referred to herein are attached hereto and are by this reference incorporated as if set forth fully herein.

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LEGAL SERVICES AGREEMENT

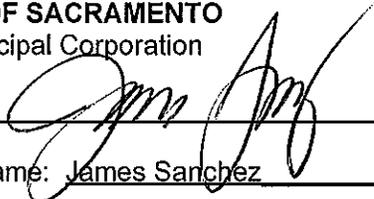
(Dated: 4/5/2011)

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Executed as of the day and year first above stated.

**CITY OF SACRAMENTO**  
A Municipal Corporation

By: 

Print name: James Sanchez

Title: City Attorney

APPROVED TO AS FORM:

  
ASSISTANT City Attorney

ATTEST:

\_\_\_\_\_  
City Clerk

Attachments

- Exhibit A - Scope of Service
- Exhibit B - Fee Schedule/Manner of Payment
- Exhibit C - General Provisions
- Exhibit D - Non-Discrimination in Employee Benefits
- Exhibit E - Workers' Compensation Referral Worksheet

**ATTORNEY:**

\_\_\_\_\_

\_\_\_\_\_  
Federal I.D. No.

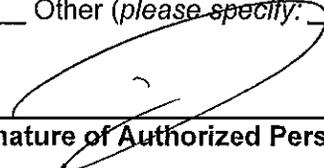
\_\_\_\_\_  
State I.D. No.

\_\_\_\_\_  
City of Sacramento Business Op. Tax Cert. No.

TYPE OF BUSINESS ENTITY (check one):

- Individual/Sole Proprietor
- Partnership
- Corporation
- Limited Liability Company
- Other (please specify: \_\_\_\_\_)

**Signature of Authorized Person**

  
Title Founding Partner

\_\_\_\_\_  
Additional Signature (if required)

\_\_\_\_\_  
Title

**DECLARATION OF COMPLIANCE  
Equal Benefits Ordinance**

Name of ATTORNEY: Donald R. Barthel, for and on behalf of Bradford & Barthel, LLP

Address: 2518 River Plaza Drive, Sacramento, CA 95833

The above named ATTORNEY ("Contractor") hereby declares and agrees as follows:

1. I have read and understand the Requirements of the Non-Discrimination In Employee Benefits Code (the "Requirements") provided to me by the City of Sacramento ("City") and attached as Exhibit D to my City contract or agreement ("Contract").
2. As a condition of receiving the City Contract, I agree to fully comply with the Requirements, as well as any additional requirements that may be specified in the City's Non-Discrimination In Employee Benefits Code codified at Chapter 3.54 of the Sacramento City Code (the AOrdinance@).
3. I understand, to the extent that such benefits are not preempted or prohibited by federal or state law, employee benefits covered by the Ordinance, are any of the following:
  - a. Bereavement Leave
  - b. Disability, life, and other types of insurance
  - c. Family medical leave
  - d. Health benefits
  - e. Membership or membership discounts
  - f. Moving expenses
  - g. Pension and retirement benefits
  - h. Vacation
  - i. Travel benefits
  - j. Any other benefit offered to employees

I agree that should I offer any of the above listed employee benefits, that I will offer those benefits, without discrimination between employees with spouses and employees with domestic partners, and without discrimination between the spouses and domestic partners of such employees.

4. I understand that I will not be considered to be discriminating in the provision or application of employee benefits under the following conditions or circumstances:
  - a. In the event that the actual cost of providing a benefit to a domestic partner or spouse, exceeds the cost of providing the same benefit to a spouse or domestic partner of an employee, I will not be required to provide the benefit, nor shall it be deemed discriminatory, if I require the employee to pay the monetary difference in order to provide the benefit to the domestic partner or to the spouse.
  - b. In the event I am unable to provide a certain benefit, despite taking reasonable measures to do so, if I provide the employee with a cash equivalent, I will not be deemed to be discriminating in the application of that benefit.
  - c. If I provide employee benefits neither to employee's spouses nor to employee's domestic partners.
  - d. If I provide employee benefits to employees on a basis unrelated to marital or domestic partner status.

- e. If I submit, to the Program Coordinator, written evidence of making reasonable efforts to end discrimination in employee benefits by implementing policies which are to be enacted before the first effective date after the first open enrollment process following the date the Contract is executed with the City.

I understand that any delay in the implementation of such policies may not exceed one (1) year from the date the Contract is executed with the City, and applies only to those employee benefits for which an open enrollment process is applicable.

- f. Until administrative steps can be taken to incorporate, in the infrastructure, nondiscrimination in employee benefits.

The time allotted for these administrative steps will apply only to those employee benefits for which administrative steps are necessary and may not exceed three (3) months from the date the Contract is executed with the City.

- g. Until the expiration of a current collective bargaining agreement(s) where, in fact, employee benefits are governed by a collective bargaining agreement(s).

- h. I take all reasonable measures to end discrimination in employee benefits by either requesting the union(s) involved agree to reopen the agreement(s) in order for me to take whatever steps are necessary to end discrimination in employee benefits or by my ending discrimination in employee benefits without reopening the collective bargaining agreement(s).

- i. In the event I cannot end discrimination in employee benefits despite taking all reasonable measures to do so, I provide a cash equivalent to eligible employees for whom employee benefits (as listed previously), are not available.

Unless otherwise authorized in writing by the City Manager, I understand this cash equivalent must begin at the time the union(s) refuse to allow the collective bargaining agreement(s) to be reopened or no longer than three (3) months from the date the Contract is executed with the City.

- 5. I understand that failure to comply with the provisions of Section 4. (a) through 4. (i), above, will subject me to possible suspension and/or termination of this Contract for cause; repayment of any or all of the Contract amount disbursed by the City; debarment for future contracts until all penalties and restitution have been paid in full; deemed ineligible for future contracts for up to two (2) years; the imposition of a penalty, payable to the City, in the sum of \$50.00 for each employee, for each calendar day during which the employee was discriminated against in violation of the provisions of the Ordinance.

- 6. I understand and do hereby agree to provide each current employee and, within ten (10) days of hire, each new employee, of their rights under the Ordinance. I further agree to maintain a copy of each such letter provided, in an appropriate file for possible inspection by an authorized representative of the City. I also agree to prominently display a poster informing each employee of these rights.

- 7. I understand that I have the right to request an exemption to the benefit provisions of the Ordinance when such a request is submitted to the Procurement Services Division, in writing with sufficient justification for resolution, prior to contract award.

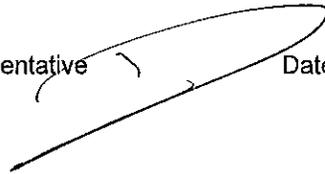
- 8. I further understand that the City may request a waiver or exemption to the provisions or requirements of the Ordinance, when only one contractor is available to enter into a contract or agreement to occupy and use City property on terms and conditions established by the City; when sole source conditions exist for goods, services, public project or improvements and related construction services; when there are no responsive bidders to the Ordinance requirements and the contract is for essential goods or services;

when emergency conditions with public health and safety implications exist; or when the contract is for specialized legal services if in the best interest of the City.

9. In consideration of the foregoing, I shall defend, indemnify and hold harmless, the City, its officers and employees, against any claims, actions, damages, costs (including reasonable attorney fees), or other liabilities of any kind arising from any violation of the Requirements or of the Ordinance by me.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct, and that I am authorized to bind the Contractor to the provisions of this Declaration.

Signature of Authorized Representative



Date

8-6-14

Donald R. Barthel  
Print Name

Founding Partner  
Title

**EXHIBIT A  
WORKERS COMPENSATION  
MASTER LEGAL SERVICES AGREEMENT**

**SCOPE OF SERVICES**

**1. Representatives.**

The CITY Representative(s) for this Agreement is:

*Patrick Flaherty, Risk Manager  
City of Sacramento- Risk Management  
915 I Street- 4<sup>th</sup> Floor Sacramento CA 95814  
Phone (916) 808-5741 Fax (916) 808-8216  
E-mail: [PFlaherty@cityofsacramento.org](mailto:PFlaherty@cityofsacramento.org)*

All ATTORNEY questions pertaining to this Agreement shall be referred to the CITY Representative or the Representative's designee.

The ATTORNEY Representative for this Agreement is:

*Donald R. Barthel  
Bradford & Barthel, LLP  
2518 River Plaza Drive  
Sacramento, CA 95833  
Phone: (916) 569-0790  
Fax: (916) 569-0799  
E-mail: [dbarthel@bradfordbarthel.com](mailto:dbarthel@bradfordbarthel.com)*

All CITY questions pertaining to this Agreement shall be referred to the ATTORNEY Representative. All correspondence to ATTORNEY shall be addressed to the address set forth on page one of this Agreement. Unless otherwise provided in this Agreement, all correspondence to CITY, and Notices to CITY shall be addressed to the CITY Representative.

**2. Scope of Services.**

Workers' compensation defense including serious and willful claims and Labor Code section 132(a) discrimination claims. Continuing education and training including updated case law developments in the field of workers compensation and subrogation. The City will contact Consultant in writing when services are requested. The name of the case and specific request for services will be identified in a Workers Compensation Referral Worksheet attached hereto as Exhibit E. All services described in Exhibit E are on an as needed basis as directed by the City. This agreement does not guarantee Consultant a minimum amount of payment in the event services are not utilized.

**3. Time of Performance.**

The services described herein shall be effective as of September 13, 2014. The term of the agreement shall be for three years from the effective date to September 13, 2017.

**4. Reporting.**

a. ATTORNEY shall provide CITY with a preliminary status report and/or file review and evaluation within thirty (30) days which shall include an evaluation of specific issues as identified in the Workers Compensation Referral Worksheet. In this preliminary report, ATTORNEY shall also make recommendations for discovery and any needed investigation.

Within 10 days of any request by the CITY, ATTORNEY shall provide CITY with a status report on the progress of the representation.

b. ATTORNEY shall provide to the CITY representative copies of all pleadings, motions, and discovery documents and medical reports filed or propounded by ATTORNEY in this case.

c. In the event that ATTORNEY's handling of the above-designated matter exceeds a period of twenty-four (24) months, ATTORNEY shall, in addition to providing regular status reports to CITY, also report directly to the CITY representative on an annual basis and no later than June 30th of each year, setting forth in detail the status of the matter, and ATTORNEY's plan for further handling of the matter, and the estimated length of time to conclude the matter.

**EXHIBIT B  
WORKERS COMPENSATION  
MASTER LEGAL SERVICES AGREEMENT**

**FEE SCHEDULE/MANNER OF PAYMENT**

1. **ATTORNEY'S Compensation.** CITY shall compensate ATTORNEY for legal services rendered at an hourly billing rate of \$155 for senior partners, \$145 for partners, and \$135 for associates, to be billed in tenths of an hour. All office, travel, discovery, hearing, trial or other time shall be charged at this rate. CITY shall compensate ATTORNEYS for paralegal services rendered at an hourly billing rate of \$75, to be billed in tenths of an hour. CITY shall not be charged for services that are strictly of a clerical, administrative or secretarial nature, such as scheduling, calendaring or word processing. The total of all fees paid to ATTORNEY for the performance of all services set forth in Exhibit A, including normal revisions (hereafter the "Services"), and for all authorized Reimbursable Expenses, shall not exceed the total sum of \$50,000.

Such compensation shall be the sole and total remuneration for the rendition of services under this Agreement.

ATTORNEYS agree that cases will be assigned by the Workers Compensation Referral Worksheet attached hereto as Exhibit E. The attorney identified in the Workers Compensation Referral Worksheet will provide legal services and no other associate or partner will perform work without CITY's advance authorization.

2. **ATTORNEY'S Reimbursable Expenses.** CITY shall reimburse ATTORNEY for the actual, reasonable and necessary expense of travel out of the Sacramento metropolitan area, approved in advance, at \$0.43 per mile. ATTORNEY will not charge CITY for the cost of telephone calls or photocopying. Litigation costs and expenses for statutory fees, witness fees, reporters' per diem and stenographic transcriptions, jury fees, and the expenses of serving process shall be advanced by ATTORNEY and reimbursed by CITY. Expert consultants and witnesses may be retained by ATTORNEY on terms acceptable to CITY, approved in advance, in which case CITY shall reimburse ATTORNEY or pay such consultants or experts directly.

3. **Billing.**

- A. Legal billings shall be submitted to CITY every thirty (30) days unless otherwise advised.
- B. Each task shall be distinctly and completely identified; the City will not pay invoices that contain block billing. Each billing entry must contain the initials of the individual performing the task, the nature of the task, the date it was performed, and the length of time it took.
- C. Costs under \$500.00 shall be paid by ATTORNEYS and submitted with their normal billing.
- D. CITY reserves the right to audit all invoices.

- E. Payments to ATTORNEY shall be made within a reasonable time after receipt of ATTORNEY'S invoice. ATTORNEY may request, but CITY is under no obligation to approve, payment on a monthly basis. ATTORNEY shall be responsible for supplying all documentation necessary to verify the monthly billings to the satisfaction of CITY.
- F. In addition to the provisions stated elsewhere in this Agreement regarding the payment of fees, billing and budgeting, the following guidelines for billing apply:
1. CITY expects each individual working on the matter for which ATTORNEYS were retained to have the necessary experience to perform the services required to protect or pursue CITY'S interests in the matter in a cost effective manner.
  2. CITY expects ATTORNEYS to select the individual most suitable for the task required and the specific needs of the matter, and to use the maximum efficiencies available. Billings for services performed by the inappropriate level of personnel will be reduced by the City based on rate adjustments for the appropriate level of personnel.
  3. CITY will not pay for unnecessary review of texts, codes, rules of court, or other fundamental references. CITY will pay the hourly rate for specific legal research which is unique to the matter, assuming that ATTORNEYS have used maximum efficiencies and that ATTORNEYS have not already performed research in the same or similar areas of law.
  4. CITY acknowledges the benefit of communications between attorneys in the firm. CITY does, however, expect that intra-office conferences will only be held as needed, and will be kept to a minimum. Intra-office conferences shall be for the purpose of discussing strategy and legal issues which directly further the matter. CITY will not pay for conferences which are supervisory or instructional. Any invoice which lists an intra-office conference that exceeds these guidelines must contain a full explanation and is subject to reduction by CITY. CITY will not pay for "team meetings" and CITY will scrutinize all intra-office conferences for "value added" to the matter by the conference, for the number of individuals attending the conference, the length of the conference, the subjects discussed at the conference and who participated in it, and will, in CITY'S sole discretion, determine if such value has been added.
  5. CITY will not pay for local telephone calls, incoming facsimiles, postage, time spent on filing, calendaring, indexing pleadings, photocopying, conferences with Clerks of court or court reporters, proofreading, re-drafting due to substandard work, time billed by summer interns or associates, time for more than one individual to attend a trial, hearing, court appearance, arbitration, mediation, deposition, third party meeting, conference call or similar event, unless otherwise approved by CITY in advance, or opening, organizing or closing files.
  6. Vague billing which does not contain sufficient information to allow City's reviewer of the invoice to determine the nature of the task, the reason for the task, and the individual performing the task is subject to reduction by CITY.

7. CITY will not reimburse for overtime, word processing, supplies, anything identified on an invoice as "miscellaneous," or any other unidentified charges.

**EXHIBIT C  
WORKERS COMPENSATION  
MASTER LEGAL SERVICES AGREEMENT**

**GENERAL PROVISIONS**

**1. Independent Contractor.**

- A. It is understood and agreed that ATTORNEY (including ATTORNEY's employees) is an independent contractor and that no relationship of employer-employee exists between the parties hereto for any purpose whatsoever. Neither ATTORNEY nor ATTORNEY'S assigned personnel shall be entitled to any benefits payable to employees of CITY. CITY is not required to make any deductions or withholdings from the compensation payable to ATTORNEY under the provisions of this Agreement, and ATTORNEY shall be issued an IRS Form 1099 for its services hereunder. As an independent contractor, ATTORNEY hereby agrees to indemnify and hold CITY harmless from any and all claims that may be made against CITY based upon any contention by any of ATTORNEY'S employees or by any third party, including but not limited to any state or federal agency, that an employer-employee relationship or a substitute therefor exists for any purpose whatsoever by reason of this Agreement or by reason of the nature and/or performance of any Services under this Agreement.
- B. It is further understood and agreed by the parties hereto that ATTORNEY, in the performance of its obligations hereunder, is subject to the control and direction of CITY as to the designation of tasks to be performed and the results to be accomplished by the Services agreed to be rendered and performed under this Agreement, but not as to the means, methods, or sequence used by ATTORNEY for accomplishing such results. To the extent that ATTORNEY obtains permission to, and does, use CITY facilities, space, equipment or support services in the performance of this Agreement, this use shall be at the ATTORNEY's sole discretion based on the ATTORNEY's determination that such use will promote ATTORNEY'S efficiency and effectiveness. Except as may be specifically provided elsewhere in this Agreement, the CITY does not require that ATTORNEY use CITY facilities, equipment or support services or work in CITY locations in the performance of this Agreement.
- C. If, in the performance of this Agreement, any third persons are employed by ATTORNEY, such persons shall be entirely and exclusively under the direction, supervision, and control of ATTORNEY. Except as may be specifically provided elsewhere in this Agreement, all terms of employment, including hours, wages, working conditions, discipline, hiring, and discharging, or any other terms of employment or requirements of law, shall be determined by ATTORNEY. It is further understood and agreed that ATTORNEY shall issue W-2 or 1099 Forms for income and employment tax purposes, for all of ATTORNEY'S assigned personnel and subcontractors.

- 2. Licenses; Permits, Etc.** ATTORNEY represents and warrants that ATTORNEY has all licenses, permits, City Business Operations Tax Certificate, qualifications, and approvals of whatsoever nature which are legally required for ATTORNEY to practice its profession or provide any services under the Agreement. ATTORNEY represents and warrants that

ATTORNEY shall, at its sole cost and expense, keep in effect or obtain at all times during the term of this Agreement any licenses, permits, and approvals which are legally required for ATTORNEY to practice its profession or provide such Services. Without limiting the generality of the foregoing, if ATTORNEY is an out-of-state corporation, ATTORNEY warrants and represents that it possesses a valid certificate of qualification to transact business in the State of California issued by the California Secretary of State pursuant to Section 2105 of the California Corporations Code.

3. **Conflicts of Interest.** ATTORNEY represents and warrants that they have made a diligent effort to determine whether there are any actual or potential conflicts of interest that would preclude or interfere with ATTORNEY'S performance of this Agreement, and that no such conflict of interest exists. ATTORNEY shall indemnify and hold harmless CITY from and against any and all liability, loss, expense and obligation arising directly or indirectly out of any breach of the foregoing representation and warranty. ATTORNEY agrees to avoid conflicts of interest or the appearance of any conflicts of interest with the interests of CITY at all times during the performance of this Agreement.
4. **Confidentiality of CITY Information.** ATTORNEY understands and agrees that during the course performing the services required by this agreement, or in contemplation thereof, ATTORNEYS may have access to private or confidential information which may be owned or controlled by CITY and that such information may contain proprietary or confidential details, the disclosure of which to third parties may be damaging to CITY. ATTORNEYS agree that all information disclosed by CITY to ATTORNEYS shall be held in confidence and used only in performance of the services required by this Agreement. A violation of this section shall be a material violation of this Agreement and shall justify legal and/or equitable relief.
5. **Suspension and Termination.**
  - A. CITY shall have the right at any time to temporarily suspend ATTORNEY'S performance hereunder, in whole or in part, by giving a written notice of suspension to ATTORNEY. If CITY gives such notice of suspension, ATTORNEY shall immediately suspend its activities under this Agreement, as specified in such notice.
  - B. CITY reserves the right to discharge ATTORNEY and terminate this Agreement at any time. In the event of such discharge or termination, CITY shall compensate ATTORNEY for services rendered and expenses necessarily incurred up to and including the date of discharge and expenses unavoidably incurred by ATTORNEY thereafter.
  - C. ATTORNEY may terminate this Agreement at any time by giving to CITY Attorney not less than thirty (30) days prior written notice of termination. The notice shall specify the effective date of and reason for the termination.
  - D. This Agreement is personal to ATTORNEY and any attempted assignment including by successors or assigns, shall be void, unless approved in writing by CITY.
  - E. Upon any termination, ATTORNEY shall immediately return to CITY the file and all materials owned by CITY and cooperate fully in necessary completion and filing of any necessary Substitution of Attorney documents.

**6. Indemnity.**

- A. Indemnity: ATTORNEY shall fully indemnify and save harmless, CITY, its officers and employees, and each and every one of them, from and against all actions, damages, costs, liability, claims, losses, judgments, penalties and expenses of every type and description, including, but not limited to, any fees and/or costs reasonably incurred by CITY'S staff attorneys or outside attorneys and any fees and expenses incurred in enforcing this provision (hereafter collectively referred to as "Liabilities"), to which any or all of them may be subjected, to the extent such Liabilities are caused by or result from any negligent act or omission or willful misconduct of ATTORNEY, its subcontractors or agents, and their respective officers and employees, in connection with the performance or nonperformance of this Agreement, whether or not the CITY, its officers or employees reviewed, accepted or approved any service or work product performed or provided by the ATTORNEY, and whether or not such Liabilities are litigated, settled or reduced to judgment.
- B. Obligation to Defend: ATTORNEY shall, upon CITY'S request, defend at ATTORNEY'S sole cost any action, claim, suit, cause of action or portion thereof which asserts or alleges Liabilities to the extent such Liabilities are caused by or result from any negligent act or omission or willful misconduct of ATTORNEY, its sub-ATTORNEYS, subcontractors or agents, and their respective officers and employees, in connection with the performance or nonperformance of this Agreement, whether such action, claim, suit, cause of action or portion thereof is well founded or not.
- C. Insurance Policies; Intellectual Property Claims: The existence or acceptance by CITY of any of the insurance policies or coverages described in this Agreement shall not affect or limit any of CITY'S rights under this section, nor shall the limits of such insurance limit the liability of ATTORNEY hereunder. This section shall not apply to any intellectual property claims, actions, lawsuits or other proceedings subject to the provisions of Section 4 (Confidentiality of CITY Information) above. The provisions of this section shall survive any expiration or termination of this Agreement.

7. **Publicity.** If any publicity is generated by the subject matter of this representation, ATTORNEYS will consult with CITY before making any statement to any media outlet (whether radio, print or television) about the content and timing of such statement.

8. **Insurance Requirements.** During the entire term of this Agreement, ATTORNEY shall maintain the following insurance:

- A. Minimum Scope of Insurance: Coverage should be at least as broad as:
- (1) Insurance Services Office Form No. CG 0001 (Commercial General Liability);
  - (2) Insurance Services Office Form No. CA 0001 (Ed. 1/87) (Automobile Liability, Code "any auto");
  - (3) Workers' Compensation as required by the Labor Code of the State of California,

- and Employers' Liability Insurance:
- (4) Professional Liability (Errors and Omissions) insurance against loss due to error, omission or malpractice.

B. Minimum Limits of Insurance: ATTORNEY shall maintain limits no less than:

- (1) Commercial General Liability; \$1,000,000 combined single limit per occurrence, including endorsements for contractual liabilities, broad form property damage and personal injury.
- (2) Automobile Liability: \$1,000,000 combined single limit per accident for personal injury and property damage arising from owned, hired and non-owned vehicles.
- (3) Workers' Compensation and Employers' Liability: Workers' compensation limits as required by the Labor Code of the State of California and Employers' Liability limits of \$1,000,000 per accident.
- (4) Professional Liability (Errors and Omissions): \$2,000,000 combined single limit per occurrence.

C. Deductibles and Self-Insured Retentions: Any deductibles or self-insured retentions must be declared to and approved by the CITY.

D. Other Insurance Provisions: The policies are to contain, or be endorsed to contain, the following provisions:

- (1) General Liability and Automobile Liability Coverages:
  - (a) The General Liability and Automobile Liability policies shall be written on an occurrence form and shall name CITY, its officers, officials, agents, employees and volunteers as additional insureds. Such policy(ies) of insurance shall be endorsed so that ATTORNEY'S insurance shall be primary and any insurance or self-insurance maintained by CITY, its officials, employees or volunteers shall be in excess of ATTORNEY'S insurance and shall not contribute with it.
  - (b) Any failure to comply with reporting provisions of the policies shall not affect coverage provided to CITY, its officials, employees or volunteers.
  - (c) Coverage shall state that ATTORNEY'S insurance shall apply separately to each insured against whom claim is made or suit is brought, except with respect to the limits of the insurer's liability.
- (2) All Coverages:
  - (a) Each insurance policy required by this Agreement shall be endorsed to state that coverages shall not be canceled except after thirty (30) days

prior written notice has been given to CITY. In addition, ATTORNEY agrees that it shall not reduce its coverage or limits on any such policy except after thirty (30) days prior written notice has been give to CITY and CITY approves the reduction in coverage or limits. ATTORNEY further agrees that it shall not increase any deductibles or self-insured retentions on any such policy except after thirty (30) days prior written notice has been given to CITY and CITY approves such increase.

(b) In the event claims made forms are used for any Professional Liability coverage, either (i) the policy(ies) shall be endorsed to provide not less than a five (5) year discovery period, or (ii) the coverage shall be maintained for a minimum of five (5) years following the termination of this Agreement and the requirements of this section relating to such coverage shall survive termination or expiration of this Agreement. ATTORNEYS shall furnish CITY with the certificate(s) and applicable endorsements for ALL required insurance prior to CITY's execution of this Agreement.

E. Acceptability of Insurers: Insurance shall be placed with insurers with a Bests' rating of no less than A:VII. This requirement may, however, be waived in individual cases for Errors and Omissions Coverages only; provided, however, that in no event shall a carrier with a rating below B:IX be acceptable.

F. Verification of Coverage: ATTORNEY shall furnish CITY with certificates of insurance showing compliance with the above requirements and with original endorsements affecting all coverages required by this Agreement both prior to the execution of this Agreement, and during the pendency of this Agreement at any time upon request by CITY. The certificates and/or endorsements shall set forth a valid policy number for CITY, and shall indicate the Issue Date, Effective Date and Expiration Date. The certificates and endorsements for each insurance policy shall be signed by a person authorized by the insurer to bind coverage on its behalf. The certificates and endorsements shall be forwarded to the CITY representative named in Exhibit A.

G. Payment Withhold: CITY shall withhold payments to ATTORNEY if the certificates of insurance and endorsements required in this section are canceled or ATTORNEY otherwise ceases to be insured as required herein.

9. **Disqualification of Judges.** At the option of the City Attorney, judges may be disqualified from hearing CITY litigation, and ATTORNEY shall adhere to such decision of the City Attorney. In the event that ATTORNEY is of the opinion that a judge ought to be disqualified, the City Attorney must be consulted in advance by ATTORNEY, and ATTORNEY must obtain the City Attorney's written consent to such disqualification.

10. **Equal Employment Opportunity.** During the performance of this Agreement, ATTORNEY, for itself, its assignees and successors in interest, agrees as follows:

A. Compliance With Regulations: ATTORNEY shall comply with the Executive Order 11246 entitled "Equal Opportunity in Federal Employment", as amended by Executive Order

11375 and 12086, and as supplemented in Department of Labor regulations (41 CFR Chapter 60), hereinafter collectively referred to as the "Regulations."

- B. Nondiscrimination: ATTORNEY, with regards to the work performed pursuant to this Agreement, shall not discriminate on the ground of race, color, religion, sex, national origin, age, marital status, physical handicap or sexual orientation in selection and retention of subcontractors, including procurement of materials and leases of equipment.
- C. Solicitations for Subcontractors, Including Procurement of Materials and Equipment: In all solicitations either by competitive bidding or negotiations made by ATTORNEY for work to be performed under any subcontract, including all procurement of materials or equipment, each potential subcontractor or supplier shall be notified by ATTORNEY of ATTORNEY'S obligation under this Agreement and the Regulations relative to nondiscrimination on the ground of race, color, religion, sex, national origin, age, marital status, physical handicap or sexual orientation.
- D. Information and Reports: ATTORNEY shall provide all information and reports required by the Regulations, or by any orders or instructions issued pursuant thereto, and shall permit access to its books, records, accounts, other sources of information and its facilities as may be determined by the CITY to be pertinent to ascertain compliance with such Regulations, orders and instructions. Where any information required of ATTORNEY is in the exclusive possession of another who fails or refuses to furnish this information, ATTORNEY shall so certify to the CITY, and shall set forth what efforts it has made to obtain the information.
- E. Sanctions for Noncompliance: In the event of noncompliance by ATTORNEY with the nondiscrimination provisions of this Agreement, the CITY shall impose such sanctions as it may determine to be appropriate including, but not limited to:
  - (1) Withholding of payments to ATTORNEY under this Agreement until ATTORNEY complies;
  - (2) Cancellation, termination, or suspension of the Agreement, in whole or in part.
- F. Incorporation of Provisions: ATTORNEY shall include the provisions of subsections A through E, above, in every subcontract, including procurement of materials and leases of equipment, unless exempted by the Regulations, or by any order or instructions issued pursuant thereto. ATTORNEY shall take such action with respect to any subcontract or procurement as the CITY may direct as a means of enforcing such provisions including sanctions for noncompliance; provided, however, that in the event ATTORNEY becomes involved in, or is threatened with, litigation with a subcontractor or supplier as a result of such direction, ATTORNEY may request CITY to enter such litigation to protect the interests of CITY.

- 11. **Entire Agreement.** This document, including all Exhibits, contains the entire agreement between the parties and supersedes whatever oral or written understanding they may have had prior to the execution of this Agreement. No alteration to the terms of this Agreement shall be valid unless approved in writing by ATTORNEY, and by CITY, in accordance with applicable provisions of the Sacramento City Code.

12. **Severability.** If any portion of this Agreement or the application thereof to any person or circumstance shall be held invalid or unenforceable, the remainder of this Agreement shall not be affected thereby and shall be enforced to the greatest extent permitted by law.
13. **Waiver.** Neither CITY acceptance of, or payment for, any Service or Additional Service performed by ATTORNEY, nor any waiver by either party of any default, breach or condition precedent, shall be construed as a waiver of any provision of this Agreement, nor as a waiver of any other default, breach or condition precedent or any other right hereunder.
14. **Enforcement of Agreement.** This Agreement shall be governed, construed and enforced in accordance with the laws of the State of California. Venue of any litigation arising out of or connected with this Agreement shall lie exclusively in the state trial court or Federal District Court located in Sacramento County in the State of California, and the parties consent to jurisdiction over their persons and over the subject matter of any such litigation in such courts, and consent to service of process issued by such courts.
15. **Attorney's Fees.** In any action to enforce the terms of this Agreement, the prevailing party shall recover its reasonable attorneys' fees.
16. **Assignment Prohibited.** The expertise and experience of ATTORNEY are material considerations for this Agreement. CITY has a strong interest in the qualifications and capability of the persons and entities who will fulfill the obligations imposed on ATTORNEY under this Agreement. In recognition of this interest, ATTORNEY shall not assign any right or obligation pursuant to this Agreement without the written consent of the CITY. Any attempted or purported assignment without CITY'S written consent shall be void and of no effect.

## EXHIBIT D

### REQUIREMENTS OF THE NON-DISCRIMINATION IN EMPLOYEE BENEFITS CODE

#### INTRODUCTION

The Sacramento Non-Discrimination In Employee Benefits Code (the "Ordinance"), codified as Sacramento City Code Chapter 3.54, prohibits City contractors from discriminating in the provision of employee benefits between employees with spouses and employees with domestic partners, and between the spouses and domestic partners of employees.

#### APPLICATION

The provisions of the Ordinance apply to any contract or agreement (as defined below), between a Contractor and the City of Sacramento, in an amount exceeding \$25,000.00. The Ordinance applies to that portion of a contractor's operations that occur: (i) within the City of Sacramento; (ii) on real property outside the City of Sacramento if the property is owned by the City or if the City has a right to occupy the property; or (iii) at any location where a significant amount of work related to a City contract is being performed.

The Ordinance does not apply: to subcontractors or subcontracts of any Contractor or contractors; to transactions entered into pursuant to cooperative purchasing agreements approved by the Sacramento City Council; to legal contracts of other governmental jurisdictions or public agencies without separate competitive bidding by the City; where the requirements of the ordinance will violate or are inconsistent with the terms or conditions of a grant, subvention or agreement with a public agency or the instructions of an authorized representative of any such agency with respect to any such grant, subvention or agreement; to permits for excavation or street construction; or to agreements for the use of City right-of-way where a contracting utility has the power of eminent domain.

#### DEFINITIONS

As set forth in the Ordinance, the following definitions apply:

"Contract" means an agreement for public works or improvements to be performed, or for goods or services to be purchased or grants to be provided, at the expense of the City or to be paid out of moneys deposited in the treasury or out of the trust money under the control or collected by the City. "Contract" also means a written agreement for the exclusive use ("exclusive use" means the right to use or occupy real property to the exclusion of others, other than the right reserved by the fee owner) or occupancy of real property for a term exceeding 29 days in any calendar year, whether by singular or cumulative instrument, (i) for the operation or use by others of real property owned or controlled by the City for the operation of a business, social, or other establishment or organization, including leases, concessions, franchises and easements, or (ii) for the City's use or occupancy of real property owned by others, including leases, concessions, franchises and easements.

"Contract" shall not include: a revocable at-will use or encroachment permit for the use of or encroachment on City property regardless of the ultimate duration of such permit; excavation, street construction or street use permits; agreements for the use of City right-of-way where a contracting utility has the power of eminent domain; or agreements governing the use of City property that constitute a

public forum for activities that are primarily for the purpose of espousing or advocating causes or ideas and that are generally protected by the First Amendment to the United States Constitution or that are primarily recreational in nature.

"Contractor" means any person or persons, firm partnership or corporation, company, or combination thereof that enters into a Contract with the City. "Contractor" does not include a public entity.

"Domestic Partner" means any person who has a currently registered domestic partnership with a governmental entity pursuant to state or local law authorizing the registration.

"Employee Benefits" means bereavement leave; disability, life, and other types of insurance; family medical leave; health benefits; membership or membership discounts; moving expenses; pension and retirement benefits; vacation; travel benefits; and any other benefit given to employees. "Employee benefits" shall not include benefits to the extent that the application of the requirements of this chapter to such benefits may be preempted by federal or state.

#### **CONTRACTOR'S OBLIGATION TO PROVIDE THE CITY WITH DOCUMENTATION AND INFORMATION**

Contractor shall provide the City with documentation and information verifying its compliance with the requirements of the Ordinance within ten (10) days of receipt of a request from the City. Contractors shall keep accurate payroll records, showing, for each City Contract, the employee's name, address, Social Security number, work classification, straight time pay rate, overtime pay rate, overtime hours worked, status and exemptions, and benefits for each day and pay period that the employee works on the City Contract. Each request for payroll records shall be accompanied by an affidavit to be completed and returned by the Contractor, as stated, attesting that the information contained in the payroll records is true and correct, and that the Contractor has complied with the requirements of the Ordinance. A violation of the Ordinance or noncompliance with the requirements of the Ordinance shall constitute a breach of contract.

#### **EMPLOYER COMPLIANCE CERTIFICATE AND NOTICE REQUIREMENTS**

(a) All contractors seeking a Contract subject to the Ordinance shall submit a completed Declaration of Compliance Form, signed by an authorized representative, with each proposal, bid or application. The Declaration of Compliance shall be made a part of the executed contract, and will be made available for public inspection and copying during regular business hours.

(b) The Contractor shall give each existing employee working directing on a City contract, and (at the time of hire), each new employee, a copy of the notification provided as Attachment "1."

(c) Contractor shall post, in a place visible to all employees, a copy of the notice provided as Attachment "2."

## Attachment 1



### **YOUR RIGHTS UNDER THE CITY OF SACRAMENTO'S NON-DISCRIMINATION IN EMPLOYEE BENEFITS CODE**

On ..... (date), your employer (the "Employer") entered into a contract with the City of Sacramento (the "City") for ..... (contract details), and as a condition of that contract, agreed to abide by the requirements of the City's Non-Discrimination In Employee Benefits Code (Sacramento City Code Section 3.54).

The Ordinance does not require the Employer to provide employee benefits. The Ordinance does require that if certain employee benefits are provided by the Employer, that those benefits be provided without discrimination between employees with spouses and employees with domestic partners, and without discrimination between the spouse or domestic partner of employees.

The Ordinance covers any employee working on the specific contract referenced above, but only for the period of time while those employees are actually working on this specific contract.

The included employee benefits are:

- Bereavement leave
- Disability, life and other types of insurance
- Family medical leave
- Health benefits
- Membership or membership discounts
- Moving expenses
- Pension and retirement benefits
- Vacation
- Travel benefits
- Any other benefits given to employees

(Employee Benefits does not include benefits that may be preempted by federal or state law.)

If you feel you have been discriminated or retaliated against by your employer in the terms and conditions of your application for employment, or in your employment, or in the application of these employee benefits, because of your status as an applicant or as an employee protected by the Ordinance, or because you reported a violation of the Ordinance, and after having exhausted all remedies with your employer,

**You May . . .**

Submit a written complaint to the City of Sacramento, Contract Services Unit, containing the details of the alleged violation. The address is:

City of Sacramento  
Contract Services Unit  
921 10th St., Room 402  
Sacramento, CA 95814-2714

Bring an action in the appropriate division of the Superior Court of the State of California against the Employer and obtain the following remedies:

- Reinstatement, injunctive relief, compensatory damages and punitive damages
- Reasonable attorney's fees and costs

## Attachment 2



### YOUR RIGHTS UNDER THE CITY OF SACRAMENTO'S NON-DISCRIMINATION IN EMPLOYEE BENEFITS CODE

If your employer provides employee benefits, they must be provided to those employees working on a City of Sacramento contract without discriminating between employees with spouses and employees with domestic partners.

The included employee benefits are:

- Bereavement leave
- Disability, life and other types of insurance
- Family medical leave
- Health benefits
- Membership or membership discounts
- Moving expenses
- Pension and retirement benefits
- Vacation
- Travel benefits
- Any other benefits given to employees

If you feel you have been discriminated against by your employer . . .

**You May . . .**

Submit a written complaint to the City of Sacramento, Contract Services Unit, containing the details of the alleged violation. The address is:

City of Sacramento  
Contract Services Unit  
921 10th St., Room 402  
Sacramento, CA 95814-2714

Bring an action in the appropriate division of the Superior Court of the State of California against the employer and obtain reinstatement, injunctive relief, compensatory damages, punitive damages and reasonable attorney's fees and costs.

### Discrimination and Retaliation Prohibited.

If you feel you have been discriminated or retaliated against by your employer in the terms and conditions of your application for employment, or in your employment, because of your status as an applicant or as an employee protected by the Ordinance, or because you reported a violation of this Ordinance . . .

**You May Also . . .**

Submit a written complaint to the City of Sacramento, Contract Services Unit, at the same address, containing the details of the alleged violation.

EXHIBIT E

City of Sacramento  
Workers' Compensation Referral Worksheet

Pursuant to the terms of Master Services Agreement No. \_\_\_\_\_ the City of Sacramento hereby retains services of \_\_\_\_\_ (Firm), \_\_\_\_\_ (attorney), for the following case \_\_\_\_\_

City Contact Information

Name \_\_\_\_\_ Phone \_\_\_\_\_  
Address \_\_\_\_\_  
Claim # \_\_\_\_\_

Case Information

Workers' Comp     L&H     Subrogation     132(a)     S&W  
Employee \_\_\_\_\_ DOB \_\_\_\_\_ Occupation \_\_\_\_\_  
Employer \_\_\_\_\_ Policy Period \_\_\_\_\_  
Date of Injury \_\_\_\_\_ Body Parts \_\_\_\_\_  
Employee's Attorney \_\_\_\_\_  
Claim Form Returned On \_\_\_\_\_ Application Filed On \_\_\_\_\_  
Denial Letter Issued On \_\_\_\_\_ Answer Filed On \_\_\_\_\_  
or Denial Due Date \_\_\_\_\_ File Answer  Yes  No

Please Attach Copies of All Claim Forms Provided To Employee

Benefits Paid

TD \$ \_\_\_\_\_ RATE \_\_\_\_\_ PERIODS \_\_\_\_\_  
LC 4850 \$ \_\_\_\_\_ RATE \_\_\_\_\_ PERIODS \_\_\_\_\_  
PD \$ \_\_\_\_\_ RATE \_\_\_\_\_ PERIODS \_\_\_\_\_  
Medical Expenses \$ \_\_\_\_\_ SJOB EXPENSE \$ \_\_\_\_\_

Issues

- |   |   |                                     |
|---|---|-------------------------------------|
| <input type="checkbox"/> 1. Injury AOE-     | <input type="checkbox"/> 8. Apportionment           | <input type="checkbox"/> 15. 132(a) |
| <input type="checkbox"/> 2. Employment      | <input type="checkbox"/> 9. Past Medical            | <input type="checkbox"/> 16. S&W    |
| <input type="checkbox"/> 3. Occupation      | <input type="checkbox"/> 10. Future Medical         | <input type="checkbox"/> 17. Other  |
| <input type="checkbox"/> 4. Coverage        | <input type="checkbox"/> 11. Statute of Limitations |                                     |
| <input type="checkbox"/> 5. Earnings        | <input type="checkbox"/> 12. Jurisdiction           |                                     |
| <input type="checkbox"/> 6. Temp Disability | <input type="checkbox"/> 13. Dependency             |                                     |
| <input type="checkbox"/> 7. Perm Disability | <input type="checkbox"/> 14. Subrogation            |                                     |

Defense Discovery Requested

Depose Applicant  Yes  No  Need to Discuss  
Schedule Med Exam  Yes  No Physician  
Subpoena Records  Yes  No Source

Comments:

Attachment One to Exhibit A

Revised 04/05/11 d/h (11/revC) (designer form)



Requires Council Approval:  No  YES Meeting:

Real Estate  Other Party Signature Needed  Recording Requested

General Information

Type: Professional Services	PO Type: Select PO Type	Attachment: Original No.:
\$ Not to Exceed: \$525,000		Original Doc Number:
Other Party: Cuneo, Black, Ward & Missler		Certified Copies of Document:
Project Name: Workers' Compensation Legal Services		Deed: <input type="checkbox"/> None <input type="checkbox"/> Included <input type="checkbox"/> Separate
Project Number:	Bid Transaction #:	E/SBE-DBE-M/WBE:

Department Information

Department: Human Resources Division: Risk Management  
 Project Mgr: Supervisor: Edna Young  
 Contract Services: Date: Division Mgr: Patrick Flaherty  
 Phone Number: 808-5741 Org Number: 08001371  
 Comment:

Review and Signature Routing

Department	Signature or Initial	Date
Project Mgr:		
Accounting:		
Contract Services:		
Supervisor:		
Division Manager:		

City Attorney	Signature or Initial	Date
City Attorney:	<i>[Signature]</i>	8-22-14

Send Interoffice Mail  Notify for Pick Up

Authorization	Signature or Initial	Date
Choose Director Department Director:		
City Mgr: yes <input type="checkbox"/> No <input type="checkbox"/>		

Contract Cover/Routing Form: Must Accompany ALL Contracts; however, is not part of the contract. (01-01-09)

<b>For City Clerk Processing</b>	
<b>Finalized:</b>	<div style="border: 1px solid black; width: 100%; height: 100%;"></div>
Initial:	
Date:	
<b>Imaged:</b>	
Initial:	
Date:	
<b>Received:</b>	
(City Clerk Stamp Here)	

CITY OF SACRAMENTO  
WORKERS COMPENSATION  
MASTER LEGAL SERVICES AGREEMENT

THIS AGREEMENT is made at Sacramento, California, as of September 13, 2014, by and between the CITY OF SACRAMENTO, a municipal corporation ("CITY"), and

**Cuneo, Black, Ward & Missler**  
700 University Avenue, Suite 110  
Sacramento, CA 95825  
Mailing: P.O. Box 276650, Sacramento, CA 95827  
Atten: Janice M. Dwyer  
Phone: (916) 363-8822 Fax: (916) 363-8821

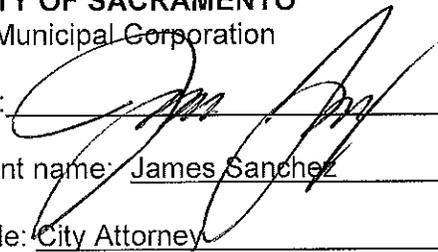
("ATTORNEY"), who agree as follows:

1. **Services.** Subject to the terms and conditions set forth in this Agreement, ATTORNEY shall provide to CITY the services described in Exhibit A. ATTORNEY shall not be compensated for services outside the scope of Exhibit A unless prior to the commencement of such services: (a) ATTORNEY notifies CITY and CITY agrees that such services are outside the scope of Exhibit A; (b) ATTORNEY estimates the additional compensation required for these additional services; and (c) CITY, after notice, approves in writing a Supplemental Agreement specifying the additional services and amount of compensation therefor.
2. **Fees and Costs.** CITY shall compensate ATTORNEY for legal services rendered at the hourly billing rates set forth in Exhibit B.
3. **General Provisions.** The General Provisions set forth in Exhibit C are part of this Agreement. In the event of any conflict between the General Provisions and any terms or conditions of any document prepared or provided by ATTORNEY and made a part of this Agreement, including without limitation any document relating to the scope of services or payment therefor, the General Provisions shall control over said terms or conditions.
4. **CITY Representative.** The CITY Representative(s) specified in Exhibit A, or the Representative's designee, shall administer this Agreement for CITY.
5. **Non-Discrimination in Employee Benefits.** This Agreement is subject to the provisions of Sacramento City Code Chapter 3.54, Non-Discrimination in Employee Benefits by City Contractors. The requirements of Sacramento City Code Chapter 3.54 are summarized in Exhibit D. ATTORNEY is required to sign the attached Declaration of Compliance (Equal Benefits Ordinance), to assure compliance with these requirements.
6. **Authority.** The person signing this Agreement for ATTORNEY hereby represents and warrants that he/she is fully authorized to sign this Agreement on behalf of ATTORNEY and to bind ATTORNEY to the performance of its obligations hereunder.
7. **Exhibits.** All exhibits referred to herein are attached hereto and are by this reference incorporated as if set forth fully herein.

Executed as of the day and year first above stated.

**CITY OF SACRAMENTO**

A Municipal Corporation

By: 

Print name: James Sanchez

Title: City Attorney

APPROVED TO AS FORM:

  
Asst City Attorney

ATTEST:

\_\_\_\_\_  
City Clerk

Attachments

- Exhibit A - Scope of Service
- Exhibit B - Fee Schedule/Manner of Payment
- Exhibit C - General Provisions
- Exhibit D - Non-Discrimination in Employee Benefits
- Exhibit E - Workers' Compensation Referral Worksheet

**ATTORNEY:**

Cuneo, Black, Ward & Missler  
A Law Corporation

\_\_\_\_\_

68-0220324

Federal I.D. No.

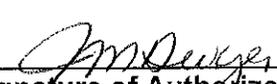
37342755

State I.D. No.

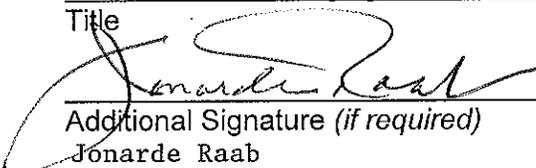
\_\_\_\_\_  
City of Sacramento Business Op. Tax Cert. No.

TYPE OF BUSINESS ENTITY (check one):

- Individual/Sole Proprietor
- Partnership
- Corporation
- Limited Liability Company
- Other (please specify: \_\_\_\_\_)

  
Signature of Authorized Person

Janice M. Dwyer  
President and Managing Shareholder  
Title

  
Additional Signature (if required)  
Jonarde Raab  
Chief Financial Officer  
Title

**DECLARATION OF COMPLIANCE**  
**Equal Benefits Ordinance**

Name of ATTORNEY: Janice M. Dwyer, for and on behalf of Cuneo, Black, Ward & Missler

Address: 700 University Avenue, Suite 110, Sacramento, CA 95825

The above named ATTORNEY ("Contractor") hereby declares and agrees as follows:

1. I have read and understand the Requirements of the Non-Discrimination In Employee Benefits Code (the "Requirements") provided to me by the City of Sacramento ("City") and attached as Exhibit D to my City contract or agreement ("Contract").
2. As a condition of receiving the City Contract, I agree to fully comply with the Requirements, as well as any additional requirements that may be specified in the City's Non-Discrimination In Employee Benefits Code codified at Chapter 3.54 of the Sacramento City Code (the [Ordinance](#)).
3. I understand, to the extent that such benefits are not preempted or prohibited by federal or state law, employee benefits covered by the Ordinance, are any of the following:
  - a. Bereavement Leave
  - b. Disability, life, and other types of insurance
  - c. Family medical leave
  - d. Health benefits
  - e. Membership or membership discounts
  - f. Moving expenses
  - g. Pension and retirement benefits
  - h. Vacation
  - i. Travel benefits
  - j. Any other benefit offered to employees

I agree that should I offer any of the above listed employee benefits, that I will offer those benefits, without discrimination between employees with spouses and employees with domestic partners, and without discrimination between the spouses and domestic partners of such employees.

4. I understand that I will not be considered to be discriminating in the provision or application of employee benefits under the following conditions or circumstances:
  - a. In the event that the actual cost of providing a benefit to a domestic partner or spouse, exceeds the cost of providing the same benefit to a spouse or domestic partner of an employee, I will not be required to provide the benefit, nor shall it be deemed discriminatory, if I require the employee to pay the monetary difference in order to provide the benefit to the domestic partner or to the spouse.
  - b. In the event I am unable to provide a certain benefit, despite taking reasonable measures to do so, if I provide the employee with a cash equivalent, I will not be deemed to be discriminating in the application of that benefit.
  - c. If I provide employee benefits neither to employee's spouses nor to employee's domestic partners.
  - d. If I provide employee benefits to employees on a basis unrelated to marital or domestic partner status.

- e. If I submit, to the Program Coordinator, written evidence of making reasonable efforts to end discrimination in employee benefits by implementing policies which are to be enacted before the first effective date after the first open enrollment process following the date the Contract is executed with the City.

I understand that any delay in the implementation of such policies may not exceed one (1) year from the date the Contract is executed with the City, and applies only to those employee benefits for which an open enrollment process is applicable.

- f. Until administrative steps can be taken to incorporate, in the infrastructure, nondiscrimination in employee benefits.

The time allotted for these administrative steps will apply only to those employee benefits for which administrative steps are necessary and may not exceed three (3) months from the date the Contract is executed with the City.

- g. Until the expiration of a current collective bargaining agreement(s) where, in fact, employee benefits are governed by a collective bargaining agreement(s).

- h. I take all reasonable measures to end discrimination in employee benefits by either requesting the union(s) involved agree to reopen the agreement(s) in order for me to take whatever steps are necessary to end discrimination in employee benefits or by my ending discrimination in employee benefits without reopening the collective bargaining agreement(s).

- i. In the event I cannot end discrimination in employee benefits despite taking all reasonable measures to do so, I provide a cash equivalent to eligible employees for whom employee benefits (as listed previously), are not available.

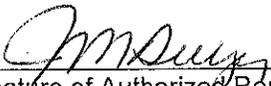
Unless otherwise authorized in writing by the City Manager, I understand this cash equivalent must begin at the time the union(s) refuse to allow the collective bargaining agreement(s) to be reopened or no longer than three (3) months from the date the Contract is executed with the City.

- 5. I understand that failure to comply with the provisions of Section 4. (a) through 4. (i), above, will subject me to possible suspension and/or termination of this Contract for cause; repayment of any or all of the Contract amount disbursed by the City; debarment for future contracts until all penalties and restitution have been paid in full; deemed ineligible for future contracts for up to two (2) years; the imposition of a penalty, payable to the City, in the sum of \$50.00 for each employee, for each calendar day during which the employee was discriminated against in violation of the provisions of the Ordinance.
- 6. I understand and do hereby agree to provide each current employee and, within ten (10) days of hire, each new employee, of their rights under the Ordinance. I further agree to maintain a copy of each such letter provided, in an appropriate file for possible inspection by an authorized representative of the City. I also agree to prominently display a poster informing each employee of these rights.
- 7. I understand that I have the right to request an exemption to the benefit provisions of the Ordinance when such a request is submitted to the Procurement Services Division, in writing with sufficient justification for resolution, prior to contract award.
- 8. I further understand that the City may request a waiver or exemption to the provisions or requirements of the Ordinance, when only one contractor is available to enter into a contract or agreement to occupy and use City property on terms and conditions established by the City; when sole source conditions exist for goods, services, public project or improvements and related construction services; when there are no responsive bidders to the Ordinance requirements and the contract is for essential goods or services;

when emergency conditions with public health and safety implications exist; or when the contract is for specialized legal services if in the best interest of the City.

9. In consideration of the foregoing, I shall defend, indemnify and hold harmless, the City, its officers and employees, against any claims, actions, damages, costs (including reasonable attorney fees), or other liabilities of any kind arising from any violation of the Requirements or of the Ordinance by me.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct, and that I am authorized to bind the Contractor to the provisions of this Declaration.

  
\_\_\_\_\_  
Signature of Authorized Representative

8/8/14  
\_\_\_\_\_  
Date

Janice M. Dwyer  
\_\_\_\_\_  
Print Name

President  
\_\_\_\_\_  
Title

**EXHIBIT A  
WORKERS COMPENSATION  
MASTER LEGAL SERVICES AGREEMENT**

**SCOPE OF SERVICES**

**1. Representatives.**

The CITY Representative(s) for this Agreement is:

*Patrick Flaherty, Risk Manager  
City of Sacramento- Risk Management  
915 I Street- 4<sup>th</sup> Floor Sacramento CA 95814  
Phone (916) 808-5741 Fax (916) 808-8216  
E-mail: [PFlaherty@cityofsacramento.org](mailto:PFlaherty@cityofsacramento.org)*

All ATTORNEY questions pertaining to this Agreement shall be referred to the CITY Representative or the Representative's designee.

The ATTORNEY Representative for this Agreement is:

*Janice M. Dwyer  
Cuneo, Black, Ward & Missler  
700 University Avenue, Suite 110  
Sacramento, CA 95825  
Phone: (916) 363-8822  
Fax: (916) 363-8821  
E-mail: [jdwyer@cbwmlaw.com](mailto:jdwyer@cbwmlaw.com)*

All CITY questions pertaining to this Agreement shall be referred to the ATTORNEY Representative. All correspondence to ATTORNEY shall be addressed to the address set forth on page one of this Agreement. Unless otherwise provided in this Agreement, all correspondence to CITY, and Notices to CITY shall be addressed to the CITY Representative.

**2. Scope of Services.**

Workers' compensation defense including serious and willful claims and Labor Code section 132(a) discrimination claims. Continuing education and training including updated case law developments in the field of workers compensation and subrogation. The City will contact Consultant in writing when services are requested. The name of the case and specific request for services will be identified in a Workers Compensation Referral Worksheet attached hereto as Exhibit E. All services described in Exhibit E are on an as needed basis as directed by the City. This agreement does not guarantee Consultant a minimum amount of payment in the event services are not utilized.

**3. Time of Performance.**

The services described herein shall be effective as of September 13, 2014. The term of the agreement shall be for three years from the effective date to September 13, 2017.

**4. Reporting.**

a. ATTORNEY shall provide CITY with a preliminary status report and/or file review and evaluation within thirty (30) days which shall include an evaluation of specific issues as identified in the Workers Compensation Referral Worksheet. In this preliminary report, ATTORNEY shall also make recommendations for discovery and any needed investigation.

Within 10 days of any request by the CITY, ATTORNEY shall provide CITY with a status report on the progress of the representation.

b. ATTORNEY shall provide to the CITY representative copies of all pleadings, motions, and discovery documents and medical reports filed or propounded by ATTORNEY in this case.

c. In the event that ATTORNEY's handling of the above-designated matter exceeds a period of twenty-four (24) months, ATTORNEY shall, in addition to providing regular status reports to CITY, also report directly to the CITY representative on an annual basis and no later than June 30th of each year, setting forth in detail the status of the matter, and ATTORNEY's plan for further handling of the matter, and the estimated length of time to conclude the matter.

**EXHIBIT B  
WORKERS COMPENSATION  
MASTER LEGAL SERVICES AGREEMENT**

**FEE SCHEDULE/MANNER OF PAYMENT**

1. **ATTORNEY'S Compensation.** CITY shall compensate ATTORNEY for legal services rendered at an hourly billing rate of \$170 for shareholders and certified specialists, and \$155 for associates, to be billed in tenths of an hour. All office, travel, discovery, hearing, trial or other time shall be charged at this rate. CITY shall compensate ATTORNEYS for paralegal services rendered at an hourly billing rate of \$90, to be billed in tenths of an hour. CITY shall not be charged for services that are strictly of a clerical, administrative or secretarial nature, such as scheduling, calendaring or word processing. The total of all fees paid to ATTORNEY for the performance of all services set forth in Exhibit A, including normal revisions (hereafter the "Services"), and for all authorized Reimbursable Expenses, shall not exceed the total sum of \$525,000.

Such compensation shall be the sole and total remuneration for the rendition of services under this Agreement.

ATTORNEYS agree that cases will be assigned by the Workers Compensation Referral Worksheet attached hereto as Exhibit E. The attorney identified in the Workers Compensation Referral Worksheet will provide legal services and no other associate or partner will perform work without CITY's advance authorization.

2. **ATTORNEY'S Reimbursable Expenses.** CITY shall reimburse ATTORNEY for the actual, reasonable and necessary expense of travel out of the Sacramento metropolitan area, approved in advance, at \$0.43 per mile. ATTORNEY will not charge CITY for the cost of telephone calls or photocopying. Litigation costs and expenses for statutory fees, witness fees, reporters' per diem and stenographic transcriptions, jury fees, and the expenses of serving process shall be advanced by ATTORNEY and reimbursed by CITY. Expert consultants and witnesses may be retained by ATTORNEY on terms acceptable to CITY, approved in advance, in which case CITY shall reimburse ATTORNEY or pay such consultants or experts directly.
3. **Billing.**
- A. Legal billings shall be submitted to CITY every thirty (30) days unless otherwise advised.
  - B. Each task shall be distinctly and completely identified; the City will not pay invoices that contain block billing. Each billing entry must contain the initials of the individual performing the task, the nature of the task, the date it was performed, and the length of time it took.
  - C. Costs under \$500.00 shall be paid by ATTORNEYS and submitted with their normal billing.
  - D. CITY reserves the right to audit all invoices.

- E. Payments to ATTORNEY shall be made within a reasonable time after receipt of ATTORNEY'S invoice. ATTORNEY may request, but CITY is under no obligation to approve, payment on a monthly basis. ATTORNEY shall be responsible for supplying all documentation necessary to verify the monthly billings to the satisfaction of CITY.
- F. In addition to the provisions stated elsewhere in this Agreement regarding the payment of fees, billing and budgeting, the following guidelines for billing apply:
1. CITY expects each individual working on the matter for which ATTORNEYS were retained to have the necessary experience to perform the services required to protect or pursue CITY'S interests in the matter in a cost effective manner.
  2. CITY expects ATTORNEYS to select the individual most suitable for the task required and the specific needs of the matter, and to use the maximum efficiencies available. Billings for services performed by the inappropriate level of personnel will be reduced by the City based on rate adjustments for the appropriate level of personnel.
  3. CITY will not pay for unnecessary review of texts, codes, rules of court, or other fundamental references. CITY will pay the hourly rate for specific legal research which is unique to the matter, assuming that ATTORNEYS have used maximum efficiencies and that ATTORNEYS have not already performed research in the same or similar areas of law.
  4. CITY acknowledges the benefit of communications between attorneys in the firm. CITY does, however, expect that intra-office conferences will only be held as needed, and will be kept to a minimum. Intra-office conferences shall be for the purpose of discussing strategy and legal issues which directly further the matter. CITY will not pay for conferences which are supervisory or instructional. Any invoice which lists an intra-office conference that exceeds these guidelines must contain a full explanation and is subject to reduction by CITY. CITY will not pay for "team meetings" and CITY will scrutinize all intra-office conferences for "value added" to the matter by the conference, for the number of individuals attending the conference, the length of the conference, the subjects discussed at the conference and who participated in it, and will, in CITY'S sole discretion, determine if such value has been added.
  5. CITY will not pay for local telephone calls, incoming facsimiles, postage, time spent on filing, calendaring, indexing pleadings, photocopying, conferences with Clerks of court or court reporters, proofreading, re-drafting due to substandard work, time billed by summer interns or associates, time for more than one individual to attend a trial, hearing, court appearance, arbitration, mediation, deposition, third party meeting, conference call or similar event, unless otherwise approved by CITY in advance, or opening, organizing or closing files.
  6. Vague billing which does not contain sufficient information to allow City's reviewer of the invoice to determine the nature of the task, the reason for the task, and the individual performing the task is subject to reduction by CITY.

7. CITY will not reimburse for overtime, word processing, supplies, anything identified on an invoice as "miscellaneous," or any other unidentified charges.

**EXHIBIT C  
WORKERS COMPENSATION  
MASTER LEGAL SERVICES AGREEMENT**

**GENERAL PROVISIONS**

**1. Independent Contractor.**

- A. It is understood and agreed that ATTORNEY (including ATTORNEY's employees) is an independent contractor and that no relationship of employer-employee exists between the parties hereto for any purpose whatsoever. Neither ATTORNEY nor ATTORNEY'S assigned personnel shall be entitled to any benefits payable to employees of CITY. CITY is not required to make any deductions or withholdings from the compensation payable to ATTORNEY under the provisions of this Agreement, and ATTORNEY shall be issued an IRS Form 1099 for its services hereunder. As an independent contractor, ATTORNEY hereby agrees to indemnify and hold CITY harmless from any and all claims that may be made against CITY based upon any contention by any of ATTORNEY'S employees or by any third party, including but not limited to any state or federal agency, that an employer-employee relationship or a substitute therefor exists for any purpose whatsoever by reason of this Agreement or by reason of the nature and/or performance of any Services under this Agreement.
- B. It is further understood and agreed by the parties hereto that ATTORNEY, in the performance of its obligations hereunder, is subject to the control and direction of CITY as to the designation of tasks to be performed and the results to be accomplished by the Services agreed to be rendered and performed under this Agreement, but not as to the means, methods, or sequence used by ATTORNEY for accomplishing such results. To the extent that ATTORNEY obtains permission to, and does, use CITY facilities, space, equipment or support services in the performance of this Agreement, this use shall be at the ATTORNEY's sole discretion based on the ATTORNEY's determination that such use will promote ATTORNEY'S efficiency and effectiveness. Except as may be specifically provided elsewhere in this Agreement, the CITY does not require that ATTORNEY use CITY facilities, equipment or support services or work in CITY locations in the performance of this Agreement.
- C. If, in the performance of this Agreement, any third persons are employed by ATTORNEY, such persons shall be entirely and exclusively under the direction, supervision, and control of ATTORNEY. Except as may be specifically provided elsewhere in this Agreement, all terms of employment, including hours, wages, working conditions, discipline, hiring, and discharging, or any other terms of employment or requirements of law, shall be determined by ATTORNEY. It is further understood and agreed that ATTORNEY shall issue W-2 or 1099 Forms for income and employment tax purposes, for all of ATTORNEY'S assigned personnel and subcontractors.

- 2. Licenses; Permits, Etc.** ATTORNEY represents and warrants that ATTORNEY has all licenses, permits, City Business Operations Tax Certificate, qualifications, and approvals of whatsoever nature which are legally required for ATTORNEY to practice its profession or provide any services under the Agreement. ATTORNEY represents and warrants that

ATTORNEY shall, at its sole cost and expense, keep in effect or obtain at all times during the term of this Agreement any licenses, permits, and approvals which are legally required for ATTORNEY to practice its profession or provide such Services. Without limiting the generality of the foregoing, if ATTORNEY is an out-of-state corporation, ATTORNEY warrants and represents that it possesses a valid certificate of qualification to transact business in the State of California issued by the California Secretary of State pursuant to Section 2105 of the California Corporations Code.

3. **Conflicts of Interest.** ATTORNEY represents and warrants that they have made a diligent effort to determine whether there are any actual or potential conflicts of interest that would preclude or interfere with ATTORNEY'S performance of this Agreement, and that no such conflict of interest exists. ATTORNEY shall indemnify and hold harmless CITY from and against any and all liability, loss, expense and obligation arising directly or indirectly out of any breach of the foregoing representation and warranty. ATTORNEY agrees to avoid conflicts of interest or the appearance of any conflicts of interest with the interests of CITY at all times during the performance of this Agreement.
4. **Confidentiality of CITY Information.** ATTORNEY understands and agrees that during the course performing the services required by this agreement, or in contemplation thereof, ATTORNEYS may have access to private or confidential information which may be owned or controlled by CITY and that such information may contain proprietary or confidential details, the disclosure of which to third parties may be damaging to CITY. ATTORNEYS agree that all information disclosed by CITY to ATTORNEYS shall be held in confidence and used only in performance of the services required by this Agreement. A violation of this section shall be a material violation of this Agreement and shall justify legal and/or equitable relief.
5. **Suspension and Termination.**
  - A. CITY shall have the right at any time to temporarily suspend ATTORNEY'S performance hereunder, in whole or in part, by giving a written notice of suspension to ATTORNEY. If CITY gives such notice of suspension, ATTORNEY shall immediately suspend its activities under this Agreement, as specified in such notice.
  - B. CITY reserves the right to discharge ATTORNEY and terminate this Agreement at any time. In the event of such discharge or termination, CITY shall compensate ATTORNEY for services rendered and expenses necessarily incurred up to and including the date of discharge and expenses unavoidably incurred by ATTORNEY thereafter.
  - C. ATTORNEY may terminate this Agreement at any time by giving to CITY Attorney not less than thirty (30) days prior written notice of termination. The notice shall specify the effective date of and reason for the termination.
  - D. This Agreement is personal to ATTORNEY and any attempted assignment including by successors or assigns, shall be void, unless approved in writing by CITY.
  - E. Upon any termination, ATTORNEY shall immediately return to CITY the file and all materials owned by CITY and cooperate fully in necessary completion and filing of any necessary Substitution of Attorney documents.

**6. Indemnity.**

- A. Indemnity: ATTORNEY shall fully indemnify and save harmless, CITY, its officers and employees, and each and every one of them, from and against all actions, damages, costs, liability, claims, losses, judgments, penalties and expenses of every type and description, including, but not limited to, any fees and/or costs reasonably incurred by CITY'S staff attorneys or outside attorneys and any fees and expenses incurred in enforcing this provision (hereafter collectively referred to as "Liabilities"), to which any or all of them may be subjected, to the extent such Liabilities are caused by or result from any negligent act or omission or willful misconduct of ATTORNEY, its subcontractors or agents, and their respective officers and employees, in connection with the performance or nonperformance of this Agreement, whether or not the CITY, its officers or employees reviewed, accepted or approved any service or work product performed or provided by the ATTORNEY, and whether or not such Liabilities are litigated, settled or reduced to judgment.
- B. Obligation to Defend: ATTORNEY shall, upon CITY'S request, defend at ATTORNEY'S sole cost any action, claim, suit, cause of action or portion thereof which asserts or alleges Liabilities to the extent such Liabilities are caused by or result from any negligent act or omission or willful misconduct of ATTORNEY, its sub-ATTORNEYS, subcontractors or agents, and their respective officers and employees, in connection with the performance or nonperformance of this Agreement, whether such action, claim, suit, cause of action or portion thereof is well founded or not.
- C. Insurance Policies; Intellectual Property Claims: The existence or acceptance by CITY of any of the insurance policies or coverages described in this Agreement shall not affect or limit any of CITY'S rights under this section, nor shall the limits of such insurance limit the liability of ATTORNEY hereunder. This section shall not apply to any intellectual property claims, actions, lawsuits or other proceedings subject to the provisions of Section 4 (Confidentiality of CITY Information) above. The provisions of this section shall survive any expiration or termination of this Agreement.

7. **Publicity.** If any publicity is generated by the subject matter of this representation, ATTORNEYS will consult with CITY before making any statement to any media outlet (whether radio, print or television) about the content and timing of such statement.

8. **Insurance Requirements.** During the entire term of this Agreement, ATTORNEY shall maintain the following insurance:

- A. Minimum Scope of Insurance: Coverage should be at least as broad as:
- (1) Insurance Services Office Form No. CG 0001 (Commercial General Liability);
  - (2) Insurance Services Office Form No. CA 0001 (Ed. 1/87) (Automobile Liability, Code "any auto");
  - (3) Workers' Compensation as required by the Labor Code of the State of California,

- and Employers' Liability Insurance:
- (4) Professional Liability (Errors and Omissions) insurance against loss due to error, omission or malpractice.
- B. Minimum Limits of Insurance: ATTORNEY shall maintain limits no less than:
- (1) Commercial General Liability; \$1,000,000 combined single limit per occurrence, including endorsements for contractual liabilities, broad form property damage and personal injury.
  - (2) Automobile Liability: \$1,000,000 combined single limit per accident for personal injury and property damage arising from owned, hired and non-owned vehicles.
  - (3) Workers' Compensation and Employers' Liability: Workers' compensation limits as required by the Labor Code of the State of California and Employers' Liability limits of \$1,000,000 per accident.
  - (4) Professional Liability (Errors and Omissions): \$2,000,000 combined single limit per occurrence.
- C. Deductibles and Self-Insured Retentions: Any deductibles or self-insured retentions must be declared to and approved by the CITY.
- D. Other Insurance Provisions: The policies are to contain, or be endorsed to contain, the following provisions:
- (1) General Liability and Automobile Liability Coverages:
    - (a) The General Liability and Automobile Liability policies shall be written on an occurrence form and shall name CITY, its officers, officials, agents, employees and volunteers as additional insureds. Such policy(ies) of insurance shall be endorsed so that ATTORNEY's insurance shall be primary and any insurance or self-insurance maintained by CITY, its officials, employees or volunteers shall be in excess of ATTORNEY'S insurance and shall not contribute with it.
    - (b) Any failure to comply with reporting provisions of the policies shall not affect coverage provided to CITY, its officials, employees or volunteers.
    - (c) Coverage shall state that ATTORNEY'S insurance shall apply separately to each insured against whom claim is made or suit is brought, except with respect to the limits of the insurer's liability.
  - (2) All Coverages:
    - (a) Each insurance policy required by this Agreement shall be endorsed to state that coverages shall not be canceled except after thirty (30) days

prior written notice has been given to CITY. In addition, ATTORNEY agrees that it shall not reduce its coverage or limits on any such policy except after thirty (30) days prior written notice has been give to CITY and CITY approves the reduction in coverage or limits. ATTORNEY further agrees that it shall not increase any deductibles or self-insured retentions on any such policy except after thirty (30) days prior written notice has been given to CITY and CITY approves such increase.

(b) In the event claims made forms are used for any Professional Liability coverage, either (i) the policy(ies) shall be endorsed to provide not less than a five (5) year discovery period, or (ii) the coverage shall be maintained for a minimum of five (5) years following the termination of this Agreement and the requirements of this section relating to such coverage shall survive termination or expiration of this Agreement. ATTORNEYS shall furnish CITY with the certificate(s) and applicable endorsements for ALL required insurance prior to CITY's execution of this Agreement.

E. Acceptability of Insurers: Insurance shall be placed with insurers with a Bests' rating of no less than A:VII. This requirement may, however, be waived in individual cases for Errors and Omissions Coverages only; provided, however, that in no event shall a carrier with a rating below B:IX be acceptable.

F. Verification of Coverage: ATTORNEY shall furnish CITY with certificates of insurance showing compliance with the above requirements and with original endorsements affecting all coverages required by this Agreement both prior to the execution of this Agreement, and during the pendency of this Agreement at any time upon request by CITY. The certificates and/or endorsements shall set forth a valid policy number for CITY, and shall indicate the Issue Date, Effective Date and Expiration Date. The certificates and endorsements for each insurance policy shall be signed by a person authorized by the insurer to bind coverage on its behalf. The certificates and endorsements shall be forwarded to the CITY representative named in Exhibit A.

G. Payment Withhold: CITY shall withhold payments to ATTORNEY if the certificates of insurance and endorsements required in this section are canceled or ATTORNEY otherwise ceases to be insured as required herein.

9. **Disqualification of Judges.** At the option of the City Attorney, judges may be disqualified from hearing CITY litigation, and ATTORNEY shall adhere to such decision of the City Attorney. In the event that ATTORNEY is of the opinion that a judge ought to be disqualified, the City Attorney must be consulted in advance by ATTORNEY, and ATTORNEY must obtain the City Attorney's written consent to such disqualification.

10. **Equal Employment Opportunity.** During the performance of this Agreement, ATTORNEY, for itself, its assignees and successors in interest, agrees as follows:

A. Compliance With Regulations: ATTORNEY shall comply with the Executive Order 11246 entitled "Equal Opportunity in Federal Employment", as amended by Executive Order 11375 and 12086, and as supplemented in Department of Labor regulations (41 CFR

Chapter 60), hereinafter collectively referred to as the "Regulations."

- B. Nondiscrimination: ATTORNEY, with regards to the work performed pursuant to this Agreement, shall not discriminate on the ground of race, color, religion, sex, national origin, age, marital status, physical handicap or sexual orientation in selection and retention of subcontractors, including procurement of materials and leases of equipment. ATTORNEY shall not participate either directly or indirectly in discrimination prohibited by the Regulations.
- C. Solicitations for Subcontractors, Including Procurement of Materials and Equipment: In all solicitations either by competitive bidding or negotiations made by ATTORNEY for work to be performed under any subcontract, including all procurement of materials or equipment, each potential subcontractor or supplier shall be notified by ATTORNEY of ATTORNEY'S obligation under this Agreement and the Regulations relative to nondiscrimination on the ground of race, color, religion, sex, national origin, age, marital status, physical handicap or sexual orientation.
- D. Information and Reports: ATTORNEY shall provide all information and reports required by the Regulations, or by any orders or instructions issued pursuant thereto, and shall permit access to its books, records, accounts, other sources of information and its facilities as may be determined by the CITY to be pertinent to ascertain compliance with such Regulations, orders and instructions. Where any information required of ATTORNEY is in the exclusive possession of another who fails or refuses to furnish this information, ATTORNEY shall so certify to the CITY, and shall set forth what efforts it has made to obtain the information.
- E. Sanctions for Noncompliance: In the event of noncompliance by ATTORNEY with the nondiscrimination provisions of this Agreement, the CITY shall impose such sanctions as it may determine to be appropriate including, but not limited to:
- (1) Withholding of payments to ATTORNEY under this Agreement until ATTORNEY complies;
  - (2) Cancellation, termination, or suspension of the Agreement, in whole or in part.
- F. Incorporation of Provisions: ATTORNEY shall include the provisions of subsections A through E, above, in every subcontract, including procurement of materials and leases of equipment, unless exempted by the Regulations, or by any order or instructions issued pursuant thereto. ATTORNEY shall take such action with respect to any subcontract or procurement as the CITY may direct as a means of enforcing such provisions including sanctions for noncompliance; provided, however, that in the event ATTORNEY becomes involved in, or is threatened with, litigation with a subcontractor or supplier as a result of such direction, ATTORNEY may request CITY to enter such litigation to protect the interests of CITY.
11. **Entire Agreement.** This document, including all Exhibits, contains the entire agreement between the parties and supersedes whatever oral or written understanding they may have had prior to the execution of this Agreement. No alteration to the terms of this Agreement shall be valid unless approved in writing by ATTORNEY, and by CITY, in accordance with applicable

provisions of the Sacramento City Code.

12. **Severability.** If any portion of this Agreement or the application thereof to any person or circumstance shall be held invalid or unenforceable, the remainder of this Agreement shall not be affected thereby and shall be enforced to the greatest extent permitted by law.
13. **Waiver.** Neither CITY acceptance of, or payment for, any Service or Additional Service performed by ATTORNEY, nor any waiver by either party of any default, breach or condition precedent, shall be construed as a waiver of any provision of this Agreement, nor as a waiver of any other default, breach or condition precedent or any other right hereunder.
14. **Enforcement of Agreement.** This Agreement shall be governed, construed and enforced in accordance with the laws of the State of California. Venue of any litigation arising out of or connected with this Agreement shall lie exclusively in the state trial court or Federal District Court located in Sacramento County in the State of California, and the parties consent to jurisdiction over their persons and over the subject matter of any such litigation in such courts, and consent to service of process issued by such courts.
15. **Attorney's Fees.** In any action to enforce the terms of this Agreement, the prevailing party shall recover its reasonable attorneys' fees.
16. **Assignment Prohibited.** The expertise and experience of ATTORNEY are material considerations for this Agreement. CITY has a strong interest in the qualifications and capability of the persons and entities who will fulfill the obligations imposed on ATTORNEY under this Agreement. In recognition of this interest, ATTORNEY shall not assign any right or obligation pursuant to this Agreement without the written consent of the CITY. Any attempted or purported assignment without CITY'S written consent shall be void and of no effect.

## EXHIBIT D

### REQUIREMENTS OF THE NON-DISCRIMINATION IN EMPLOYEE BENEFITS CODE

#### INTRODUCTION

The Sacramento Non-Discrimination In Employee Benefits Code (the "Ordinance"), codified as Sacramento City Code Chapter 3.54, prohibits City contractors from discriminating in the provision of employee benefits between employees with spouses and employees with domestic partners, and between the spouses and domestic partners of employees.

#### APPLICATION

The provisions of the Ordinance apply to any contract or agreement (as defined below), between a Contractor and the City of Sacramento, in an amount exceeding \$25,000.00. The Ordinance applies to that portion of a contractor's operations that occur: (i) within the City of Sacramento; (ii) on real property outside the City of Sacramento if the property is owned by the City or if the City has a right to occupy the property; or (iii) at any location where a significant amount of work related to a City contract is being performed.

The Ordinance does not apply: to subcontractors or subcontracts of any Contractor or contractors; to transactions entered into pursuant to cooperative purchasing agreements approved by the Sacramento City Council; to legal contracts of other governmental jurisdictions or public agencies without separate competitive bidding by the City; where the requirements of the ordinance will violate or are inconsistent with the terms or conditions of a grant, subvention or agreement with a public agency or the instructions of an authorized representative of any such agency with respect to any such grant, subvention or agreement; to permits for excavation or street construction; or to agreements for the use of City right-of-way where a contracting utility has the power of eminent domain.

#### DEFINITIONS

As set forth in the Ordinance, the following definitions apply:

"Contract" means an agreement for public works or improvements to be performed, or for goods or services to be purchased or grants to be provided, at the expense of the City or to be paid out of moneys deposited in the treasury or out of the trust money under the control or collected by the City. "Contract" also means a written agreement for the exclusive use ("exclusive use" means the right to use or occupy real property to the exclusion of others, other than the right reserved by the fee owner) or occupancy of real property for a term exceeding 29 days in any calendar year, whether by singular or cumulative instrument, (i) for the operation or use by others of real property owned or controlled by the City for the operation of a business, social, or other establishment or organization, including leases, concessions, franchises and easements, or (ii) for the City's use or occupancy of real property owned by others, including leases, concessions, franchises and easements.

"Contract" shall not include: a revocable at-will use or encroachment permit for the use of or encroachment on City property regardless of the ultimate duration of such permit; excavation, street construction or street use permits; agreements for the use of City right-of-way where a contracting utility has the power of eminent domain; or agreements governing the use of City property that constitute a

public forum for activities that are primarily for the purpose of espousing or advocating causes or ideas and that are generally protected by the First Amendment to the United States Constitution or that are primarily recreational in nature.

"Contractor" means any person or persons, firm partnership or corporation, company, or combination thereof that enters into a Contract with the City. "Contractor" does not include a public entity.

"Domestic Partner" means any person who has a currently registered domestic partnership with a governmental entity pursuant to state or local law authorizing the registration.

"Employee Benefits" means bereavement leave; disability, life, and other types of insurance; family medical leave; health benefits; membership or membership discounts; moving expenses; pension and retirement benefits; vacation; travel benefits; and any other benefit given to employees. "Employee benefits" shall not include benefits to the extent that the application of the requirements of this chapter to such benefits may be preempted by federal or state.

#### **CONTRACTOR'S OBLIGATION TO PROVIDE THE CITY WITH DOCUMENTATION AND INFORMATION**

Contractor shall provide the City with documentation and information verifying its compliance with the requirements of the Ordinance within ten (10) days of receipt of a request from the City. Contractors shall keep accurate payroll records, showing, for each City Contract, the employee's name, address, Social Security number, work classification, straight time pay rate, overtime pay rate, overtime hours worked, status and exemptions, and benefits for each day and pay period that the employee works on the City Contract. Each request for payroll records shall be accompanied by an affidavit to be completed and returned by the Contractor, as stated, attesting that the information contained in the payroll records is true and correct, and that the Contractor has complied with the requirements of the Ordinance. A violation of the Ordinance or noncompliance with the requirements of the Ordinance shall constitute a breach of contract.

#### **EMPLOYER COMPLIANCE CERTIFICATE AND NOTICE REQUIREMENTS**

(a) All contractors seeking a Contract subject to the Ordinance shall submit a completed Declaration of Compliance Form, signed by an authorized representative, with each proposal, bid or application. The Declaration of Compliance shall be made a part of the executed contract, and will be made available for public inspection and copying during regular business hours.

(b) The Contractor shall give each existing employee working directing on a City contract, and (at the time of hire), each new employee, a copy of the notification provided as Attachment "1."

(c) Contractor shall post, in a place visible to all employees, a copy of the notice provided as Attachment "2."

## Attachment 1



### **YOUR RIGHTS UNDER THE CITY OF SACRAMENTO'S NON-DISCRIMINATION IN EMPLOYEE BENEFITS CODE**

On ..... (date), your employer (the "Employer") entered into a contract with the City of Sacramento (the "City") for ..... (contract details), and as a condition of that contract, agreed to abide by the requirements of the City's Non-Discrimination In Employee Benefits Code (Sacramento City Code Section 3.54).

The Ordinance does not require the Employer to provide employee benefits. The Ordinance does require that if certain employee benefits are provided by the Employer, that those benefits be provided without discrimination between employees with spouses and employees with domestic partners, and without discrimination between the spouse or domestic partner of employees.

The Ordinance covers any employee working on the specific contract referenced above, but only for the period of time while those employees are actually working on this specific contract.

The included employee benefits are:

- Bereavement leave
- Disability, life and other types of insurance
- Family medical leave
- Health benefits
- Membership or membership discounts
- Moving expenses
- Pension and retirement benefits
- Vacation
- Travel benefits
- Any other benefits given to employees

(Employee Benefits does not include benefits that may be preempted by federal or state law.)

If you feel you have been discriminated or retaliated against by your employer in the terms and conditions of your application for employment, or in your employment, or in the application of these employee benefits, because of your status as an applicant or as an employee protected by the Ordinance, or because you reported a violation of the Ordinance, and after having exhausted all remedies with your employer,

**You May . . .**

- Submit a written complaint to the City of Sacramento, Contract Services Unit, containing the details of the alleged violation. The address is:

City of Sacramento  
Contract Services Unit  
921 10th St., Room 402  
Sacramento, CA 95814-2714

- Bring an action in the appropriate division of the Superior Court of the State of California against the Employer and obtain the following remedies:
  - Reinstatement, injunctive relief, compensatory damages and punitive damages
  - Reasonable attorney's fees and costs

## Attachment 2



### **YOUR RIGHTS UNDER THE CITY OF SACRAMENTO'S NON-DISCRIMINATION IN EMPLOYEE BENEFITS CODE**

If your employer provides employee benefits, they must be provided to those employees working on a City of Sacramento contract without discriminating between employees with spouses and employees with domestic partners.

The included employee benefits are:

- Bereavement leave
- Disability, life and other types of insurance
- Family medical leave
- Health benefits
- Membership or membership discounts
- Moving expenses
- Pension and retirement benefits
- Vacation
- Travel benefits
- Any other benefits given to employees

If you feel you have been discriminated against by your employer . . .

#### **You May . . .**

- Submit a written complaint to the City of Sacramento, Contract Services Unit, containing the details of the alleged violation. The address is:  
  
City of Sacramento  
Contract Services Unit  
921 10th St., Room 402  
Sacramento, CA 95814-2714
- Bring an action in the appropriate division of the Superior Court of the State of California against the employer and obtain reinstatement, injunctive relief, compensatory damages, punitive damages and reasonable attorney's fees and costs.

#### **Discrimination and Retaliation Prohibited.**

If you feel you have been discriminated or retaliated against by your employer in the terms and conditions of your application for employment, or in your employment, because of your status as an applicant or as an employee protected by the Ordinance, or because you reported a violation of this Ordinance . . .

#### **You May Also . . .**

Submit a written complaint to the City of Sacramento, Contract Services Unit, at the same address, containing the details of the alleged violation.

EXHIBIT E

City of Sacramento  
Workers' Compensation Referral Worksheet

Pursuant to the terms of Master Services Agreement No. \_\_\_\_\_ the City of Sacramento hereby retains services of \_\_\_\_\_ (Firm), \_\_\_\_\_ (attorney), for the following case \_\_\_\_\_

City Contact Information

Name \_\_\_\_\_ Phone \_\_\_\_\_  
Address \_\_\_\_\_  
Claim # \_\_\_\_\_

Case Information

Workers' Comp     L&H     Subrogation     132(a)     S&W  
Employee \_\_\_\_\_ DOB \_\_\_\_\_ Occupation \_\_\_\_\_  
Employer \_\_\_\_\_ Policy Period \_\_\_\_\_  
Date of Injury \_\_\_\_\_ Body Parts \_\_\_\_\_  
Employee's Attorney \_\_\_\_\_  
Claim Form Returned On \_\_\_\_\_ Application Filed On \_\_\_\_\_  
Denial Letter Issued On \_\_\_\_\_ Answer Filed On \_\_\_\_\_  
or Denial Due Date \_\_\_\_\_ File Answer  Yes  No

*Please Attach Copies of All Claim Forms Provided To Employee*

Benefits Paid

TD \$ \_\_\_\_\_ RATE \_\_\_\_\_ PERIODS \_\_\_\_\_  
LC 4850 \$ \_\_\_\_\_ RATE \_\_\_\_\_ PERIODS \_\_\_\_\_  
PD \$ \_\_\_\_\_ RATE \_\_\_\_\_ PERIODS \_\_\_\_\_  
Medical Expenses \$ \_\_\_\_\_ SJDB EXPENSE \$ \_\_\_\_\_

Issues

- |   |   |                                     |
|---|---|-------------------------------------|
| <input type="checkbox"/> 1. Injury AOE-     | <input type="checkbox"/> 8. Apportionment           | <input type="checkbox"/> 15. 132(a) |
| <input type="checkbox"/> 2. Employment      | <input type="checkbox"/> 9. Past Medical            | <input type="checkbox"/> 16. S&W    |
| <input type="checkbox"/> 3. Occupation      | <input type="checkbox"/> 10. Future Medical         | <input type="checkbox"/> 17. Other  |
| <input type="checkbox"/> 4. Coverage        | <input type="checkbox"/> 11. Statute of Limitations |                                     |
| <input type="checkbox"/> 5. Earnings        | <input type="checkbox"/> 12. Jurisdiction           |                                     |
| <input type="checkbox"/> 6. Temp Disability | <input type="checkbox"/> 13. Dependency             |                                     |
| <input type="checkbox"/> 7. Perm Disability | <input type="checkbox"/> 14. Subrogation            |                                     |

Defense Discovery Requested

Depose Applicant  Yes  No     Need to Discuss  
Schedule Med Exam  Yes  No    Physician \_\_\_\_\_  
Subpoena Records  Yes  No    Source \_\_\_\_\_

Comments:



Requires Council Approval:  No  YES Meeting:

Real Estate  Other Party Signature Needed  Recording Requested

General Information

Type: Professional Services	PO Type: Select PO Type	Attachment: Original No.:
\$ Not to Exceed: \$625,000		Original Doc Number:
Other Party: Hanna Brophy		Certified Copies of Document::
Project Name: Workers' Compensation Legal Services		Deed: <input type="checkbox"/> None <input type="checkbox"/> Included <input type="checkbox"/> Separate
Project Number:	Bid Transaction #:	E/SBE-DBE-M/WBE:

Department Information

Department: Human Resources Division: Risk Management  
 Project Mgr: Supervisor: Edna Young  
 Contract Services: Date: Division Mgr: Patrick Flaherty  
 Phone Number: 808-5741 Org Number: 08001371  
 Comment:

Review and Signature Routing

Department	Signature or Initial	Date
Project Mgr:		
Accounting:		
Contract Services:		
Supervisor:		
Division Manager:		

**City Attorney** Signature or Initial Date  
 City Attorney:   *SA*     8-27-14  

Send Interoffice Mail  Notify for Pick Up

**Authorization** Signature or Initial Date  
 Choose Director  
 Department Director: \_\_\_\_\_  
 City Mgr: yes  No

Contract Cover/Routing Form: Must Accompany ALL Contracts; however, is not part of the contract. (01-01-09)

<b>For City Clerk Processing</b>	
<b>Finalized:</b>	
Initial:	
Date:	
<b>Imaged:</b>	
Initial:	
Date:	
<b>Received:</b> <small>(City Clerk Stamp Here)</small>	

**CITY OF SACRAMENTO  
WORKERS COMPENSATION  
MASTER LEGAL SERVICES AGREEMENT**

**THIS AGREEMENT** is made at Sacramento, California, as of September 13, 2014, by and between the **CITY OF SACRAMENTO**, a municipal corporation ("CITY"), and

**Hanna Brophy  
3100 Zinfandel Drive, Suite 400  
Rancho Cordova, CA 95670  
Atten: Elizabeth Trimm  
Phone: (916) 929-9411 Fax: (916) 929-0549**

("ATTORNEY"), who agree as follows:

1. **Services.** Subject to the terms and conditions set forth in this Agreement, ATTORNEY shall provide to CITY the services described in Exhibit A. ATTORNEY shall not be compensated for services outside the scope of Exhibit A unless prior to the commencement of such services: (a) ATTORNEY notifies CITY and CITY agrees that such services are outside the scope of Exhibit A; (b) ATTORNEY estimates the additional compensation required for these additional services; and (c) CITY, after notice, approves in writing a Supplemental Agreement specifying the additional services and amount of compensation therefor.
2. **Fees and Costs.** CITY shall compensate ATTORNEY for legal services rendered at the hourly billing rates set forth in Exhibit B.
3. **General Provisions.** The General Provisions set forth in Exhibit C are part of this Agreement. In the event of any conflict between the General Provisions and any terms or conditions of any document prepared or provided by ATTORNEY and made a part of this Agreement, including without limitation any document relating to the scope of services or payment therefor, the General Provisions shall control over said terms or conditions.
4. **CITY Representative.** The CITY Representative(s) specified in Exhibit A, or the Representative's designee, shall administer this Agreement for CITY.
5. **Non-Discrimination in Employee Benefits.** This Agreement is subject to the provisions of Sacramento City Code Chapter 3.54, Non-Discrimination in Employee Benefits by City Contractors. The requirements of Sacramento City Code Chapter 3.54 are summarized in Exhibit D. ATTORNEY is required to sign the attached Declaration of Compliance (Equal Benefits Ordinance), to assure compliance with these requirements.
6. **Authority.** The person signing this Agreement for ATTORNEY hereby represents and warrants that he/she is fully authorized to sign this Agreement on behalf of ATTORNEY and to bind ATTORNEY to the performance of its obligations hereunder.
7. **Exhibits.** All exhibits referred to herein are attached hereto and are by this reference incorporated as if set forth fully herein.

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**LEGAL SERVICES AGREEMENT**

Executed as of the day and year first above stated.

**CITY OF SACRAMENTO**  
A Municipal Corporation

By: [Signature]  
Print name: James Sanchez  
Title: City Attorney

**APPROVED TO AS FORM:**

[Signature]  
Asst City Attorney

**ATTEST:**

\_\_\_\_\_  
City Clerk

**Attachments**

- Exhibit A - Scope of Service
- Exhibit B - Fee Schedule/Manner of Payment
- Exhibit C - General Provisions
- Exhibit D - Non-Discrimination in Employee Benefits
- Exhibit E - Workers' Compensation Referral Worksheet

**ATTORNEY:**

Hanna, Brophy, MacLean, McAleer & Jensen LLP  
94-2709617  
Federal I.D. No.  
133-4672-1  
State I.D. No.

Office located in Rancho Cordova  
City of Sacramento Business Op. Tax Cert. No.

**TYPE OF BUSINESS ENTITY (check one):**

- Individual/Sole Proprietor
- Partnership LLP
- Corporation
- Limited Liability Company
- Other (please specify: \_\_\_\_\_)

[Signature]  
**Signature of Authorized Person**

**Office and Managing Partner**  
Title Elizabeth S. Trimm

\_\_\_\_\_  
Additional Signature (if required)

\_\_\_\_\_  
Title

**DECLARATION OF COMPLIANCE  
Equal Benefits Ordinance**

Name of ATTORNEY: Elizabeth Trimm, for and on behalf of Hanna Brophy

Address: 3100 Zinfandel Drive, Suite 400, Rancho Cordova, CA 95670

The above named ATTORNEY ("Contractor") hereby declares and agrees as follows:

1. I have read and understand the Requirements of the Non-Discrimination In Employee Benefits Code (the "Requirements") provided to me by the City of Sacramento ("City") and attached as Exhibit D to my City contract or agreement ("Contract").
2. As a condition of receiving the City Contract, I agree to fully comply with the Requirements, as well as any additional requirements that may be specified in the City's Non-Discrimination In Employee Benefits Code codified at Chapter 3.54 of the Sacramento City Code (the "Ordinance").
3. I understand, to the extent that such benefits are not preempted or prohibited by federal or state law, employee benefits covered by the Ordinance, are any of the following:
  - a. Bereavement Leave
  - b. Disability, life, and other types of insurance
  - c. Family medical leave
  - d. Health benefits
  - e. Membership or membership discounts
  - f. Moving expenses
  - g. Pension and retirement benefits
  - h. Vacation
  - i. Travel benefits
  - j. Any other benefit offered to employees

I agree that should I offer any of the above listed employee benefits, that I will offer those benefits, without discrimination between employees with spouses and employees with domestic partners, and without discrimination between the spouses and domestic partners of such employees.

4. I understand that I will not be considered to be discriminating in the provision or application of employee benefits under the following conditions or circumstances:
  - a. In the event that the actual cost of providing a benefit to a domestic partner or spouse, exceeds the cost of providing the same benefit to a spouse or domestic partner of an employee, I will not be required to provide the benefit, nor shall it be deemed discriminatory, if I require the employee to pay the monetary difference in order to provide the benefit to the domestic partner or to the spouse.
  - b. In the event I am unable to provide a certain benefit, despite taking reasonable measures to do so, if I provide the employee with a cash equivalent, I will not be deemed to be discriminating in the application of that benefit.
  - c. If I provide employee benefits neither to employee's spouses nor to employee's domestic partners.
  - d. If I provide employee benefits to employees on a basis unrelated to marital or domestic partner status.

- e. If I submit, to the Program Coordinator, written evidence of making reasonable efforts to end discrimination in employee benefits by implementing policies which are to be enacted before the first effective date after the first open enrollment process following the date the Contract is executed with the City.

I understand that any delay in the implementation of such policies may not exceed one (1) year from the date the Contract is executed with the City, and applies only to those employee benefits for which an open enrollment process is applicable.

- f. Until administrative steps can be taken to incorporate, in the infrastructure, nondiscrimination in employee benefits.

The time allotted for these administrative steps will apply only to those employee benefits for which administrative steps are necessary and may not exceed three (3) months from the date the Contract is executed with the City.

- g. Until the expiration of a current collective bargaining agreement(s) where, in fact, employee benefits are governed by a collective bargaining agreement(s).

- h. I take all reasonable measures to end discrimination in employee benefits by either requesting the union(s) involved agree to reopen the agreement(s) in order for me to take whatever steps are necessary to end discrimination in employee benefits or by my ending discrimination in employee benefits without reopening the collective bargaining agreement(s).

- i. In the event I cannot end discrimination in employee benefits despite taking all reasonable measures to do so, I provide a cash equivalent to eligible employees for whom employee benefits (as listed previously), are not available.

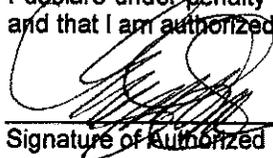
Unless otherwise authorized in writing by the City Manager, I understand this cash equivalent must begin at the time the union(s) refuse to allow the collective bargaining agreement(s) to be reopened or no longer than three (3) months from the date the Contract is executed with the City.

5. I understand that failure to comply with the provisions of Section 4. (a) through 4. (i), above, will subject me to possible suspension and/or termination of this Contract for cause; repayment of any or all of the Contract amount disbursed by the City; debarment for future contracts until all penalties and restitution have been paid in full; deemed ineligible for future contracts for up to two (2) years; the imposition of a penalty, payable to the City, in the sum of \$50.00 for each employee, for each calendar day during which the employee was discriminated against in violation of the provisions of the Ordinance.
6. I understand and do hereby agree to provide each current employee and, within ten (10) days of hire, each new employee, of their rights under the Ordinance. I further agree to maintain a copy of each such letter provided, in an appropriate file for possible inspection by an authorized representative of the City. I also agree to prominently display a poster informing each employee of these rights.
7. I understand that I have the right to request an exemption to the benefit provisions of the Ordinance when such a request is submitted to the Procurement Services Division, in writing with sufficient justification for resolution, prior to contract award.
8. I further understand that the City may request a waiver or exemption to the provisions or requirements of the Ordinance, when only one contractor is available to enter into a contract or agreement to occupy and use City property on terms and conditions established by the City; when sole source conditions exist for goods, services, public project or improvements and related construction services; when there are no responsive bidders to the Ordinance requirements and the contract is for essential goods or services;

when emergency conditions with public health and safety implications exist; or when the contract is for specialized legal services if in the best interest of the City.

9. In consideration of the foregoing, I shall defend, indemnify and hold harmless, the City, its officers and employees, against any claims, actions, damages, costs (including reasonable attorney fees), or other liabilities of any kind arising from any violation of the Requirements or of the Ordinance by me.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct, and that I am authorized to bind the Contractor to the provisions of this Declaration.



\_\_\_\_\_  
Signature of Authorized Representative

8/26/14

\_\_\_\_\_  
Date

Elizabeth Trimm

\_\_\_\_\_  
Print Name

Office and Managing Partner

\_\_\_\_\_  
Title

**EXHIBIT A  
WORKERS COMPENSATION  
MASTER LEGAL SERVICES AGREEMENT**

**SCOPE OF SERVICES**

**1. Representatives.**

The CITY Representative(s) for this Agreement is:

*Patrick Flaherty, Risk Manager  
City of Sacramento- Risk Management  
915 I Street- 4<sup>th</sup> Floor Sacramento CA 95814  
Phone (916) 808-5741 Fax (916) 808-8216  
E-mail: [PFlaherty@cityofsacramento.org](mailto:PFlaherty@cityofsacramento.org)*

All ATTORNEY questions pertaining to this Agreement shall be referred to the CITY Representative or the Representative's designee.

The ATTORNEY Representative for this Agreement is:

*Elizabeth Trimm  
Hanna Brophy  
3100 Zinfandel Drive, Suite 400  
Rancho Cordova, CA 95670  
Phone: (916) 929-9411 Fax: (916) 929-0549  
E-mail: [etrimm@hannabrophy.com](mailto:etrimm@hannabrophy.com)*

All CITY questions pertaining to this Agreement shall be referred to the ATTORNEY Representative. All correspondence to ATTORNEY shall be addressed to the address set forth on page one of this Agreement. Unless otherwise provided in this Agreement, all correspondence to CITY, and Notices to CITY shall be addressed to the CITY Representative.

**2. Scope of Services.**

Workers' compensation defense including serious and willful claims and Labor Code section 132(a) discrimination claims. Continuing education and training including updated case law developments in the field of workers compensation and subrogation. The City will contact Consultant in writing when services are requested. The name of the case and specific request for services will be identified in a Workers Compensation Referral Worksheet attached hereto as Exhibit E. All services described in Exhibit E are on an as needed basis as directed by the City. This agreement does not guarantee Consultant a minimum amount of payment in the event services are not utilized.

**3. Time of Performance.**

The services described herein shall be effective as of September 13, 2014. The term of the agreement shall be for three years from the effective date to September 13, 2017.

**4. Reporting.**

a. ATTORNEY shall provide CITY with a preliminary status report and/or file review and evaluation within thirty (30) days which shall include an evaluation of specific issues as identified in the Workers Compensation Referral Worksheet. In this preliminary report, ATTORNEY shall also make recommendations for discovery and any needed investigation.

Within 10 days of any request by the CITY, ATTORNEY shall provide CITY with a status report on the progress of the representation.

b. ATTORNEY shall provide to the CITY representative copies of all pleadings, motions, and discovery documents and medical reports filed or propounded by ATTORNEY in this case.

c. In the event that ATTORNEY's handling of the above-designated matter exceeds a period of twenty-four (24) months, ATTORNEY shall, in addition to providing regular status reports to CITY, also report directly to the CITY representative on an annual basis and no later than June 30th of each year, setting forth in detail the status of the matter, and ATTORNEY's plan for further handling of the matter, and the estimated length of time to conclude the matter.

**EXHIBIT B  
WORKERS COMPENSATION  
MASTER LEGAL SERVICES AGREEMENT  
FEE SCHEDULE/MANNER OF PAYMENT**

1. **ATTORNEY'S Compensation.** CITY shall compensate ATTORNEY for legal services rendered at an hourly billing rate of \$165 for defense of Workers Compensation claims from September 13, 2014 to March 13, 2016 and an hourly rate of \$170 from March 14, 2016 to September 13, 2017; \$220 for defense of Workers Compensation 132(a) S&W, Audits, Disability Retirement Claims, ADA Claims and Workers Compensation Subrogation, to be billed in tenths of an hour. All office, travel, discovery, hearing, trial or other time shall be charged at this rate. CITY shall compensate ATTORNEYS for paralegal services rendered at an hourly billing rate of \$105, to be billed in tenths of an hour. CITY shall not be charged for services that are strictly of a clerical, administrative or secretarial nature, such as scheduling, calendaring or word processing. The total of all fees paid to ATTORNEY for the performance of all services set forth in Exhibit A, including normal revisions (hereafter the "Services"), and for all authorized Reimbursable Expenses, shall not exceed the total sum of \$625,000.

Such compensation shall be the sole and total remuneration for the rendition of services under this Agreement.

ATTORNEYS agree that cases will be assigned by the Workers Compensation Referral Worksheet attached hereto as Exhibit E. The attorney identified in the Workers Compensation Referral Worksheet will provide legal services and no other associate or partner will perform work without CITY's advance authorization.

2. **ATTORNEY'S Reimbursable Expenses.** CITY shall reimburse ATTORNEY for the actual, reasonable and necessary expense of travel out of the Sacramento metropolitan area, approved in advance, at \$0.43 per mile. ATTORNEY will not charge CITY for the cost of telephone calls or photocopying. Litigation costs and expenses for statutory fees, witness fees, reporters' per diem and stenographic transcriptions, jury fees, and the expenses of serving process shall be advanced by ATTORNEY and reimbursed by CITY. Expert consultants and witnesses may be retained by ATTORNEY on terms acceptable to CITY, approved in advance, in which case CITY shall reimburse ATTORNEY or pay such consultants or experts directly.
3. **Billing.**
- A. Legal billings shall be submitted to CITY every thirty (30) days unless otherwise advised.
  - B. Each task shall be distinctly and completely identified; the City will not pay invoices that contain block billing. Each billing entry must contain the initials of the individual performing the task, the nature of the task, the date it was performed, and the length of time it took.
  - C. Costs under \$500.00 shall be paid by ATTORNEYS and submitted with their normal billing.

- E. Payments to ATTORNEY shall be made within a reasonable time after receipt of ATTORNEY'S invoice. ATTORNEY may request, but CITY is under no obligation to approve, payment on a monthly basis. ATTORNEY shall be responsible for supplying all documentation necessary to verify the monthly billings to the satisfaction of CITY.
- F. In addition to the provisions stated elsewhere in this Agreement regarding the payment of fees, billing and budgeting, the following guidelines for billing apply:
1. CITY expects each individual working on the matter for which ATTORNEYS were retained to have the necessary experience to perform the services required to protect or pursue CITY'S interests in the matter in a cost effective manner.
  2. CITY expects ATTORNEYS to select the individual most suitable for the task required and the specific needs of the matter, and to use the maximum efficiencies available. Billings for services performed by the inappropriate level of personnel will be reduced by the City based on rate adjustments for the appropriate level of personnel.
  3. CITY will not pay for unnecessary review of texts, codes, rules of court, or other fundamental references. CITY will pay the hourly rate for specific legal research which is unique to the matter, assuming that ATTORNEYS have used maximum efficiencies and that ATTORNEYS have not already performed research in the same or similar areas of law.
  4. CITY acknowledges the benefit of communications between attorneys in the firm. CITY does, however, expect that intra-office conferences will only be held as needed, and will be kept to a minimum. Intra-office conferences shall be for the purpose of discussing strategy and legal issues which directly further the matter. CITY will not pay for conferences which are supervisory or instructional. Any invoice which lists an intra-office conference that exceeds these guidelines must contain a full explanation and is subject to reduction by CITY. CITY will not pay for "team meetings" and CITY will scrutinize all intra-office conferences for "value added" to the matter by the conference, for the number of individuals attending the conference, the length of the conference, the subjects discussed at the conference and who participated in it, and will, in CITY'S sole discretion, determine if such value has been added.
  5. CITY will not pay for local telephone calls, incoming facsimiles, postage, time spent on filing, calendaring, indexing pleadings, photocopying, conferences with Clerks of court or court reporters, proofreading, re-drafting due to substandard work, time billed by summer interns or associates, time for more than one individual to attend a trial, hearing, court appearance, arbitration, mediation, deposition, third party meeting, conference call or similar event, unless otherwise approved by CITY in advance, or opening, organizing or closing files.
  6. Vague billing which does not contain sufficient information to allow City's reviewer of the invoice to determine the nature of the task, the reason for the task, and the individual performing the task is subject to reduction by CITY.

**EXHIBIT C  
WORKERS COMPENSATION  
MASTER LEGAL SERVICES AGREEMENT**

**GENERAL PROVISIONS**

**1. Independent Contractor.**

- A. It is understood and agreed that ATTORNEY (including ATTORNEY's employees) is an independent contractor and that no relationship of employer-employee exists between the parties hereto for any purpose whatsoever. Neither ATTORNEY nor ATTORNEY'S assigned personnel shall be entitled to any benefits payable to employees of CITY. CITY is not required to make any deductions or withholdings from the compensation payable to ATTORNEY under the provisions of this Agreement, and ATTORNEY shall be issued an IRS Form 1099 for its services hereunder. As an independent contractor, ATTORNEY hereby agrees to indemnify and hold CITY harmless from any and all claims that may be made against CITY based upon any contention by any of ATTORNEY'S employees or by any third party, including but not limited to any state or federal agency, that an employer-employee relationship or a substitute therefor exists for any purpose whatsoever by reason of this Agreement or by reason of the nature and/or performance of any Services under this Agreement.
- B. It is further understood and agreed by the parties hereto that ATTORNEY, in the performance of its obligations hereunder, is subject to the control and direction of CITY as to the designation of tasks to be performed and the results to be accomplished by the Services agreed to be rendered and performed under this Agreement, but not as to the means, methods, or sequence used by ATTORNEY for accomplishing such results. To the extent that ATTORNEY obtains permission to, and does, use CITY facilities, space, equipment or support services in the performance of this Agreement, this use shall be at the ATTORNEY's sole discretion based on the ATTORNEY's determination that such use will promote ATTORNEY'S efficiency and effectiveness. Except as may be specifically provided elsewhere in this Agreement, the CITY does not require that ATTORNEY use CITY facilities, equipment or support services or work in CITY locations in the performance of this Agreement.
- C. If, in the performance of this Agreement, any third persons are employed by ATTORNEY, such persons shall be entirely and exclusively under the direction, supervision, and control of ATTORNEY. Except as may be specifically provided elsewhere in this Agreement, all terms of employment, including hours, wages, working conditions, discipline, hiring, and discharging, or any other terms of employment or requirements of law, shall be determined by ATTORNEY. It is further understood and agreed that ATTORNEY shall issue W-2 or 1099 Forms for income and employment tax purposes, for all of ATTORNEY'S assigned personnel and subcontractors.

- 2. Licenses; Permits, Etc.** ATTORNEY represents and warrants that ATTORNEY has all licenses, permits, City Business Operations Tax Certificate, qualifications, and approvals of whatsoever nature which are legally required for ATTORNEY to practice its profession or provide any services under the Agreement. ATTORNEY represents and warrants that

ATTORNEY shall, at its sole cost and expense, keep in effect or obtain at all times during the term of this Agreement any licenses, permits, and approvals which are legally required for ATTORNEY to practice its profession or provide such Services. Without limiting the generality of the foregoing, if ATTORNEY is an out-of-state corporation, ATTORNEY warrants and represents that it possesses a valid certificate of qualification to transact business in the State of California issued by the California Secretary of State pursuant to Section 2105 of the California Corporations Code.

3. **Conflicts of Interest.** ATTORNEY represents and warrants that they have made a diligent effort to determine whether there are any actual or potential conflicts of interest that would preclude or interfere with ATTORNEY'S performance of this Agreement, and that no such conflict of interest exists. ATTORNEY shall indemnify and hold harmless CITY from and against any and all liability, loss, expense and obligation arising directly or indirectly out of any breach of the foregoing representation and warranty. ATTORNEY agrees to avoid conflicts of interest or the appearance of any conflicts of interest with the interests of CITY at all times during the performance of this Agreement.
4. **Confidentiality of CITY Information.** ATTORNEY understands and agrees that during the course performing the services required by this agreement, or in contemplation thereof, ATTORNEYS may have access to private or confidential information which may be owned or controlled by CITY and that such information may contain proprietary or confidential details, the disclosure of which to third parties may be damaging to CITY. ATTORNEYS agree that all information disclosed by CITY to ATTORNEYS shall be held in confidence and used only in performance of the services required by this Agreement. A violation of this section shall be a material violation of this Agreement and shall justify legal and/or equitable relief.
5. **Suspension and Termination.**
  - A. CITY shall have the right at any time to temporarily suspend ATTORNEY'S performance hereunder, in whole or in part, by giving a written notice of suspension to ATTORNEY. If CITY gives such notice of suspension, ATTORNEY shall immediately suspend its activities under this Agreement, as specified in such notice.
  - B. CITY reserves the right to discharge ATTORNEY and terminate this Agreement at any time. In the event of such discharge or termination, CITY shall compensate ATTORNEY for services rendered and expenses necessarily incurred up to and including the date of discharge and expenses unavoidably incurred by ATTORNEY thereafter.
  - C. ATTORNEY may terminate this Agreement at any time by giving to CITY Attorney not less than thirty (30) days prior written notice of termination. The notice shall specify the effective date of and reason for the termination.
  - D. This Agreement is personal to ATTORNEY and any attempted assignment including by successors or assigns, shall be void, unless approved in writing by CITY.
  - E. Upon any termination, ATTORNEY shall immediately return to CITY the file and all materials owned by CITY and cooperate fully in necessary completion and filing of any necessary Substitution of Attorney documents.

**6. Indemnity.**

- A. Indemnity: ATTORNEY shall fully indemnify and save harmless, CITY, its officers and employees, and each and every one of them, from and against all actions, damages, costs, liability, claims, losses, judgments, penalties and expenses of every type and description, including, but not limited to, any fees and/or costs reasonably incurred by CITY'S staff attorneys or outside attorneys and any fees and expenses incurred in enforcing this provision (hereafter collectively referred to as "Liabilities"), to which any or all of them may be subjected, to the extent such Liabilities are caused by or result from any negligent act or omission or willful misconduct of ATTORNEY, its subcontractors or agents, and their respective officers and employees, in connection with the performance or nonperformance of this Agreement, whether or not the CITY, its officers or employees reviewed, accepted or approved any service or work product performed or provided by the ATTORNEY, and whether or not such Liabilities are litigated, settled or reduced to judgment.
- B. Obligation to Defend: ATTORNEY shall, upon CITY'S request, defend at ATTORNEY'S sole cost any action, claim, suit, cause of action or portion thereof which asserts or alleges Liabilities to the extent such Liabilities are caused by or result from any negligent act or omission or willful misconduct of ATTORNEY, its sub-ATTORNEYS, subcontractors or agents, and their respective officers and employees, in connection with the performance or nonperformance of this Agreement, whether such action, claim, suit, cause of action or portion thereof is well founded or not.
- C. Insurance Policies: Intellectual Property Claims: The existence or acceptance by CITY of any of the insurance policies or coverages described in this Agreement shall not affect or limit any of CITY'S rights under this section, nor shall the limits of such insurance limit the liability of ATTORNEY hereunder. This section shall not apply to any intellectual property claims, actions, lawsuits or other proceedings subject to the provisions of Section 4 (Confidentiality of CITY Information) above. The provisions of this section shall survive any expiration or termination of this Agreement.

7. **Publicity.** If any publicity is generated by the subject matter of this representation, ATTORNEYS will consult with CITY before making any statement to any media outlet (whether radio, print or television) about the content and timing of such statement.

8. **Insurance Requirements.** During the entire term of this Agreement, ATTORNEY shall maintain the following insurance:

- A. Minimum Scope of Insurance: Coverage should be at least as broad as:
- (1) Insurance Services Office Form No. CG 0001 (Commercial General Liability);
  - (2) Insurance Services Office Form No. CA 0001 (Ed. 1/87) (Automobile Liability, Code "any auto");
  - (3) Workers' Compensation as required by the Labor Code of the State of California,

- and Employers' Liability Insurance:
- (4) Professional Liability (Errors and Omissions) insurance against loss due to error, omission or malpractice.

B. Minimum Limits of Insurance: ATTORNEY shall maintain limits no less than:

- (1) Commercial General Liability; \$1,000,000 combined single limit per occurrence, including endorsements for contractual liabilities, broad form property damage and personal injury.
- (2) Automobile Liability: \$1,000,000 combined single limit per accident for personal injury and property damage arising from owned, hired and non-owned vehicles.
- (3) Workers' Compensation and Employers' Liability: Workers' compensation limits as required by the Labor Code of the State of California and Employers' Liability limits of \$1,000,000 per accident.
- (4) Professional Liability (Errors and Omissions): \$2,000,000 combined single limit per occurrence.

C. Deductibles and Self-Insured Retentions: Any deductibles or self-insured retentions must be declared to and approved by the CITY.

D. Other Insurance Provisions: The policies are to contain, or be endorsed to contain, the following provisions:

- (1) General Liability and Automobile Liability Coverages:
  - (a) The General Liability and Automobile Liability policies shall be written on an occurrence form and shall name CITY, its officers, officials, agents, employees and volunteers as additional insureds. Such policy(ies) of insurance shall be endorsed so that ATTORNEY'S insurance shall be primary and any insurance or self-insurance maintained by CITY, its officials, employees or volunteers shall be in excess of ATTORNEY'S insurance and shall not contribute with it.
  - (b) Any failure to comply with reporting provisions of the policies shall not affect coverage provided to CITY, its officials, employees or volunteers.
  - (c) Coverage shall state that ATTORNEY'S insurance shall apply separately to each insured against whom claim is made or suit is brought, except with respect to the limits of the insurer's liability.
- (2) All Coverages:
  - (a) Each insurance policy required by this Agreement shall be endorsed to state that coverages shall not be canceled except after thirty (30) days

prior written notice has been given to CITY. In addition, ATTORNEY agrees that it shall not reduce its coverage or limits on any such policy except after thirty (30) days prior written notice has been give to CITY and CITY approves the reduction in coverage or limits. ATTORNEY further agrees that it shall not increase any deductibles or self-insured retentions on any such policy except after thirty (30) days prior written notice has been given to CITY and CITY approves such increase.

(b) In the event claims made forms are used for any Professional Liability coverage, either (i) the policy(ies) shall be endorsed to provide not less than a five (5) year discovery period, or (ii) the coverage shall be maintained for a minimum of five (5) years following the termination of this Agreement and the requirements of this section relating to such coverage shall survive termination or expiration of this Agreement. ATTORNEYS shall furnish CITY with the certificate(s) and applicable endorsements for ALL required insurance prior to CITY's execution of this Agreement.

E. Acceptability of Insurers: Insurance shall be placed with insurers with a Bests' rating of no less than A:VII. This requirement may, however, be waived in individual cases for Errors and Omissions Coverages only; provided, however, that in no event shall a carrier with a rating below B:IX be acceptable.

F. Verification of Coverage: ATTORNEY shall furnish CITY with certificates of insurance showing compliance with the above requirements and with original endorsements affecting all coverages required by this Agreement both prior to the execution of this Agreement, and during the pendency of this Agreement at any time upon request by CITY. The certificates and/or endorsements shall set forth a valid policy number for CITY, and shall indicate the Issue Date, Effective Date and Expiration Date. The certificates and endorsements for each insurance policy shall be signed by a person authorized by the insurer to bind coverage on its behalf. The certificates and endorsements shall be forwarded to the CITY representative named in Exhibit A.

G. Payment Withhold: CITY shall withhold payments to ATTORNEY if the certificates of insurance and endorsements required in this section are canceled or ATTORNEY otherwise ceases to be insured as required herein.

9. **Disqualification of Judges.** At the option of the City Attorney, judges may be disqualified from hearing CITY litigation, and ATTORNEY shall adhere to such decision of the City Attorney. In the event that ATTORNEY is of the opinion that a judge ought to be disqualified, the City Attorney must be consulted in advance by ATTORNEY, and ATTORNEY must obtain the City Attorney's written consent to such disqualification.

10. **Equal Employment Opportunity.** During the performance of this Agreement, ATTORNEY, for itself, its assignees and successors in interest, agrees as follows:

A. Compliance With Regulations: ATTORNEY shall comply with the Executive Order 11246 entitled "Equal Opportunity in Federal Employment", as amended by Executive Order 11375 and 12086, and as supplemented in Department of Labor regulations (41 CFR

Chapter 60), hereinafter collectively referred to as the "Regulations."

- B. Nondiscrimination: ATTORNEY, with regards to the work performed pursuant to this Agreement, shall not discriminate on the ground of race, color, religion, sex, national origin, age, marital status, physical handicap or sexual orientation in selection and retention of subcontractors, including procurement of materials and leases of equipment. ATTORNEY shall not participate either directly or indirectly in discrimination prohibited by the Regulations.
- C. Solicitations for Subcontractors, Including Procurement of Materials and Equipment: In all solicitations either by competitive bidding or negotiations made by ATTORNEY for work to be performed under any subcontract, including all procurement of materials or equipment, each potential subcontractor or supplier shall be notified by ATTORNEY of ATTORNEY'S obligation under this Agreement and the Regulations relative to nondiscrimination on the ground of race, color, religion, sex, national origin, age, marital status, physical handicap or sexual orientation.
- D. Information and Reports: ATTORNEY shall provide all information and reports required by the Regulations, or by any orders or instructions issued pursuant thereto, and shall permit access to its books, records, accounts, other sources of information and its facilities as may be determined by the CITY to be pertinent to ascertain compliance with such Regulations, orders and instructions. Where any information required of ATTORNEY is in the exclusive possession of another who fails or refuses to furnish this information, ATTORNEY shall so certify to the CITY, and shall set forth what efforts it has made to obtain the information.
- E. Sanctions for Noncompliance: In the event of noncompliance by ATTORNEY with the nondiscrimination provisions of this Agreement, the CITY shall impose such sanctions as it may determine to be appropriate including, but not limited to:
- (1) Withholding of payments to ATTORNEY under this Agreement until ATTORNEY complies;
  - (2) Cancellation, termination, or suspension of the Agreement, in whole or in part.
- F. Incorporation of Provisions: ATTORNEY shall include the provisions of subsections A through E, above, in every subcontract, including procurement of materials and leases of equipment, unless exempted by the Regulations, or by any order or instructions issued pursuant thereto. ATTORNEY shall take such action with respect to any subcontract or procurement as the CITY may direct as a means of enforcing such provisions including sanctions for noncompliance; provided, however, that in the event ATTORNEY becomes involved in, or is threatened with, litigation with a subcontractor or supplier as a result of such direction, ATTORNEY may request CITY to enter such litigation to protect the interests of CITY.
11. **Entire Agreement.** This document, including all Exhibits, contains the entire agreement between the parties and supersedes whatever oral or written understanding they may have had prior to the execution of this Agreement. No alteration to the terms of this Agreement shall be valid unless approved in writing by ATTORNEY, and by CITY, in accordance with applicable

provisions of the Sacramento City Code.

12. **Severability.** If any portion of this Agreement or the application thereof to any person or circumstance shall be held invalid or unenforceable, the remainder of this Agreement shall not be affected thereby and shall be enforced to the greatest extent permitted by law.
13. **Waiver.** Neither CITY acceptance of, or payment for, any Service or Additional Service performed by ATTORNEY, nor any waiver by either party of any default, breach or condition precedent, shall be construed as a waiver of any provision of this Agreement, nor as a waiver of any other default, breach or condition precedent or any other right hereunder.
14. **Enforcement of Agreement.** This Agreement shall be governed, construed and enforced in accordance with the laws of the State of California. Venue of any litigation arising out of or connected with this Agreement shall lie exclusively in the state trial court or Federal District Court located in Sacramento County in the State of California, and the parties consent to jurisdiction over their persons and over the subject matter of any such litigation in such courts, and consent to service of process issued by such courts.
15. **Attorney's Fees.** In any action to enforce the terms of this Agreement, the prevailing party shall recover its reasonable attorneys' fees.
16. **Assignment Prohibited.** The expertise and experience of ATTORNEY are material considerations for this Agreement. CITY has a strong interest in the qualifications and capability of the persons and entities who will fulfill the obligations imposed on ATTORNEY under this Agreement. In recognition of this interest, ATTORNEY shall not assign any right or obligation pursuant to this Agreement without the written consent of the CITY. Any attempted or purported assignment without CITY'S written consent shall be void and of no effect.

## **EXHIBIT D**

### **REQUIREMENTS OF THE NON-DISCRIMINATION IN EMPLOYEE BENEFITS CODE**

#### **INTRODUCTION**

The Sacramento Non-Discrimination In Employee Benefits Code (the "Ordinance"), codified as Sacramento City Code Chapter 3.54, prohibits City contractors from discriminating in the provision of employee benefits between employees with spouses and employees with domestic partners, and between the spouses and domestic partners of employees.

#### **APPLICATION**

The provisions of the Ordinance apply to any contract or agreement (as defined below), between a Contractor and the City of Sacramento, in an amount exceeding \$25,000.00. The Ordinance applies to that portion of a contractor's operations that occur: (i) within the City of Sacramento; (ii) on real property outside the City of Sacramento if the property is owned by the City or if the City has a right to occupy the property; or (iii) at any location where a significant amount of work related to a City contract is being performed.

The Ordinance does not apply: to subcontractors or subcontracts of any Contractor or contractors; to transactions entered into pursuant to cooperative purchasing agreements approved by the Sacramento City Council; to legal contracts of other governmental jurisdictions or public agencies without separate competitive bidding by the City; where the requirements of the ordinance will violate or are inconsistent with the terms or conditions of a grant, subvention or agreement with a public agency or the instructions of an authorized representative of any such agency with respect to any such grant, subvention or agreement; to permits for excavation or street construction; or to agreements for the use of City right-of-way where a contracting utility has the power of eminent domain.

#### **DEFINITIONS**

As set forth in the Ordinance, the following definitions apply:

"Contract" means an agreement for public works or improvements to be performed, or for goods or services to be purchased or grants to be provided, at the expense of the City or to be paid out of moneys deposited in the treasury or out of the trust money under the control or collected by the City. "Contract" also means a written agreement for the exclusive use ("exclusive use" means the right to use or occupy real property to the exclusion of others, other than the right reserved by the fee owner) or occupancy of real property for a term exceeding 29 days in any calendar year, whether by singular or cumulative instrument, (i) for the operation or use by others of real property owned or controlled by the City for the operation of a business, social, or other establishment or organization, including leases, concessions, franchises and easements, or (ii) for the City's use or occupancy of real property owned by others, including leases, concessions, franchises and easements.

"Contract" shall not include: a revocable at-will use or encroachment permit for the use of or encroachment on City property regardless of the ultimate duration of such permit; excavation, street construction or street use permits; agreements for the use of City right-of-way where a contracting utility has the power of eminent domain; or agreements governing the use of City property that constitute a

public forum for activities that are primarily for the purpose of espousing or advocating causes or ideas and that are generally protected by the First Amendment to the United States Constitution or that are primarily recreational in nature.

"Contractor" means any person or persons, firm partnership or corporation, company, or combination thereof that enters into a Contract with the City. "Contractor" does not include a public entity.

"Domestic Partner" means any person who has a currently registered domestic partnership with a governmental entity pursuant to state or local law authorizing the registration.

"Employee Benefits" means bereavement leave; disability, life, and other types of insurance; family medical leave; health benefits; membership or membership discounts; moving expenses; pension and retirement benefits; vacation; travel benefits; and any other benefit given to employees. "Employee benefits" shall not include benefits to the extent that the application of the requirements of this chapter to such benefits may be preempted by federal or state.

#### **CONTRACTOR'S OBLIGATION TO PROVIDE THE CITY WITH DOCUMENTATION AND INFORMATION**

Contractor shall provide the City with documentation and information verifying its compliance with the requirements of the Ordinance within ten (10) days of receipt of a request from the City. Contractors shall keep accurate payroll records, showing, for each City Contract, the employee's name, address, Social Security number, work classification, straight time pay rate, overtime pay rate, overtime hours worked, status and exemptions, and benefits for each day and pay period that the employee works on the City Contract. Each request for payroll records shall be accompanied by an affidavit to be completed and returned by the Contractor, as stated, attesting that the information contained in the payroll records is true and correct, and that the Contractor has complied with the requirements of the Ordinance. A violation of the Ordinance or noncompliance with the requirements of the Ordinance shall constitute a breach of contract.

#### **EMPLOYER COMPLIANCE CERTIFICATE AND NOTICE REQUIREMENTS**

(a) All contractors seeking a Contract subject to the Ordinance shall submit a completed Declaration of Compliance Form, signed by an authorized representative, with each proposal, bid or application. The Declaration of Compliance shall be made a part of the executed contract, and will be made available for public inspection and copying during regular business hours.

(b) The Contractor shall give each existing employee working directing on a City contract, and (at the time of hire), each new employee, a copy of the notification provided as Attachment "1."

(c) Contractor shall post, in a place visible to all employees, a copy of the notice provided as Attachment "2."

## Attachment 1



### **YOUR RIGHTS UNDER THE CITY OF SACRAMENTO'S NON-DISCRIMINATION IN EMPLOYEE BENEFITS CODE**

On ..... (date), your employer (the "Employer") entered into a contract with the City of Sacramento (the "City") for ..... (contract details), and as a condition of that contract, agreed to abide by the requirements of the City's Non-Discrimination In Employee Benefits Code (Sacramento City Code Section 3.54).

The Ordinance does not require the Employer to provide employee benefits. The Ordinance does require that if certain employee benefits are provided by the Employer, that those benefits be provided without discrimination between employees with spouses and employees with domestic partners, and without discrimination between the spouse or domestic partner of employees.

The Ordinance covers any employee working on the specific contract referenced above, but only for the period of time while those employees are actually working on this specific contract.

The included employee benefits are:

- Bereavement leave
- Disability, life and other types of insurance
- Family medical leave
- Health benefits
- Membership or membership discounts
- Moving expenses
- Pension and retirement benefits
- Vacation
- Travel benefits
- Any other benefits given to employees

(Employee Benefits does not include benefits that may be preempted by federal or state law.)

If you feel you have been discriminated or retaliated against by your employer in the terms and conditions of your application for employment, or in your employment, or in the application of these employee benefits, because of your status as an applicant or as an employee protected by the Ordinance, or because you reported a violation of the Ordinance, and after having exhausted all remedies with your employer,

**You May . . .**

- **Submit a written complaint to the City of Sacramento, Contract Services Unit, containing the details of the alleged violation. The address is:**

**City of Sacramento  
Contract Services Unit  
921 10th St., Room 402  
Sacramento, CA 95814-2714**

- **Bring an action in the appropriate division of the Superior Court of the State of California against the Employer and obtain the following remedies:**
  - **Reinstatement, injunctive relief, compensatory damages and punitive damages**
  - **Reasonable attorney's fees and costs**

## Attachment 2



### **YOUR RIGHTS UNDER THE CITY OF SACRAMENTO'S NON-DISCRIMINATION IN EMPLOYEE BENEFITS CODE**

If your employer provides employee benefits, they must be provided to those employees working on a City of Sacramento contract without discriminating between employees with spouses and employees with domestic partners.

The included employee benefits are:

- Bereavement leave
- Disability, life and other types of insurance
- Family medical leave
- Health benefits
- Membership or membership discounts
- Moving expenses
- Pension and retirement benefits
- Vacation
- Travel benefits
- Any other benefits given to employees

If you feel you have been discriminated against by your employer . . .

**You May . . .**

- Submit a written complaint to the City of Sacramento, Contract Services Unit, containing the details of the alleged violation. The address is:

City of Sacramento  
Contract Services Unit  
921 10th St., Room 402  
Sacramento, CA 95814-2714

- Bring an action in the appropriate division of the Superior Court of the State of California against the employer and obtain reinstatement, injunctive relief, compensatory damages, punitive damages and reasonable attorney's fees and costs.

#### **Discrimination and Retaliation Prohibited.**

If you feel you have been discriminated or retaliated against by your employer in the terms and conditions of your application for employment, or in your employment, because of your status as an applicant or as an employee protected by the Ordinance, or because you reported a violation of this Ordinance . . .

**You May Also . . .**

Submit a written complaint to the City of Sacramento, Contract Services Unit, at the same address, containing the details of the alleged violation.

EXHIBIT E

City of Sacramento  
Workers' Compensation Referral Worksheet

Pursuant to the terms of Master Services Agreement No. \_\_\_\_\_ the City of Sacramento hereby retains services of \_\_\_\_\_ (Firm), \_\_\_\_\_ (attorney), for the following case

City Contact Information

Name \_\_\_\_\_ Phone \_\_\_\_\_  
Address \_\_\_\_\_  
Claim # \_\_\_\_\_

Case Information

Workers' Comp     L&H     Subrogation     132(a)     S&W  
Employee \_\_\_\_\_ DOB \_\_\_\_\_ Occupation \_\_\_\_\_  
Employer \_\_\_\_\_ Policy Period \_\_\_\_\_  
Date of injury \_\_\_\_\_ Body Parts \_\_\_\_\_  
Employee's Attorney \_\_\_\_\_  
Claim Form Returned On \_\_\_\_\_ Application Filed On \_\_\_\_\_  
Denial Letter Issued On \_\_\_\_\_ Answer Filed On \_\_\_\_\_  
or Denial Due Date \_\_\_\_\_ File Answer  Yes  No

Please Attach Copies of All Claim Forms Provided To Employee

Benefits Paid

TD \$ \_\_\_\_\_ RATE \_\_\_\_\_ PERIODS \_\_\_\_\_  
LC 4850 \$ \_\_\_\_\_ RATE \_\_\_\_\_ PERIODS \_\_\_\_\_  
PD \$ \_\_\_\_\_ RATE \_\_\_\_\_ PERIODS \_\_\_\_\_  
Medical Expenses \$ \_\_\_\_\_ SJDB EXPENSE \$ \_\_\_\_\_

Issues

- |   |   |                                     |
|---|---|-------------------------------------|
| <input type="checkbox"/> 1. Injury AOE-     | <input type="checkbox"/> 8. Apportionment           | <input type="checkbox"/> 15. 132(a) |
| <input type="checkbox"/> 2. Employment      | <input type="checkbox"/> 9. Past Medical            | <input type="checkbox"/> 16. S&W    |
| <input type="checkbox"/> 3. Occupation      | <input type="checkbox"/> 10. Future Medical         | <input type="checkbox"/> 17. Other  |
| <input type="checkbox"/> 4. Coverage        | <input type="checkbox"/> 11. Statute of Limitations |                                     |
| <input type="checkbox"/> 5. Earnings        | <input type="checkbox"/> 12. Jurisdiction           |                                     |
| <input type="checkbox"/> 6. Temp Disability | <input type="checkbox"/> 13. Dependency             |                                     |
| <input type="checkbox"/> 7. Perm Disability | <input type="checkbox"/> 14. Subrogation            |                                     |

Defense Discovery Requested

Depose Applicant  Yes  No  Need to Discuss  
Schedule Med Exam  Yes  No Physician \_\_\_\_\_  
Subpoena Records  Yes  No Source \_\_\_\_\_

Comments: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_



**Requires Council Approval:**  **No**  **YES** Meeting:

**Real Estate**  **Other Party Signature Needed**  **Recording Requested**

**General Information**

<b>Type: Professional Services</b>	<b>PO Type: Select PO Type</b>	<b>Attachment: Original No.:</b>
<b>\$ Not to Exceed: \$150,000</b>		<b>Original Doc Number:</b>
Other Party: Laughlin, Falbo, Levy & Moresi, LLP		<b>Certified Copies of Document::</b>
Project Name: Workers' Compensation Legal Services		<b>Deed:</b> <input type="checkbox"/> None <input type="checkbox"/> Included <input type="checkbox"/> Separate
Project Number:	Bid Transaction #:	E/SBE-DBE-M/WBE:

**Department Information**

Department: Human Resources Division: Risk Management  
 Project Mgr: Supervisor: Edna Young  
 Contract Services: Date: Division Mgr: Patrick Flaherty  
 Phone Number: 808-5741 Org Number: 08001371  
 Comment:

**Review and Signature Routing**

Department	Signature or Initial	Date
Project Mgr:	_____	_____
Accounting:	_____	_____
Contract Services:	_____	_____
Supervisor:	_____	_____
Division Manager:	_____	_____

City Attorney	Signature or Initial	Date
City Attorney:	<i>Agt</i>	8-22-14

**Send Interoffice Mail**  **Notify for Pick Up**

Authorization	Signature or Initial	Date
Choose Director Department Director:	_____	_____
City Mgr: yes <input type="checkbox"/> No <input type="checkbox"/>	_____	_____

**Contract Cover/Routing Form: Must Accompany ALL Contracts;**  
 however, is not part of the contract. (01-01-09)

**For City Clerk Processing**

**Finalized:**  
 Initial: \_\_\_\_\_  
 Date: \_\_\_\_\_

**Imaged:**  
 Initial: \_\_\_\_\_  
 Date: \_\_\_\_\_

**Received:**  
(City Clerk Stamp Here)

CITY OF SACRAMENTO  
WORKERS COMPENSATION  
MASTER LEGAL SERVICES AGREEMENT

THIS AGREEMENT is made at Sacramento, California, as of September 13, 2014, by and between the CITY OF SACRAMENTO, a municipal corporation ("CITY"), and

Laughlin, Falbo, Levy & Moresi, LLP  
106 K Street, Second Floor  
Sacramento, CA 95814  
Atten: John V. Geyer  
Phone: (916) 441-6045 Fax: (916) 441-7067

("ATTORNEY"), who agree as follows:

1. **Services.** Subject to the terms and conditions set forth in this Agreement, ATTORNEY shall provide to CITY the services described in Exhibit A. ATTORNEY shall not be compensated for services outside the scope of Exhibit A unless prior to the commencement of such services: (a) ATTORNEY notifies CITY and CITY agrees that such services are outside the scope of Exhibit A; (b) ATTORNEY estimates the additional compensation required for these additional services; and (c) CITY, after notice, approves in writing a Supplemental Agreement specifying the additional services and amount of compensation therefor.
2. **Fees and Costs.** CITY shall compensate ATTORNEY for legal services rendered at the hourly billing rates set forth in Exhibit B.
3. **General Provisions.** The General Provisions set forth in Exhibit C are part of this Agreement. In the event of any conflict between the General Provisions and any terms or conditions of any document prepared or provided by ATTORNEY and made a part of this Agreement, including without limitation any document relating to the scope of services or payment therefor, the General Provisions shall control over said terms or conditions.
4. **CITY Representative.** The CITY Representative(s) specified in Exhibit A, or the Representative's designee, shall administer this Agreement for CITY.
5. **Non-Discrimination in Employee Benefits.** This Agreement is subject to the provisions of Sacramento City Code Chapter 3.54, Non-Discrimination in Employee Benefits by City Contractors. The requirements of Sacramento City Code Chapter 3.54 are summarized in Exhibit D. ATTORNEY is required to sign the attached Declaration of Compliance (Equal Benefits Ordinance), to assure compliance with these requirements.
6. **Authority.** The person signing this Agreement for ATTORNEY hereby represents and warrants that he/she is fully authorized to sign this Agreement on behalf of ATTORNEY and to bind ATTORNEY to the performance of its obligations hereunder.
7. **Exhibits.** All exhibits referred to herein are attached hereto and are by this reference incorporated as if set forth fully herein.

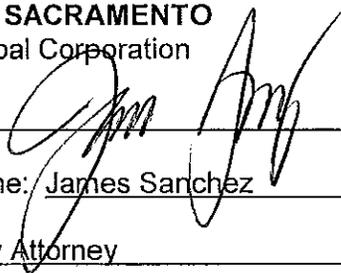
Executed as of the day and year first above stated.

**CITY OF SACRAMENTO**  
A Municipal Corporation

By: \_\_\_\_\_

Print name: James Sanchez

Title: City Attorney



**APPROVED TO AS FORM:**

*ASST* \_\_\_\_\_  
City Attorney

**ATTEST:**

\_\_\_\_\_  
City Clerk

**Attachments**

- Exhibit A - Scope of Service
- Exhibit B - Fee Schedule/Manner of Payment
- Exhibit C - General Provisions
- Exhibit D - Non-Discrimination in Employee Benefits
- Exhibit E - Workers' Compensation Referral Worksheet

**ATTORNEY:**

\_\_\_\_\_

94-2950919  
Federal I.D. No.

31779341  
State I.D. No.

119425  
City of Sacramento Business Op. Tax Cert. No.

**TYPE OF BUSINESS ENTITY (check one):**

- Individual/Sole Proprietor
- Partnership
- Corporation
- Limited Liability Company
- Other (please specify: \_\_\_\_\_)

\_\_\_\_\_  
**Signature of Authorized Person**

Partner  
Title

\_\_\_\_\_  
Additional Signature (if required)

\_\_\_\_\_  
Title

**DECLARATION OF COMPLIANCE  
Equal Benefits Ordinance**

Name of ATTORNEY: John V. Geyer, for and on behalf of Laughlin, Falbo, Levy & Moresi, LLP

Address: 106 K Street, Second Floor, Sacramento, CA 95814

The above named ATTORNEY ("Contractor") hereby declares and agrees as follows:

1. I have read and understand the Requirements of the Non-Discrimination In Employee Benefits Code (the "Requirements") provided to me by the City of Sacramento ("City") and attached as Exhibit D to my City contract or agreement ("Contract").
2. As a condition of receiving the City Contract, I agree to fully comply with the Requirements, as well as any additional requirements that may be specified in the City's Non-Discrimination In Employee Benefits Code codified at Chapter 3.54 of the Sacramento City Code (the Ordinance).
3. I understand, to the extent that such benefits are not preempted or prohibited by federal or state law, employee benefits covered by the Ordinance, are any of the following:
  - a. Bereavement Leave
  - b. Disability, life, and other types of insurance
  - c. Family medical leave
  - d. Health benefits
  - e. Membership or membership discounts
  - f. Moving expenses
  - g. Pension and retirement benefits
  - h. Vacation
  - i. Travel benefits
  - j. Any other benefit offered to employees

I agree that should I offer any of the above listed employee benefits, that I will offer those benefits, without discrimination between employees with spouses and employees with domestic partners, and without discrimination between the spouses and domestic partners of such employees.

4. I understand that I will not be considered to be discriminating in the provision or application of employee benefits under the following conditions or circumstances:
  - a. In the event that the actual cost of providing a benefit to a domestic partner or spouse, exceeds the cost of providing the same benefit to a spouse or domestic partner of an employee, I will not be required to provide the benefit, nor shall it be deemed discriminatory, if I require the employee to pay the monetary difference in order to provide the benefit to the domestic partner or to the spouse.
  - b. In the event I am unable to provide a certain benefit, despite taking reasonable measures to do so, if I provide the employee with a cash equivalent, I will not be deemed to be discriminating in the application of that benefit.
  - c. If I provide employee benefits neither to employee's spouses nor to employee's domestic partners.
  - d. If I provide employee benefits to employees on a basis unrelated to marital or domestic partner status.

- e. If I submit, to the Program Coordinator, written evidence of making reasonable efforts to end discrimination in employee benefits by implementing policies which are to be enacted before the first effective date after the first open enrollment process following the date the Contract is executed with the City.

I understand that any delay in the implementation of such policies may not exceed one (1) year from the date the Contract is executed with the City, and applies only to those employee benefits for which an open enrollment process is applicable.

- f. Until administrative steps can be taken to incorporate, in the infrastructure, nondiscrimination in employee benefits.

The time allotted for these administrative steps will apply only to those employee benefits for which administrative steps are necessary and may not exceed three (3) months from the date the Contract is executed with the City.

- g. Until the expiration of a current collective bargaining agreement(s) where, in fact, employee benefits are governed by a collective bargaining agreement(s).

- h. I take all reasonable measures to end discrimination in employee benefits by either requesting the union(s) involved agree to reopen the agreement(s) in order for me to take whatever steps are necessary to end discrimination in employee benefits or by my ending discrimination in employee benefits without reopening the collective bargaining agreement(s).

- i. In the event I cannot end discrimination in employee benefits despite taking all reasonable measures to do so, I provide a cash equivalent to eligible employees for whom employee benefits (as listed previously), are not available.

Unless otherwise authorized in writing by the City Manager, I understand this cash equivalent must begin at the time the union(s) refuse to allow the collective bargaining agreement(s) to be reopened or no longer than three (3) months from the date the Contract is executed with the City.

- 5. I understand that failure to comply with the provisions of Section 4. (a) through 4. (i), above, will subject me to possible suspension and/or termination of this Contract for cause; repayment of any or all of the Contract amount disbursed by the City; debarment for future contracts until all penalties and restitution have been paid in full; deemed ineligible for future contracts for up to two (2) years; the imposition of a penalty, payable to the City, in the sum of \$50.00 for each employee, for each calendar day during which the employee was discriminated against in violation of the provisions of the Ordinance.

- 6. I understand and do hereby agree to provide each current employee and, within ten (10) days of hire, each new employee, of their rights under the Ordinance. I further agree to maintain a copy of each such letter provided, in an appropriate file for possible inspection by an authorized representative of the City. I also agree to prominently display a poster informing each employee of these rights.

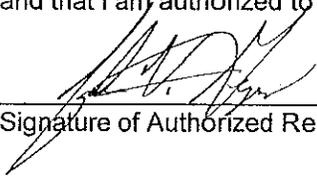
- 7. I understand that I have the right to request an exemption to the benefit provisions of the Ordinance when such a request is submitted to the Procurement Services Division, in writing with sufficient justification for resolution, prior to contract award.

- 8. I further understand that the City may request a waiver or exemption to the provisions or requirements of the Ordinance, when only one contractor is available to enter into a contract or agreement to occupy and use City property on terms and conditions established by the City; when sole source conditions exist for goods; services; public project or improvements and related construction services; when there are no responsive bidders to the Ordinance requirements and the contract is for essential goods or services;

when emergency conditions with public health and safety implications exist; or when the contract is for specialized legal services if in the best interest of the City.

9. In consideration of the foregoing, I shall defend, indemnify and hold harmless, the City, its officers and employees, against any claims, actions, damages, costs (including reasonable attorney fees), or other liabilities of any kind arising from any violation of the Requirements or of the Ordinance by me.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct, and that I am authorized to bind the Contractor to the provisions of this Declaration.

  
\_\_\_\_\_  
Signature of Authorized Representative

August 7, 2014  
Date

John V. Geyer  
Print Name

Partner  
Title

**EXHIBIT A  
WORKERS COMPENSATION  
MASTER LEGAL SERVICES AGREEMENT**

**SCOPE OF SERVICES**

**1. Representatives.**

The CITY Representative(s) for this Agreement is:

*Patrick Flaherty, Risk Manager  
City of Sacramento- Risk Management  
915 I Street- 4<sup>th</sup> Floor Sacramento CA 95814  
Phone (916) 808-5741 Fax (916) 808-8216  
E-mail: [PFlaherty@cityofsacramento.org](mailto:PFlaherty@cityofsacramento.org)*

All ATTORNEY questions pertaining to this Agreement shall be referred to the CITY Representative or the Representative's designee.

The ATTORNEY Representative for this Agreement is:

*John V. Geyer  
Laughlin, Falbo, Levy & Moresi, LLP  
106 K Street, Second Floor  
Sacramento, CA 95814  
Phone: (916) 441-6045  
Fax: (916) 441-7067  
E-mail: [jgeyer@lflm.com](mailto:jgeyer@lflm.com)*

All CITY questions pertaining to this Agreement shall be referred to the ATTORNEY Representative. All correspondence to ATTORNEY shall be addressed to the address set forth on page one of this Agreement. Unless otherwise provided in this Agreement, all correspondence to CITY, and Notices to CITY shall be addressed to the CITY Representative.

**2. Scope of Services.**

Workers' compensation defense including serious and willful claims and Labor Code section 132(a) discrimination claims. Continuing education and training including updated case law developments in the field of workers compensation and subrogation. The City will contact Consultant in writing when services are requested. The name of the case and specific request for services will be identified in a Workers Compensation Referral Worksheet attached hereto as Exhibit E. All services described in Exhibit E are on an as needed basis as directed by the City. This agreement does not guarantee Consultant a minimum amount of payment in the event services are not utilized.

**3. Time of Performance.**

The services described herein shall be effective as of September 13, 2014. The term of the agreement shall be for three years from the effective date to September 13, 2017.

**4. Reporting.**

a. ATTORNEY shall provide CITY with a preliminary status report and/or file review and evaluation within thirty (30) days which shall include an evaluation of specific issues as identified in the Workers Compensation Referral Worksheet. In this preliminary report, ATTORNEY shall also make recommendations for discovery and any needed investigation.

Within 10 days of any request by the CITY, ATTORNEY shall provide CITY with a status report on the progress of the representation.

b. ATTORNEY shall provide to the CITY representative copies of all pleadings, motions, and discovery documents and medical reports filed or propounded by ATTORNEY in this case.

c. In the event that ATTORNEY's handling of the above-designated matter exceeds a period of twenty-four (24) months, ATTORNEY shall, in addition to providing regular status reports to CITY, also report directly to the CITY representative on an annual basis and no later than June 30th of each year, setting forth in detail the status of the matter, and ATTORNEY's plan for further handling of the matter, and the estimated length of time to conclude the matter.

**EXHIBIT B  
WORKERS COMPENSATION  
MASTER LEGAL SERVICES AGREEMENT**

**FEE SCHEDULE/MANNER OF PAYMENT**

1. **ATTORNEY'S Compensation.** CITY shall compensate ATTORNEY for legal services rendered at an hourly billing rate of \$165 for Partners, \$155 for Senior Associates, and \$145 for Associates; \$175 for Subrogation Defense, \$185 for LC 132(a) Defense, and \$200 for S&W Defense, to be billed in tenths of an hour. All office, travel, discovery, hearing, trial or other time shall be charged at this rate. CITY shall compensate ATTORNEYS for paralegal services rendered at an hourly billing rate of \$100, to be billed in tenths of an hour. CITY shall not be charged for services that are strictly of a clerical, administrative or secretarial nature, such as scheduling, calendaring or word processing. The total of all fees paid to ATTORNEY for the performance of all services set forth in Exhibit A, including normal revisions (hereafter the "Services"), and for all authorized Reimbursable Expenses, shall not exceed the total sum of \$150,000.

Such compensation shall be the sole and total remuneration for the rendition of services under this Agreement.

ATTORNEYS agree that cases will be assigned by the Workers Compensation Referral Worksheet attached hereto as Exhibit E. The attorney identified in the Workers Compensation Referral Worksheet will provide legal services and no other associate or partner will perform work without CITY's advance authorization.

2. **ATTORNEY'S Reimbursable Expenses.** CITY shall reimburse ATTORNEY for the actual, reasonable and necessary expense of travel out of the Sacramento metropolitan area, approved in advance, at \$0.43 per mile. ATTORNEY will not charge CITY for the cost of telephone calls or photocopying. Litigation costs and expenses for statutory fees, witness fees, reporters' per diem and stenographic transcriptions, jury fees, and the expenses of serving process shall be advanced by ATTORNEY and reimbursed by CITY. Expert consultants and witnesses may be retained by ATTORNEY on terms acceptable to CITY, approved in advance, in which case CITY shall reimburse ATTORNEY or pay such consultants or experts directly.
3. **Billing.**
- A. Legal billings shall be submitted to CITY every thirty (30) days unless otherwise advised.
  - B. Each task shall be distinctly and completely identified; the City will not pay invoices that contain block billing. Each billing entry must contain the initials of the individual performing the task, the nature of the task, the date it was performed, and the length of time it took.
  - C. Costs under \$500.00 shall be paid by ATTORNEYS and submitted with their normal billing.
  - D. CITY reserves the right to audit all invoices.

- E. Payments to ATTORNEY shall be made within a reasonable time after receipt of ATTORNEY'S invoice. ATTORNEY may request, but CITY is under no obligation to approve, payment on a monthly basis. ATTORNEY shall be responsible for supplying all documentation necessary to verify the monthly billings to the satisfaction of CITY.
- F. In addition to the provisions stated elsewhere in this Agreement regarding the payment of fees, billing and budgeting, the following guidelines for billing apply:
1. CITY expects each individual working on the matter for which ATTORNEYS were retained to have the necessary experience to perform the services required to protect or pursue CITY'S interests in the matter in a cost effective manner.
  2. CITY expects ATTORNEYS to select the individual most suitable for the task required and the specific needs of the matter, and to use the maximum efficiencies available. Billings for services performed by the inappropriate level of personnel will be reduced by the City based on rate adjustments for the appropriate level of personnel.
  3. CITY will not pay for unnecessary review of texts, codes, rules of court, or other fundamental references. CITY will pay the hourly rate for specific legal research which is unique to the matter, assuming that ATTORNEYS have used maximum efficiencies and that ATTORNEYS have not already performed research in the same or similar areas of law.
  4. CITY acknowledges the benefit of communications between attorneys in the firm. CITY does, however, expect that intra-office conferences will only be held as needed, and will be kept to a minimum. Intra-office conferences shall be for the purpose of discussing strategy and legal issues which directly further the matter. CITY will not pay for conferences which are supervisory or instructional. Any invoice which lists an intra-office conference that exceeds these guidelines must contain a full explanation and is subject to reduction by CITY. CITY will not pay for "team meetings" and CITY will scrutinize all intra-office conferences for "value added" to the matter by the conference, for the number of individuals attending the conference, the length of the conference, the subjects discussed at the conference and who participated in it, and will, in CITY'S sole discretion, determine if such value has been added.
  5. CITY will not pay for local telephone calls, incoming facsimiles, postage, time spent on filing, calendaring, indexing pleadings, photocopying, conferences with Clerks of court or court reporters, proofreading, re-drafting due to substandard work, time billed by summer interns or associates, time for more than one individual to attend a trial, hearing, court appearance, arbitration, mediation, deposition, third party meeting, conference call or similar event, unless otherwise approved by CITY in advance, or opening, organizing or closing files.
  6. Vague billing which does not contain sufficient information to allow City's reviewer of the invoice to determine the nature of the task, the reason for the task, and the individual performing the task is subject to reduction by CITY.

7. CITY will not reimburse for overtime, word processing, supplies, anything identified on an invoice as "miscellaneous," or any other unidentified charges.

**EXHIBIT C  
WORKERS COMPENSATION  
MASTER LEGAL SERVICES AGREEMENT**

**GENERAL PROVISIONS**

**1. Independent Contractor.**

- A. It is understood and agreed that ATTORNEY (including ATTORNEY's employees) is an independent contractor and that no relationship of employer-employee exists between the parties hereto for any purpose whatsoever. Neither ATTORNEY nor ATTORNEY'S assigned personnel shall be entitled to any benefits payable to employees of CITY. CITY is not required to make any deductions or withholdings from the compensation payable to ATTORNEY under the provisions of this Agreement, and ATTORNEY shall be issued an IRS Form 1099 for its services hereunder. As an independent contractor, ATTORNEY hereby agrees to indemnify and hold CITY harmless from any and all claims that may be made against CITY based upon any contention by any of ATTORNEY'S employees or by any third party, including but not limited to any state or federal agency, that an employer-employee relationship or a substitute therefor exists for any purpose whatsoever by reason of this Agreement or by reason of the nature and/or performance of any Services under this Agreement.
- B. It is further understood and agreed by the parties hereto that ATTORNEY, in the performance of its obligations hereunder, is subject to the control and direction of CITY as to the designation of tasks to be performed and the results to be accomplished by the Services agreed to be rendered and performed under this Agreement, but not as to the means, methods, or sequence used by ATTORNEY for accomplishing such results. To the extent that ATTORNEY obtains permission to, and does, use CITY facilities, space, equipment or support services in the performance of this Agreement, this use shall be at the ATTORNEY'S sole discretion based on the ATTORNEY'S determination that such use will promote ATTORNEY'S efficiency and effectiveness. Except as may be specifically provided elsewhere in this Agreement, the CITY does not require that ATTORNEY use CITY facilities, equipment or support services or work in CITY locations in the performance of this Agreement.
- C. If, in the performance of this Agreement, any third persons are employed by ATTORNEY, such persons shall be entirely and exclusively under the direction, supervision, and control of ATTORNEY. Except as may be specifically provided elsewhere in this Agreement, all terms of employment, including hours, wages, working conditions, discipline, hiring, and discharging, or any other terms of employment or requirements of law, shall be determined by ATTORNEY. It is further understood and agreed that ATTORNEY shall issue W-2 or 1099 Forms for income and employment tax purposes, for all of ATTORNEY'S assigned personnel and subcontractors.

- 2. Licenses; Permits, Etc.** ATTORNEY represents and warrants that ATTORNEY has all licenses, permits, City Business Operations Tax Certificate, qualifications, and approvals of whatsoever nature which are legally required for ATTORNEY to practice its profession or provide any services under the Agreement. ATTORNEY represents and warrants that

ATTORNEY shall, at its sole cost and expense, keep in effect or obtain at all times during the term of this Agreement any licenses, permits, and approvals which are legally required for ATTORNEY to practice its profession or provide such Services. Without limiting the generality of the foregoing, if ATTORNEY is an out-of-state corporation, ATTORNEY warrants and represents that it possesses a valid certificate of qualification to transact business in the State of California issued by the California Secretary of State pursuant to Section 2105 of the California Corporations Code.

3. **Conflicts of Interest.** ATTORNEY represents and warrants that they have made a diligent effort to determine whether there are any actual or potential conflicts of interest that would preclude or interfere with ATTORNEY'S performance of this Agreement, and that no such conflict of interest exists. ATTORNEY shall indemnify and hold harmless CITY from and against any and all liability, loss, expense and obligation arising directly or indirectly out of any breach of the foregoing representation and warranty. ATTORNEY agrees to avoid conflicts of interest or the appearance of any conflicts of interest with the interests of CITY at all times during the performance of this Agreement.
4. **Confidentiality of CITY Information.** ATTORNEY understands and agrees that during the course performing the services required by this agreement, or in contemplation thereof, ATTORNEYS may have access to private or confidential information which may be owned or controlled by CITY and that such information may contain proprietary or confidential details, the disclosure of which to third parties may be damaging to CITY. ATTORNEYS agree that all information disclosed by CITY to ATTORNEYS shall be held in confidence and used only in performance of the services required by this Agreement. A violation of this section shall be a material violation of this Agreement and shall justify legal and/or equitable relief.
5. **Suspension and Termination.**
  - A. CITY shall have the right at any time to temporarily suspend ATTORNEY'S performance hereunder, in whole or in part, by giving a written notice of suspension to ATTORNEY. If CITY gives such notice of suspension, ATTORNEY shall immediately suspend its activities under this Agreement, as specified in such notice.
  - B. CITY reserves the right to discharge ATTORNEY and terminate this Agreement at any time. In the event of such discharge or termination, CITY shall compensate ATTORNEY for services rendered and expenses necessarily incurred up to and including the date of discharge and expenses unavoidably incurred by ATTORNEY thereafter.
  - C. ATTORNEY may terminate this Agreement at any time by giving to CITY Attorney not less than thirty (30) days prior written notice of termination. The notice shall specify the effective date of and reason for the termination.
  - D. This Agreement is personal to ATTORNEY and any attempted assignment including by successors or assigns, shall be void, unless approved in writing by CITY.
  - E. Upon any termination, ATTORNEY shall immediately return to CITY the file and all materials owned by CITY and cooperate fully in necessary completion and filing of any necessary Substitution of Attorney documents.

6. **Indemnity.**

- A. Indemnity: ATTORNEY shall fully indemnify and save harmless, CITY, its officers and employees, and each and every one of them, from and against all actions, damages, costs, liability, claims, losses, judgments, penalties and expenses of every type and description, including, but not limited to, any fees and/or costs reasonably incurred by CITY'S staff attorneys or outside attorneys and any fees and expenses incurred in enforcing this provision (hereafter collectively referred to as "Liabilities"), to which any or all of them may be subjected, to the extent such Liabilities are caused by or result from any negligent act or omission or willful misconduct of ATTORNEY, its subcontractors or agents, and their respective officers and employees, in connection with the performance or nonperformance of this Agreement, whether or not the CITY, its officers or employees reviewed, accepted or approved any service or work product performed or provided by the ATTORNEY, and whether or not such Liabilities are litigated, settled or reduced to judgment.
- B. Obligation to Defend: ATTORNEY shall, upon CITY'S request, defend at ATTORNEY'S sole cost any action, claim, suit, cause of action or portion thereof which asserts or alleges Liabilities to the extent such Liabilities are caused by or result from any negligent act or omission or willful misconduct of ATTORNEY, its sub-ATTORNEYS, subcontractors or agents, and their respective officers and employees, in connection with the performance or nonperformance of this Agreement, whether such action, claim, suit, cause of action or portion thereof is well founded or not.
- C. Insurance Policies; Intellectual Property Claims: The existence or acceptance by CITY of any of the insurance policies or coverages described in this Agreement shall not affect or limit any of CITY'S rights under this section, nor shall the limits of such insurance limit the liability of ATTORNEY hereunder. This section shall not apply to any intellectual property claims, actions, lawsuits or other proceedings subject to the provisions of Section 4 (Confidentiality of CITY Information) above. The provisions of this section shall survive any expiration or termination of this Agreement.

7. **Publicity.** If any publicity is generated by the subject matter of this representation, ATTORNEYS will consult with CITY before making any statement to any media outlet (whether radio, print or television) about the content and timing of such statement.

8. **Insurance Requirements.** During the entire term of this Agreement, ATTORNEY shall maintain the following insurance:

- A. Minimum Scope of Insurance: Coverage should be at least as broad as:
- (1) Insurance Services Office Form No. CG 0001 (Commercial General Liability);
  - (2) Insurance Services Office Form No. CA 0001 (Ed. 1/87) (Automobile Liability, Code "any auto");
  - (3) Workers' Compensation as required by the Labor Code of the State of California,

- and Employers' Liability Insurance:
- (4) Professional Liability (Errors and Omissions) insurance against loss due to error, omission or malpractice.
- B. Minimum Limits of Insurance: ATTORNEY shall maintain limits no less than:
- (1) Commercial General Liability; \$1,000,000 combined single limit per occurrence, including endorsements for contractual liabilities, broad form property damage and personal injury.
  - (2) Automobile Liability: \$1,000,000 combined single limit per accident for personal injury and property damage arising from owned, hired and non-owned vehicles.
  - (3) Workers' Compensation and Employers' Liability: Workers' compensation limits as required by the Labor Code of the State of California and Employers' Liability limits of \$1,000,000 per accident.
  - (4) Professional Liability (Errors and Omissions): \$2,000,000 combined single limit per occurrence.
- C. Deductibles and Self-Insured Retentions: Any deductibles or self-insured retentions must be declared to and approved by the CITY.
- D. Other Insurance Provisions: The policies are to contain, or be endorsed to contain, the following provisions:
- (1) General Liability and Automobile Liability Coverages:
    - (a) The General Liability and Automobile Liability policies shall be written on an occurrence form and shall name CITY, its officers, officials, agents, employees and volunteers as additional insureds. Such policy(ies) of insurance shall be endorsed so that ATTORNEY's insurance shall be primary and any insurance or self-insurance maintained by CITY, its officials, employees or volunteers shall be in excess of ATTORNEY'S insurance and shall not contribute with it.
    - (b) Any failure to comply with reporting provisions of the policies shall not affect coverage provided to CITY, its officials, employees or volunteers.
    - (c) Coverage shall state that ATTORNEY'S insurance shall apply separately to each insured against whom claim is made or suit is brought, except with respect to the limits of the insurer's liability.
  - (2) All Coverages:
    - (a) Each insurance policy required by this Agreement shall be endorsed to state that coverages shall not be canceled except after thirty (30) days

prior written notice has been given to CITY. In addition, ATTORNEY agrees that it shall not reduce its coverage or limits on any such policy except after thirty (30) days prior written notice has been give to CITY and CITY approves the reduction in coverage or limits. ATTORNEY further agrees that it shall not increase any deductibles or self-insured retentions on any such policy except after thirty (30) days prior written notice has been given to CITY and CITY approves such increase.

(b) In the event claims made forms are used for any Professional Liability coverage, either (i) the policy(ies) shall be endorsed to provide not less than a five (5) year discovery period, or (ii) the coverage shall be maintained for a minimum of five (5) years following the termination of this Agreement and the requirements of this section relating to such coverage shall survive termination or expiration of this Agreement. ATTORNEYS shall furnish CITY with the certificate(s) and applicable endorsements for ALL required insurance prior to CITY's execution of this Agreement.

E. Acceptability of Insurers: Insurance shall be placed with insurers with a Bests' rating of no less than A:VII. This requirement may, however, be waived in individual cases for Errors and Omissions Coverages only; provided, however, that in no event shall a carrier with a rating below B:IX be acceptable.

F. Verification of Coverage: ATTORNEY shall furnish CITY with certificates of insurance showing compliance with the above requirements and with original endorsements affecting all coverages required by this Agreement both prior to the execution of this Agreement, and during the pendency of this Agreement at any time upon request by CITY. The certificates and/or endorsements shall set forth a valid policy number for CITY, and shall indicate the Issue Date, Effective Date and Expiration Date. The certificates and endorsements for each insurance policy shall be signed by a person authorized by the insurer to bind coverage on its behalf. The certificates and endorsements shall be forwarded to the CITY representative named in Exhibit A.

G. Payment Withhold: CITY shall withhold payments to ATTORNEY if the certificates of insurance and endorsements required in this section are canceled or ATTORNEY otherwise ceases to be insured as required herein.

9. **Disqualification of Judges.** At the option of the City Attorney, judges may be disqualified from hearing CITY litigation, and ATTORNEY shall adhere to such decision of the City Attorney. In the event that ATTORNEY is of the opinion that a judge ought to be disqualified, the City Attorney must be consulted in advance by ATTORNEY, and ATTORNEY must obtain the City Attorney's written consent to such disqualification.

10. **Equal Employment Opportunity.** During the performance of this Agreement, ATTORNEY, for itself, its assignees and successors in interest, agrees as follows:

A. Compliance With Regulations: ATTORNEY shall comply with the Executive Order 11246 entitled "Equal Opportunity in Federal Employment", as amended by Executive Order 11375 and 12086, and as supplemented in Department of Labor regulations (41 CFR

Chapter 60), hereinafter collectively referred to as the "Regulations."

- B. Nondiscrimination: ATTORNEY, with regards to the work performed pursuant to this Agreement, shall not discriminate on the ground of race, color, religion, sex, national origin, age, marital status, physical handicap or sexual orientation in selection and retention of subcontractors, including procurement of materials and leases of equipment. ATTORNEY shall not participate either directly or indirectly in discrimination prohibited by the Regulations.
- C. Solicitations for Subcontractors, Including Procurement of Materials and Equipment: In all solicitations either by competitive bidding or negotiations made by ATTORNEY for work to be performed under any subcontract, including all procurement of materials or equipment, each potential subcontractor or supplier shall be notified by ATTORNEY of ATTORNEY'S obligation under this Agreement and the Regulations relative to nondiscrimination on the ground of race, color, religion, sex, national origin, age, marital status, physical handicap or sexual orientation.
- D. Information and Reports: ATTORNEY shall provide all information and reports required by the Regulations, or by any orders or instructions issued pursuant thereto, and shall permit access to its books, records, accounts, other sources of information and its facilities as may be determined by the CITY to be pertinent to ascertain compliance with such Regulations, orders and instructions. Where any information required of ATTORNEY is in the exclusive possession of another who fails or refuses to furnish this information, ATTORNEY shall so certify to the CITY, and shall set forth what efforts it has made to obtain the information.
- E. Sanctions for Noncompliance: In the event of noncompliance by ATTORNEY with the nondiscrimination provisions of this Agreement, the CITY shall impose such sanctions as it may determine to be appropriate including, but not limited to:
- (1) Withholding of payments to ATTORNEY under this Agreement until ATTORNEY complies;
  - (2) Cancellation, termination, or suspension of the Agreement, in whole or in part.
- F. Incorporation of Provisions: ATTORNEY shall include the provisions of subsections A through E, above, in every subcontract, including procurement of materials and leases of equipment, unless exempted by the Regulations, or by any order or instructions issued pursuant thereto. ATTORNEY shall take such action with respect to any subcontract or procurement as the CITY may direct as a means of enforcing such provisions including sanctions for noncompliance; provided, however, that in the event ATTORNEY becomes involved in, or is threatened with, litigation with a subcontractor or supplier as a result of such direction, ATTORNEY may request CITY to enter such litigation to protect the interests of CITY.
11. **Entire Agreement.** This document, including all Exhibits, contains the entire agreement between the parties and supersedes whatever oral or written understanding they may have had prior to the execution of this Agreement. No alteration to the terms of this Agreement shall be valid unless approved in writing by ATTORNEY, and by CITY, in accordance with applicable

provisions of the Sacramento City Code.

12. **Severability.** If any portion of this Agreement or the application thereof to any person or circumstance shall be held invalid or unenforceable, the remainder of this Agreement shall not be affected thereby and shall be enforced to the greatest extent permitted by law.
13. **Waiver.** Neither CITY acceptance of, or payment for, any Service or Additional Service performed by ATTORNEY, nor any waiver by either party of any default, breach or condition precedent, shall be construed as a waiver of any provision of this Agreement, nor as a waiver of any other default, breach or condition precedent or any other right hereunder.
14. **Enforcement of Agreement.** This Agreement shall be governed, construed and enforced in accordance with the laws of the State of California. Venue of any litigation arising out of or connected with this Agreement shall lie exclusively in the state trial court or Federal District Court located in Sacramento County in the State of California, and the parties consent to jurisdiction over their persons and over the subject matter of any such litigation in such courts, and consent to service of process issued by such courts.
15. **Attorney's Fees.** In any action to enforce the terms of this Agreement, the prevailing party shall recover its reasonable attorneys' fees.
16. **Assignment Prohibited.** The expertise and experience of ATTORNEY are material considerations for this Agreement. CITY has a strong interest in the qualifications and capability of the persons and entities who will fulfill the obligations imposed on ATTORNEY under this Agreement. In recognition of this interest, ATTORNEY shall not assign any right or obligation pursuant to this Agreement without the written consent of the CITY. Any attempted or purported assignment without CITY'S written consent shall be void and of no effect.

## EXHIBIT D

### REQUIREMENTS OF THE NON-DISCRIMINATION IN EMPLOYEE BENEFITS CODE

#### INTRODUCTION

The Sacramento Non-Discrimination In Employee Benefits Code (the "Ordinance"), codified as Sacramento City Code Chapter 3.54, prohibits City contractors from discriminating in the provision of employee benefits between employees with spouses and employees with domestic partners, and between the spouses and domestic partners of employees.

#### APPLICATION

The provisions of the Ordinance apply to any contract or agreement (as defined below), between a Contractor and the City of Sacramento, in an amount exceeding \$25,000.00. The Ordinance applies to that portion of a contractor's operations that occur: (i) within the City of Sacramento; (ii) on real property outside the City of Sacramento if the property is owned by the City or if the City has a right to occupy the property; or (iii) at any location where a significant amount of work related to a City contract is being performed.

The Ordinance does not apply: to subcontractors or subcontracts of any Contractor or contractors; to transactions entered into pursuant to cooperative purchasing agreements approved by the Sacramento City Council; to legal contracts of other governmental jurisdictions or public agencies without separate competitive bidding by the City; where the requirements of the ordinance will violate or are inconsistent with the terms or conditions of a grant, subvention or agreement with a public agency or the instructions of an authorized representative of any such agency with respect to any such grant, subvention or agreement; to permits for excavation or street construction; or to agreements for the use of City right-of-way where a contracting utility has the power of eminent domain.

#### DEFINITIONS

As set forth in the Ordinance, the following definitions apply:

"Contract" means an agreement for public works or improvements to be performed, or for goods or services to be purchased or grants to be provided, at the expense of the City or to be paid out of moneys deposited in the treasury or out of the trust money under the control or collected by the City. "Contract" also means a written agreement for the exclusive use ("exclusive use" means the right to use or occupy real property to the exclusion of others, other than the right reserved by the fee owner) or occupancy of real property for a term exceeding 29 days in any calendar year, whether by singular or cumulative instrument, (i) for the operation or use by others of real property owned or controlled by the City for the operation of a business, social, or other establishment or organization, including leases, concessions, franchises and easements, or (ii) for the City's use or occupancy of real property owned by others, including leases, concessions, franchises and easements.

"Contract" shall not include: a revocable at-will use or encroachment permit for the use of or encroachment on City property regardless of the ultimate duration of such permit; excavation, street construction or street use permits; agreements for the use of City right-of-way where a contracting utility has the power of eminent domain; or agreements governing the use of City property that constitute a

public forum for activities that are primarily for the purpose of espousing or advocating causes or ideas and that are generally protected by the First Amendment to the United States Constitution or that are primarily recreational in nature.

"Contractor" means any person or persons, firm partnership or corporation, company, or combination thereof that enters into a Contract with the City. "Contractor" does not include a public entity.

"Domestic Partner" means any person who has a currently registered domestic partnership with a governmental entity pursuant to state or local law authorizing the registration.

"Employee Benefits" means bereavement leave; disability, life, and other types of insurance; family medical leave; health benefits; membership or membership discounts; moving expenses; pension and retirement benefits; vacation; travel benefits; and any other benefit given to employees. "Employee benefits" shall not include benefits to the extent that the application of the requirements of this chapter to such benefits may be preempted by federal or state.

#### **CONTRACTOR'S OBLIGATION TO PROVIDE THE CITY WITH DOCUMENTATION AND INFORMATION**

Contractor shall provide the City with documentation and information verifying its compliance with the requirements of the Ordinance within ten (10) days of receipt of a request from the City. Contractors shall keep accurate payroll records, showing, for each City Contract, the employee's name, address, Social Security number, work classification, straight time pay rate, overtime pay rate, overtime hours worked, status and exemptions, and benefits for each day and pay period that the employee works on the City Contract. Each request for payroll records shall be accompanied by an affidavit to be completed and returned by the Contractor, as stated, attesting that the information contained in the payroll records is true and correct, and that the Contractor has complied with the requirements of the Ordinance. A violation of the Ordinance or noncompliance with the requirements of the Ordinance shall constitute a breach of contract.

#### **EMPLOYER COMPLIANCE CERTIFICATE AND NOTICE REQUIREMENTS**

(a) All contractors seeking a Contract subject to the Ordinance shall submit a completed Declaration of Compliance Form, signed by an authorized representative, with each proposal, bid or application. The Declaration of Compliance shall be made a part of the executed contract, and will be made available for public inspection and copying during regular business hours.

(b) The Contractor shall give each existing employee working directing on a City contract, and (at the time of hire), each new employee, a copy of the notification provided as Attachment "1."

(c) Contractor shall post, in a place visible to all employees, a copy of the notice provided as Attachment "2."

## Attachment 1



### **YOUR RIGHTS UNDER THE CITY OF SACRAMENTO'S NON-DISCRIMINATION IN EMPLOYEE BENEFITS CODE**

On ..... (date), your employer (the "Employer") entered into a contract with the City of Sacramento (the "City") for ..... (contract details), and as a condition of that contract, agreed to abide by the requirements of the City's Non-Discrimination In Employee Benefits Code (Sacramento City Code Section 3.54).

The Ordinance does not require the Employer to provide employee benefits. The Ordinance does require that if certain employee benefits are provided by the Employer, that those benefits be provided without discrimination between employees with spouses and employees with domestic partners, and without discrimination between the spouse or domestic partner of employees.

The Ordinance covers any employee working on the specific contract referenced above, but only for the period of time while those employees are actually working on this specific contract.

The included employee benefits are:

- Bereavement leave
- Disability, life and other types of insurance
- Family medical leave
- Health benefits
- Membership or membership discounts
- Moving expenses
- Pension and retirement benefits
- Vacation
- Travel benefits
- Any other benefits given to employees

(Employee Benefits does not include benefits that may be preempted by federal or state law.)

If you feel you have been discriminated or retaliated against by your employer in the terms and conditions of your application for employment, or in your employment, or in the application of these employee benefits, because of your status as an applicant or as an employee protected by the Ordinance, or because you reported a violation of the Ordinance, and after having exhausted all remedies with your employer,

**You May . . .**

- Submit a written complaint to the City of Sacramento, Contract Services Unit, containing the details of the alleged violation. The address is:

City of Sacramento  
Contract Services Unit  
921 10th St., Room 402  
Sacramento, CA 95814-2714

- Bring an action in the appropriate division of the Superior Court of the State of California against the Employer and obtain the following remedies:
  - Reinstatement, injunctive relief, compensatory damages and punitive damages
  - Reasonable attorney's fees and costs

## Attachment 2



### **YOUR RIGHTS UNDER THE CITY OF SACRAMENTO'S NON-DISCRIMINATION IN EMPLOYEE BENEFITS CODE**

If your employer provides employee benefits, they must be provided to those employees working on a City of Sacramento contract without discriminating between employees with spouses and employees with domestic partners.

The included employee benefits are:

- Bereavement leave
- Disability, life and other types of insurance
- Family medical leave
- Health benefits
- Membership or membership discounts
- Moving expenses
- Pension and retirement benefits
- Vacation
- Travel benefits
- Any other benefits given to employees

If you feel you have been discriminated against by your employer . . .

#### **You May . . .**

- Submit a written complaint to the City of Sacramento, Contract Services Unit, containing the details of the alleged violation. The address is:  
  
City of Sacramento  
Contract Services Unit  
921 10th St., Room 402  
Sacramento, CA 95814-2714
- Bring an action in the appropriate division of the Superior Court of the State of California against the employer and obtain reinstatement, injunctive relief, compensatory damages, punitive damages and reasonable attorney's fees and costs.

#### **Discrimination and Retaliation Prohibited.**

If you feel you have been discriminated or retaliated against by your employer in the terms and conditions of your application for employment, or in your employment, because of your status as an applicant or as an employee protected by the Ordinance, or because you reported a violation of this Ordinance . . .

#### **You May Also . . .**

Submit a written complaint to the City of Sacramento, Contract Services Unit, at the same address, containing the details of the alleged violation.

EXHIBIT E

City of Sacramento

Workers' Compensation Referral Worksheet

Pursuant to the terms of Master Services Agreement No. \_\_\_\_\_ the City of Sacramento hereby retains services of \_\_\_\_\_ (Firm), \_\_\_\_\_ (attorney), for the following case

City Contact Information

Name \_\_\_\_\_ Phone \_\_\_\_\_
Address \_\_\_\_\_
Claim # \_\_\_\_\_

Case Information

Workers' Comp L&H Subrogation 132(a) S&W
Employee DOB Occupation
Employer Policy Period
Date of Injury Body Parts
Employee's Attorney
Claim Form Returned On Application Filed On
Denial Letter Issued On Answer Filed On
or Denial Due Date File Answer Yes No

Please Attach Copies of All Claim Forms Provided To Employee

Benefits Paid

TD \$ RATE PERIODS
LC 4850 \$ RATE PERIODS
PD \$ RATE PERIODS
Medical Expenses \$ SJDB EXPENSE \$

Issues

- 1. Injury AOE- 8. Apportionment 15. 132(a)
2. Employment 9. Past Medical 16. S&W
3. Occupation 10. Future Medical 17. Other
4. Coverage 11. Statute of Limitations
5. Earnings 12. Jurisdiction
6. Temp Disability 13. Dependency
7. Perm Disability 14. Subrogation

Defense Discovery Requested

Depose Applicant Yes No Need to Discuss
Schedule Med Exam Yes No Physician
Subpoena Records Yes No Source

Comments:



Requires Council Approval:  No  YES Meeting:

Real Estate  Other Party Signature Needed  Recording Requested

General Information

Form with fields: Type: Professional Services, PO Type: Select PO Type, Attachment: Original No., \$ Not to Exceed: \$190,000, Original Doc Number, Other Party: Lenahan, Lee, Slater & Pearse, LLP, Certified Copies of Document, Project Name: Workers' Compensation Legal Services, Deed: None/Included/Separate, Project Number, Bid Transaction #, E/SBE-DBE-M/WBE.

Department Information

Department: Human Resources Division: Risk Management
Project Mgr: Supervisor: Edna Young
Contract Services: Date: Division Mgr: Patrick Flaherty
Phone Number: 808-5741 Org Number: 08001371
Comment:

Review and Signature Routing

Table with columns: Department, Signature or Initial, Date. Rows for Project Mgr, Accounting, Contract Services, Supervisor, Division Manager.

Table with columns: City Attorney, Signature or Initial, Date. Row for City Attorney with handwritten signature and date 8-22-14.

Send Interoffice Mail Notify for Pick Up

Table with columns: Authorization, Signature or Initial, Date. Row for Choose Director, Department Director, City Mgr: yes No.

Contract Cover/Routing Form: Must Accompany ALL Contracts; however, is not part of the contract. (01-01-09)

For City Clerk Processing Finalized: Initial: Date: Imaged: Initial: Date: Received: (City Clerk Stamp Here)

CITY OF SACRAMENTO  
**WORKERS COMPENSATION**  
**MASTER LEGAL SERVICES AGREEMENT**

**THIS AGREEMENT** is made at Sacramento, California, as of September 13, 2014, by and between the **CITY OF SACRAMENTO**, a municipal corporation ("CITY"), and

**Lenahan, Lee, Slater & Pearse, LLP**  
**1030 15<sup>th</sup> Street, Suite 300**  
**Sacramento, CA 95814**  
**Atten: Gerald M. Lenahan**  
**Phone: (916) 443-1030      Fax: (916) 443-0869**

("ATTORNEY"), who agree as follows:

1. **Services.** Subject to the terms and conditions set forth in this Agreement, ATTORNEY shall provide to CITY the services described in Exhibit A. ATTORNEY shall not be compensated for services outside the scope of Exhibit A unless prior to the commencement of such services: (a) ATTORNEY notifies CITY and CITY agrees that such services are outside the scope of Exhibit A; (b) ATTORNEY estimates the additional compensation required for these additional services; and (c) CITY, after notice, approves in writing a Supplemental Agreement specifying the additional services and amount of compensation therefor.
2. **Fees and Costs.** CITY shall compensate ATTORNEY for legal services rendered at the hourly billing rates set forth in Exhibit B.
3. **General Provisions.** The General Provisions set forth in Exhibit C are part of this Agreement. In the event of any conflict between the General Provisions and any terms or conditions of any document prepared or provided by ATTORNEY and made a part of this Agreement, including without limitation any document relating to the scope of services or payment therefor, the General Provisions shall control over said terms or conditions.
4. **CITY Representative.** The CITY Representative(s) specified in Exhibit A, or the Representative's designee, shall administer this Agreement for CITY.
5. **Non-Discrimination in Employee Benefits.** This Agreement is subject to the provisions of Sacramento City Code Chapter 3.54, Non-Discrimination in Employee Benefits by City Contractors. The requirements of Sacramento City Code Chapter 3.54 are summarized in Exhibit D. ATTORNEY is required to sign the attached Declaration of Compliance (Equal Benefits Ordinance), to assure compliance with these requirements.
6. **Authority.** The person signing this Agreement for ATTORNEY hereby represents and warrants that he/she is fully authorized to sign this Agreement on behalf of ATTORNEY and to bind ATTORNEY to the performance of its obligations hereunder.
7. **Exhibits.** All exhibits referred to herein are attached hereto and are by this reference incorporated as if set forth fully herein.

Executed as of the day and year first above stated.

**CITY OF SACRAMENTO**  
A Municipal Corporation

By: [Signature]

Print name: James Sanchez

Title: City Attorney

**ATTORNEY:**

Lenahan, Lee, Slater,  
& Pearse, LLP

94-1232757

Federal I.D. No.

94-1232757

State I.D. No.

**APPROVED TO AS FORM:**

[Signature]

Asst City Attorney

**ATTEST:**

\_\_\_\_\_  
City Clerk

**Attachments**

- Exhibit A - Scope of Service
- Exhibit B - Fee Schedule/Manner of Payment
- Exhibit C - General Provisions
- Exhibit D - Non-Discrimination in Employee

\_\_\_\_\_  
City of Sacramento Business Op. Tax Cert. No.

**TYPE OF BUSINESS ENTITY (check one):**

- Individual/Sole Proprietor
- Partnership
- Corporation
- Limited Liability Company
- Other (please specify: \_\_\_\_\_)

[Signature]  
Signature of Authorized Person

Partner: Gerald M. Lenahan  
Title: MANAGING PARTNER

**MUST BE POSTED IN CONSPICUOUS PLACE**

<b>City of SACRAMENTO</b>		65924	
<b>BUSINESS OPERATIONS TAX CERTIFICATE</b>			
Business Name	LENAHAN, LEE, SLATER & PEARSE	FROM	TO
Business Address	1030 15TH ST 300	Mo. Day Yr.	Mo. Day Yr.
Owner	GERALD M LENAHAN	01/01/2014	12/31/2014
Type of Business	ATTORNEY-GERALD M LENAHAN		Expires
Tax Classification	402A		
LENAHAN, LEE, SLATER & PEARSE 1030 15TH ST STE 300 SACRAMENTO, CA 95814-4030		<b>CITY OF SACRAMENTO</b> JAN 29 2014 VOID IF NOT PAID/VALIDATED	

65924

TOTAL PAID: \$301.00

THIS STUB MAY BE FOLDED/DETACHED BEFORE POSTING

This certificate is not to be construed as a business license or imply that the City of Sacramento has investigated, or approves or recommends, the holder of this certificate. Any representation to the contrary is fraudulent. (This certificate must be renewed within 30 days of expiration).

**DECLARATION OF COMPLIANCE  
Equal Benefits Ordinance**

Name of ATTORNEY: Gerald M. Lenahan, for and on behalf of Lenahan, Lee, Slater & Pearce, LLP

Address: 1030 15th Street, Suite 300, Sacramento, CA 95814

The above named ATTORNEY ("Contractor") hereby declares and agrees as follows:

1. I have read and understand the Requirements of the Non-Discrimination In Employee Benefits Code (the "Requirements") provided to me by the City of Sacramento ("City") and attached as Exhibit D to my City contract or agreement ("Contract").
2. As a condition of receiving the City Contract, I agree to fully comply with the Requirements, as well as any additional requirements that may be specified in the City's Non-Discrimination In Employee Benefits Code codified at Chapter 3.54 of the Sacramento City Code (the Ordinance).
3. I understand, to the extent that such benefits are not preempted or prohibited by federal or state law, employee benefits covered by the Ordinance, are any of the following:
  - a. Bereavement Leave
  - b. Disability, life, and other types of insurance
  - c. Family medical leave
  - d. Health benefits
  - e. Membership or membership discounts
  - f. Moving expenses
  - g. Pension and retirement benefits
  - h. Vacation
  - i. Travel benefits
  - j. Any other benefit offered to employees

I agree that should I offer any of the above listed employee benefits, that I will offer those benefits, without discrimination between employees with spouses and employees with domestic partners, and without discrimination between the spouses and domestic partners of such employees.

4. I understand that I will not be considered to be discriminating in the provision or application of employee benefits under the following conditions or circumstances:
  - a. In the event that the actual cost of providing a benefit to a domestic partner or spouse, exceeds the cost of providing the same benefit to a spouse or domestic partner of an employee, I will not be required to provide the benefit, nor shall it be deemed discriminatory, if I require the employee to pay the monetary difference in order to provide the benefit to the domestic partner or to the spouse.
  - b. In the event I am unable to provide a certain benefit, despite taking reasonable measures to do so, if I provide the employee with a cash equivalent, I will not be deemed to be discriminating in the application of that benefit.
  - c. If I provide employee benefits neither to employee's spouses nor to employee's domestic partners.
  - d. If I provide employee benefits to employees on a basis unrelated to marital or domestic partner status.

- e. If I submit, to the Program Coordinator, written evidence of making reasonable efforts to end discrimination in employee benefits by implementing policies which are to be enacted before the first effective date after the first open enrollment process following the date the Contract is executed with the City.

I understand that any delay in the implementation of such policies may not exceed one (1) year from the date the Contract is executed with the City, and applies only to those employee benefits for which an open enrollment process is applicable.

- f. Until administrative steps can be taken to incorporate, in the infrastructure, nondiscrimination in employee benefits.

The time allotted for these administrative steps will apply only to those employee benefits for which administrative steps are necessary and may not exceed three (3) months from the date the Contract is executed with the City.

- g. Until the expiration of a current collective bargaining agreement(s) where, in fact, employee benefits are governed by a collective bargaining agreement(s).

- h. I take all reasonable measures to end discrimination in employee benefits by either requesting the union(s) involved agree to reopen the agreement(s) in order for me to take whatever steps are necessary to end discrimination in employee benefits or by my ending discrimination in employee benefits without reopening the collective bargaining agreement(s).

- i. In the event I cannot end discrimination in employee benefits despite taking all reasonable measures to do so, I provide a cash equivalent to eligible employees for whom employee benefits (as listed previously), are not available.

Unless otherwise authorized in writing by the City Manager, I understand this cash equivalent must begin at the time the union(s) refuse to allow the collective bargaining agreement(s) to be reopened or no longer than three (3) months from the date the Contract is executed with the City.

- 5. I understand that failure to comply with the provisions of Section 4. (a) through 4. (i), above, will subject me to possible suspension and/or termination of this Contract for cause; repayment of any or all of the Contract amount disbursed by the City; debarment for future contracts until all penalties and restitution have been paid in full; deemed ineligible for future contracts for up to two (2) years; the imposition of a penalty, payable to the City, in the sum of \$50.00 for each employee, for each calendar day during which the employee was discriminated against in violation of the provisions of the Ordinance.
- 6. I understand and do hereby agree to provide each current employee and, within ten (10) days of hire, each new employee, of their rights under the Ordinance. I further agree to maintain a copy of each such letter provided, in an appropriate file for possible inspection by an authorized representative of the City. I also agree to prominently display a poster informing each employee of these rights.
- 7. I understand that I have the right to request an exemption to the benefit provisions of the Ordinance when such a request is submitted to the Procurement Services Division, in writing with sufficient justification for resolution, prior to contract award.
- 8. I further understand that the City may request a waiver or exemption to the provisions or requirements of the Ordinance, when only one contractor is available to enter into a contract or agreement to occupy and use City property on terms and conditions established by the City; when sole source conditions exist for goods, services, public project or improvements and related construction services; when there are no responsive bidders to the Ordinance requirements and the contract is for essential goods or services;

when emergency conditions with public health and safety implications exist; or when the contract is for specialized legal services if in the best interest of the City.

9. In consideration of the foregoing, I shall defend, indemnify and hold harmless, the City, its officers and employees, against any claims, actions, damages, costs (including reasonable attorney fees), or other liabilities of any kind arising from any violation of the Requirements or of the Ordinance by me.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct, and that I am authorized to bind the Contractor to the provisions of this Declaration.

  
\_\_\_\_\_  
Signature of Authorized Representative

08/07/2014  
\_\_\_\_\_  
Date

Gerald M. Lenahan  
\_\_\_\_\_  
Print Name

Partner  
\_\_\_\_\_  
Title

**EXHIBIT A  
WORKERS COMPENSATION  
MASTER LEGAL SERVICES AGREEMENT**

**SCOPE OF SERVICES**

**1. Representatives.**

The CITY Representative(s) for this Agreement is:

*Patrick Flaherty, Risk Manager  
City of Sacramento- Risk Management  
915 I Street- 4<sup>th</sup> Floor Sacramento CA 95814  
Phone (916) 808-5741 Fax (916) 808-8216  
E-mail: [PFlaherty@cityofsacramento.org](mailto:PFlaherty@cityofsacramento.org)*

All ATTORNEY questions pertaining to this Agreement shall be referred to the CITY Representative or the Representative's designee.

The ATTORNEY Representative for this Agreement is:

*Gerald M. Lenahan  
Lenahan, Lee, Slater & Pearce, LLP  
1030 15th Street, Suite 300  
Sacramento, CA 95814  
Phone: (916) 443-1030  
Fax: (916) 443-0869  
E-mail: [glenahan@lenahanlaw.net](mailto:glenahan@lenahanlaw.net)*

All CITY questions pertaining to this Agreement shall be referred to the ATTORNEY Representative. All correspondence to ATTORNEY shall be addressed to the address set forth on page one of this Agreement. Unless otherwise provided in this Agreement, all correspondence to CITY, and Notices to CITY shall be addressed to the CITY Representative.

**2. Scope of Services.**

Workers' compensation defense including serious and willful claims and Labor Code section 132(a) discrimination claims. Continuing education and training including updated case law developments in the field of workers compensation and subrogation. The City will contact Consultant in writing when services are requested. The name of the case and specific request for services will be identified in a Workers Compensation Referral Worksheet attached hereto as Exhibit E. All services described in Exhibit E are on an as needed basis as directed by the City. This agreement does not guarantee Consultant a minimum amount of payment in the event services are not utilized.

**3. Time of Performance.**

The services described herein shall be effective as of September 13, 2014. The term of the agreement shall be for three years from the effective date to September 13, 2017.

**4. Reporting.**

a. ATTORNEY shall provide CITY with a preliminary status report and/or file review and evaluation within thirty (30) days which shall include an evaluation of specific issues as identified in the Workers Compensation Referral Worksheet. In this preliminary report, ATTORNEY shall also make recommendations for discovery and any needed investigation.

Within 10 days of any request by the CITY, ATTORNEY shall provide CITY with a status report on the progress of the representation.

b. ATTORNEY shall provide to the CITY representative copies of all pleadings, motions, and discovery documents and medical reports filed or propounded by ATTORNEY in this case.

c. In the event that ATTORNEY's handling of the above-designated matter exceeds a period of twenty-four (24) months, ATTORNEY shall, in addition to providing regular status reports to CITY, also report directly to the CITY representative on an annual basis and no later than June 30th of each year, setting forth in detail the status of the matter, and ATTORNEY's plan for further handling of the matter, and the estimated length of time to conclude the matter.

**EXHIBIT B  
WORKERS COMPENSATION  
MASTER LEGAL SERVICES AGREEMENT**

**FEE SCHEDULE/MANNER OF PAYMENT**

1. **ATTORNEY'S Compensation.** CITY shall compensate ATTORNEY for legal services rendered at an hourly billing rate of \$155 for all Attorneys, to be billed in tenths of an hour. All office, travel, discovery, hearing, trial or other time shall be charged at this rate. CITY shall compensate ATTORNEYS for paralegal services rendered at an hourly billing rate of \$80, to be billed in tenths of an hour. CITY shall not be charged for services that are strictly of a clerical, administrative or secretarial nature, such as scheduling, calendaring or word processing. The total of all fees paid to ATTORNEY for the performance of all services set forth in Exhibit A, including normal revisions (hereafter the "Services"), and for all authorized Reimbursable Expenses, shall not exceed the total sum of \$190,000.

Such compensation shall be the sole and total remuneration for the rendition of services under this Agreement.

ATTORNEYS agree that cases will be assigned by the Workers Compensation Referral Worksheet attached hereto as Exhibit E. The attorney identified in the Workers Compensation Referral Worksheet will provide legal services and no other associate or partner will perform work without CITY's advance authorization.

2. **ATTORNEY'S Reimbursable Expenses.** CITY shall reimburse ATTORNEY for the actual, reasonable and necessary expense of travel out of the Sacramento metropolitan area, approved in advance, at \$0.43 per mile. ATTORNEY will not charge CITY for the cost of telephone calls or photocopying. Litigation costs and expenses for statutory fees, witness fees, reporters' per diem and stenographic transcriptions, jury fees, and the expenses of serving process shall be advanced by ATTORNEY and reimbursed by CITY. Expert consultants and witnesses may be retained by ATTORNEY on terms acceptable to CITY, approved in advance, in which case CITY shall reimburse ATTORNEY or pay such consultants or experts directly.

3. **Billing.**

- A. Legal billings shall be submitted to CITY every thirty (30) days unless otherwise advised.
- B. Each task shall be distinctly and completely identified; the City will not pay invoices that contain block billing. Each billing entry must contain the initials of the individual performing the task, the nature of the task, the date it was performed, and the length of time it took.
- C. Costs under \$500.00 shall be paid by ATTORNEYS and submitted with their normal billing.
- D. CITY reserves the right to audit all invoices.
- E. Payments to ATTORNEY shall be made within a reasonable time after receipt of

ATTORNEY'S invoice. ATTORNEY may request, but CITY is under no obligation to approve, payment on a monthly basis. ATTORNEY shall be responsible for supplying all documentation necessary to verify the monthly billings to the satisfaction of CITY.

F. In addition to the provisions stated elsewhere in this Agreement regarding the payment of fees, billing and budgeting, the following guidelines for billing apply:

1. CITY expects each individual working on the matter for which ATTORNEYS were retained to have the necessary experience to perform the services required to protect or pursue CITY'S interests in the matter in a cost effective manner.

2. CITY expects ATTORNEYS to select the individual most suitable for the task required and the specific needs of the matter, and to use the maximum efficiencies available. Billings for services performed by the inappropriate level of personnel will be reduced by the City based on rate adjustments for the appropriate level of personnel.

3. CITY will not pay for unnecessary review of texts, codes, rules of court, or other fundamental references. CITY will pay the hourly rate for specific legal research which is unique to the matter, assuming that ATTORNEYS have used maximum efficiencies and that ATTORNEYS have not already performed research in the same or similar areas of law.

4. CITY acknowledges the benefit of communications between attorneys in the firm. CITY does, however, expect that intra-office conferences will only be held as needed, and will be kept to a minimum. Intra-office conferences shall be for the purpose of discussing strategy and legal issues which directly further the matter. CITY will not pay for conferences which are supervisory or instructional. Any invoice which lists an intra-office conference that exceeds these guidelines must contain a full explanation and is subject to reduction by CITY. CITY will not pay for "team meetings" and CITY will scrutinize all intra-office conferences for "value added" to the matter by the conference, for the number of individuals attending the conference, the length of the conference, the subjects discussed at the conference and who participated in it, and will, in CITY'S sole discretion, determine if such value has been added.

5. CITY will not pay for local telephone calls, incoming facsimiles, postage, time spent on filing, calendaring, indexing pleadings, photocopying, conferences with Clerks of court or court reporters, proofreading, re-drafting due to substandard work, time billed by summer interns or associates, time for more than one individual to attend a trial, hearing, court appearance, arbitration, mediation, deposition, third party meeting, conference call or similar event, unless otherwise approved by CITY in advance, or opening, organizing or closing files.

6. Vague billing which does not contain sufficient information to allow City's reviewer of the invoice to determine the nature of the task, the reason for the task, and the individual performing the task is subject to reduction by CITY.

7. CITY will not reimburse for overtime, word processing, supplies, anything identified on an invoice as "miscellaneous," or any other unidentified charges.

**EXHIBIT C  
WORKERS COMPENSATION  
MASTER LEGAL SERVICES AGREEMENT**

**GENERAL PROVISIONS**

**1. Independent Contractor.**

- A. It is understood and agreed that ATTORNEY (including ATTORNEY's employees) is an independent contractor and that no relationship of employer-employee exists between the parties hereto for any purpose whatsoever. Neither ATTORNEY nor ATTORNEY'S assigned personnel shall be entitled to any benefits payable to employees of CITY. CITY is not required to make any deductions or withholdings from the compensation payable to ATTORNEY under the provisions of this Agreement, and ATTORNEY shall be issued an IRS Form 1099 for its services hereunder. As an independent contractor, ATTORNEY hereby agrees to indemnify and hold CITY harmless from any and all claims that may be made against CITY based upon any contention by any of ATTORNEY'S employees or by any third party, including but not limited to any state or federal agency, that an employer-employee relationship or a substitute therefor exists for any purpose whatsoever by reason of this Agreement or by reason of the nature and/or performance of any Services under this Agreement.
- B. It is further understood and agreed by the parties hereto that ATTORNEY, in the performance of its obligations hereunder, is subject to the control and direction of CITY as to the designation of tasks to be performed and the results to be accomplished by the Services agreed to be rendered and performed under this Agreement, but not as to the means, methods, or sequence used by ATTORNEY for accomplishing such results. To the extent that ATTORNEY obtains permission to, and does, use CITY facilities, space, equipment or support services in the performance of this Agreement, this use shall be at the ATTORNEY'S sole discretion based on the ATTORNEY'S determination that such use will promote ATTORNEY'S efficiency and effectiveness. Except as may be specifically provided elsewhere in this Agreement, the CITY does not require that ATTORNEY use CITY facilities, equipment or support services or work in CITY locations in the performance of this Agreement.
- C. If, in the performance of this Agreement, any third persons are employed by ATTORNEY, such persons shall be entirely and exclusively under the direction, supervision, and control of ATTORNEY. Except as may be specifically provided elsewhere in this Agreement, all terms of employment, including hours, wages, working conditions, discipline, hiring, and discharging, or any other terms of employment or requirements of law, shall be determined by ATTORNEY. It is further understood and agreed that ATTORNEY shall issue W-2 or 1099 Forms for income and employment tax purposes, for all of ATTORNEY'S assigned personnel and subcontractors.

- 2. Licenses; Permits, Etc.** ATTORNEY represents and warrants that ATTORNEY has all licenses, permits, City Business Operations Tax Certificate, qualifications, and approvals of whatsoever nature which are legally required for ATTORNEY to practice its profession or provide any services under the Agreement. ATTORNEY represents and warrants that

ATTORNEY shall, at its sole cost and expense, keep in effect or obtain at all times during the term of this Agreement any licenses, permits, and approvals which are legally required for ATTORNEY to practice its profession or provide such Services. Without limiting the generality of the foregoing, if ATTORNEY is an out-of-state corporation, ATTORNEY warrants and represents that it possesses a valid certificate of qualification to transact business in the State of California issued by the California Secretary of State pursuant to Section 2105 of the California Corporations Code.

3. **Conflicts of Interest.** ATTORNEY represents and warrants that they have made a diligent effort to determine whether there are any actual or potential conflicts of interest that would preclude or interfere with ATTORNEY'S performance of this Agreement, and that no such conflict of interest exists. ATTORNEY shall indemnify and hold harmless CITY from and against any and all liability, loss, expense and obligation arising directly or indirectly out of any breach of the foregoing representation and warranty. ATTORNEY agrees to avoid conflicts of interest or the appearance of any conflicts of interest with the interests of CITY at all times during the performance of this Agreement.
4. **Confidentiality of CITY Information.** ATTORNEY understands and agrees that during the course performing the services required by this agreement, or in contemplation thereof, ATTORNEYS may have access to private or confidential information which may be owned or controlled by CITY and that such information may contain proprietary or confidential details, the disclosure of which to third parties may be damaging to CITY. ATTORNEYS agree that all information disclosed by CITY to ATTORNEYS shall be held in confidence and used only in performance of the services required by this Agreement. A violation of this section shall be a material violation of this Agreement and shall justify legal and/or equitable relief.
5. **Suspension and Termination.**
  - A. CITY shall have the right at any time to temporarily suspend ATTORNEY'S performance hereunder, in whole or in part, by giving a written notice of suspension to ATTORNEY. If CITY gives such notice of suspension, ATTORNEY shall immediately suspend its activities under this Agreement, as specified in such notice.
  - B. CITY reserves the right to discharge ATTORNEY and terminate this Agreement at any time. In the event of such discharge or termination, CITY shall compensate ATTORNEY for services rendered and expenses necessarily incurred up to and including the date of discharge and expenses unavoidably incurred by ATTORNEY thereafter.
  - C. ATTORNEY may terminate this Agreement at any time by giving to CITY Attorney not less than thirty (30) days prior written notice of termination. The notice shall specify the effective date of and reason for the termination.
  - D. This Agreement is personal to ATTORNEY and any attempted assignment including by successors or assigns, shall be void, unless approved in writing by CITY.
  - E. Upon any termination, ATTORNEY shall immediately return to CITY the file and all materials owned by CITY and cooperate fully in necessary completion and filing of any necessary Substitution of Attorney documents.

**6. Indemnity.**

- A. Indemnity: ATTORNEY shall fully indemnify and save harmless, CITY, its officers and employees, and each and every one of them, from and against all actions, damages, costs, liability, claims, losses, judgments, penalties and expenses of every type and description, including, but not limited to, any fees and/or costs reasonably incurred by CITY'S staff attorneys or outside attorneys and any fees and expenses incurred in enforcing this provision (hereafter collectively referred to as "Liabilities"), to which any or all of them may be subjected, to the extent such Liabilities are caused by or result from any negligent act or omission or willful misconduct of ATTORNEY, its subcontractors or agents, and their respective officers and employees, in connection with the performance or nonperformance of this Agreement, whether or not the CITY, its officers or employees reviewed, accepted or approved any service or work product performed or provided by the ATTORNEY, and whether or not such Liabilities are litigated, settled or reduced to judgment.
- B. Obligation to Defend: ATTORNEY shall, upon CITY'S request, defend at ATTORNEY'S sole cost any action, claim, suit, cause of action or portion thereof which asserts or alleges Liabilities to the extent such Liabilities are caused by or result from any negligent act or omission or willful misconduct of ATTORNEY, its sub-ATTORNEYS, subcontractors or agents, and their respective officers and employees, in connection with the performance or nonperformance of this Agreement, whether such action, claim, suit, cause of action or portion thereof is well founded or not.
- C. Insurance Policies; Intellectual Property Claims: The existence or acceptance by CITY of any of the insurance policies or coverages described in this Agreement shall not affect or limit any of CITY'S rights under this section, nor shall the limits of such insurance limit the liability of ATTORNEY hereunder. This section shall not apply to any intellectual property claims, actions, lawsuits or other proceedings subject to the provisions of Section 4 (Confidentiality of CITY Information) above. The provisions of this section shall survive any expiration or termination of this Agreement.

7. **Publicity.** If any publicity is generated by the subject matter of this representation, ATTORNEYS will consult with CITY before making any statement to any media outlet (whether radio, print or television) about the content and timing of such statement.

8. **Insurance Requirements.** During the entire term of this Agreement, ATTORNEY shall maintain the following insurance:

- A. Minimum Scope of Insurance: Coverage should be at least as broad as:
- (1) Insurance Services Office Form No. CG 0001 (Commercial General Liability);
  - (2) Insurance Services Office Form No. CA 0001 (Ed. 1/87) (Automobile Liability, Code "any auto");
  - (3) Workers' Compensation as required by the Labor Code of the State of California,

- and Employers' Liability Insurance:
- (4) Professional Liability (Errors and Omissions) insurance against loss due to error, omission or malpractice.
- B. Minimum Limits of Insurance: ATTORNEY shall maintain limits no less than:
- (1) Commercial General Liability; \$1,000,000 combined single limit per occurrence, including endorsements for contractual liabilities, broad form property damage and personal injury.
  - (2) Automobile Liability: \$1,000,000 combined single limit per accident for personal injury and property damage arising from owned, hired and non-owned vehicles.
  - (3) Workers' Compensation and Employers' Liability: Workers' compensation limits as required by the Labor Code of the State of California and Employers' Liability limits of \$1,000,000 per accident.
  - (4) Professional Liability (Errors and Omissions): \$2,000,000 combined single limit per occurrence.
- C. Deductibles and Self-Insured Retentions: Any deductibles or self-insured retentions must be declared to and approved by the CITY.
- D. Other Insurance Provisions: The policies are to contain, or be endorsed to contain, the following provisions:
- (1) General Liability and Automobile Liability Coverages:
    - (a) The General Liability and Automobile Liability policies shall be written on an occurrence form and shall name CITY, its officers, officials, agents, employees and volunteers as additional insureds. Such policy(ies) of insurance shall be endorsed so that ATTORNEY's insurance shall be primary and any insurance or self-insurance maintained by CITY, its officials, employees or volunteers shall be in excess of ATTORNEY'S insurance and shall not contribute with it.
    - (b) Any failure to comply with reporting provisions of the policies shall not affect coverage provided to CITY, its officials, employees or volunteers.
    - (c) Coverage shall state that ATTORNEY'S insurance shall apply separately to each insured against whom claim is made or suit is brought, except with respect to the limits of the insurer's liability.
  - (2) All Coverages:
    - (a) Each insurance policy required by this Agreement shall be endorsed to state that coverages shall not be canceled except after thirty (30) days

prior written notice has been given to CITY. In addition, ATTORNEY agrees that it shall not reduce its coverage or limits on any such policy except after thirty (30) days prior written notice has been give to CITY and CITY approves the reduction in coverage or limits. ATTORNEY further agrees that it shall not increase any deductibles or self-insured retentions on any such policy except after thirty (30) days prior written notice has been given to CITY and CITY approves such increase.

(b) In the event claims made forms are used for any Professional Liability coverage, either (i) the policy(ies) shall be endorsed to provide not less than a five (5) year discovery period, or (ii) the coverage shall be maintained for a minimum of five (5) years following the termination of this Agreement and the requirements of this section relating to such coverage shall survive termination or expiration of this Agreement. ATTORNEYS shall furnish CITY with the certificate(s) and applicable endorsements for ALL required insurance prior to CITY's execution of this Agreement.

E. Acceptability of Insurers: Insurance shall be placed with insurers with a Bests' rating of no less than A:VII. This requirement may, however, be waived in individual cases for Errors and Omissions Coverages only; provided, however, that in no event shall a carrier with a rating below B:IX be acceptable.

F. Verification of Coverage: ATTORNEY shall furnish CITY with certificates of insurance showing compliance with the above requirements and with original endorsements affecting all coverages required by this Agreement both prior to the execution of this Agreement, and during the pendency of this Agreement at any time upon request by CITY. The certificates and/or endorsements shall set forth a valid policy number for CITY, and shall indicate the Issue Date, Effective Date and Expiration Date. The certificates and endorsements for each insurance policy shall be signed by a person authorized by the insurer to bind coverage on its behalf. The certificates and endorsements shall be forwarded to the CITY representative named in Exhibit A.

G. Payment Withhold: CITY shall withhold payments to ATTORNEY if the certificates of insurance and endorsements required in this section are canceled or ATTORNEY otherwise ceases to be insured as required herein.

9. **Disqualification of Judges.** At the option of the City Attorney, judges may be disqualified from hearing CITY litigation, and ATTORNEY shall adhere to such decision of the City Attorney. In the event that ATTORNEY is of the opinion that a judge ought to be disqualified, the City Attorney must be consulted in advance by ATTORNEY, and ATTORNEY must obtain the City Attorney's written consent to such disqualification.

10. **Equal Employment Opportunity.** During the performance of this Agreement, ATTORNEY, for itself, its assignees and successors in interest, agrees as follows:

A. Compliance With Regulations: ATTORNEY shall comply with the Executive Order 11246 entitled "Equal Opportunity in Federal Employment", as amended by Executive Order 11375 and 12086, and as supplemented in Department of Labor regulations (41 CFR

Chapter 60), hereinafter collectively referred to as the "Regulations."

- B. Nondiscrimination: ATTORNEY, with regards to the work performed pursuant to this Agreement, shall not discriminate on the ground of race, color, religion, sex, national origin, age, marital status, physical handicap or sexual orientation in selection and retention of subcontractors, including procurement of materials and leases of equipment. ATTORNEY shall not participate either directly or indirectly in discrimination prohibited by the Regulations.
- C. Solicitations for Subcontractors, Including Procurement of Materials and Equipment: In all solicitations either by competitive bidding or negotiations made by ATTORNEY for work to be performed under any subcontract, including all procurement of materials or equipment, each potential subcontractor or supplier shall be notified by ATTORNEY of ATTORNEY'S obligation under this Agreement and the Regulations relative to nondiscrimination on the ground of race, color, religion, sex, national origin, age, marital status, physical handicap or sexual orientation.
- D. Information and Reports: ATTORNEY shall provide all information and reports required by the Regulations, or by any orders or instructions issued pursuant thereto, and shall permit access to its books, records, accounts, other sources of information and its facilities as may be determined by the CITY to be pertinent to ascertain compliance with such Regulations, orders and instructions. Where any information required of ATTORNEY is in the exclusive possession of another who fails or refuses to furnish this information, ATTORNEY shall so certify to the CITY, and shall set forth what efforts it has made to obtain the information.
- E. Sanctions for Noncompliance: In the event of noncompliance by ATTORNEY with the nondiscrimination provisions of this Agreement, the CITY shall impose such sanctions as it may determine to be appropriate including, but not limited to:
- (1) Withholding of payments to ATTORNEY under this Agreement until ATTORNEY complies;
  - (2) Cancellation, termination, or suspension of the Agreement, in whole or in part.
- F. Incorporation of Provisions: ATTORNEY shall include the provisions of subsections A through E, above, in every subcontract, including procurement of materials and leases of equipment, unless exempted by the Regulations, or by any order or instructions issued pursuant thereto. ATTORNEY shall take such action with respect to any subcontract or procurement as the CITY may direct as a means of enforcing such provisions including sanctions for noncompliance; provided, however, that in the event ATTORNEY becomes involved in, or is threatened with, litigation with a subcontractor or supplier as a result of such direction, ATTORNEY may request CITY to enter such litigation to protect the interests of CITY.
11. **Entire Agreement.** This document, including all Exhibits, contains the entire agreement between the parties and supersedes whatever oral or written understanding they may have had prior to the execution of this Agreement. No alteration to the terms of this Agreement shall be valid unless approved in writing by ATTORNEY, and by CITY, in accordance with applicable

provisions of the Sacramento City Code.

12. **Severability.** If any portion of this Agreement or the application thereof to any person or circumstance shall be held invalid or unenforceable, the remainder of this Agreement shall not be affected thereby and shall be enforced to the greatest extent permitted by law.
13. **Waiver.** Neither CITY acceptance of, or payment for, any Service or Additional Service performed by ATTORNEY, nor any waiver by either party of any default, breach or condition precedent, shall be construed as a waiver of any provision of this Agreement, nor as a waiver of any other default, breach or condition precedent or any other right hereunder.
14. **Enforcement of Agreement.** This Agreement shall be governed, construed and enforced in accordance with the laws of the State of California. Venue of any litigation arising out of or connected with this Agreement shall lie exclusively in the state trial court or Federal District Court located in Sacramento County in the State of California, and the parties consent to jurisdiction over their persons and over the subject matter of any such litigation in such courts, and consent to service of process issued by such courts.
15. **Attorney's Fees.** In any action to enforce the terms of this Agreement, the prevailing party shall recover its reasonable attorneys' fees.
16. **Assignment Prohibited.** The expertise and experience of ATTORNEY are material considerations for this Agreement. CITY has a strong interest in the qualifications and capability of the persons and entities who will fulfill the obligations imposed on ATTORNEY under this Agreement. In recognition of this interest, ATTORNEY shall not assign any right or obligation pursuant to this Agreement without the written consent of the CITY. Any attempted or purported assignment without CITY'S written consent shall be void and of no effect.

## EXHIBIT D

### REQUIREMENTS OF THE NON-DISCRIMINATION IN EMPLOYEE BENEFITS CODE

#### INTRODUCTION

The Sacramento Non-Discrimination In Employee Benefits Code (the "Ordinance"), codified as Sacramento City Code Chapter 3.54, prohibits City contractors from discriminating in the provision of employee benefits between employees with spouses and employees with domestic partners, and between the spouses and domestic partners of employees.

#### APPLICATION

The provisions of the Ordinance apply to any contract or agreement (as defined below), between a Contractor and the City of Sacramento, in an amount exceeding \$25,000.00. The Ordinance applies to that portion of a contractor's operations that occur: (i) within the City of Sacramento; (ii) on real property outside the City of Sacramento if the property is owned by the City or if the City has a right to occupy the property; or (iii) at any location where a significant amount of work related to a City contract is being performed.

The Ordinance does not apply: to subcontractors or subcontracts of any Contractor or contractors; to transactions entered into pursuant to cooperative purchasing agreements approved by the Sacramento City Council; to legal contracts of other governmental jurisdictions or public agencies without separate competitive bidding by the City; where the requirements of the ordinance will violate or are inconsistent with the terms or conditions of a grant, subvention or agreement with a public agency or the instructions of an authorized representative of any such agency with respect to any such grant, subvention or agreement; to permits for excavation or street construction; or to agreements for the use of City right-of-way where a contracting utility has the power of eminent domain.

#### DEFINITIONS

As set forth in the Ordinance, the following definitions apply:

"Contract" means an agreement for public works or improvements to be performed, or for goods or services to be purchased or grants to be provided, at the expense of the City or to be paid out of moneys deposited in the treasury or out of the trust money under the control or collected by the City. "Contract" also means a written agreement for the exclusive use ("exclusive use" means the right to use or occupy real property to the exclusion of others, other than the right reserved by the fee owner) or occupancy of real property for a term exceeding 29 days in any calendar year, whether by singular or cumulative instrument, (i) for the operation or use by others of real property owned or controlled by the City for the operation of a business, social, or other establishment or organization, including leases, concessions, franchises and easements, or (ii) for the City's use or occupancy of real property owned by others, including leases, concessions, franchises and easements.

"Contract" shall not include: a revocable at-will use or encroachment permit for the use of or encroachment on City property regardless of the ultimate duration of such permit; excavation, street construction or street use permits; agreements for the use of City right-of-way where a contracting utility has the power of eminent domain; or agreements governing the use of City property that constitute a

public forum for activities that are primarily for the purpose of espousing or advocating causes or ideas and that are generally protected by the First Amendment to the United States Constitution or that are primarily recreational in nature.

"Contractor" means any person or persons, firm partnership or corporation, company, or combination thereof that enters into a Contract with the City. "Contractor" does not include a public entity.

"Domestic Partner" means any person who has a currently registered domestic partnership with a governmental entity pursuant to state or local law authorizing the registration.

"Employee Benefits" means bereavement leave; disability, life, and other types of insurance; family medical leave; health benefits; membership or membership discounts; moving expenses; pension and retirement benefits; vacation; travel benefits; and any other benefit given to employees. "Employee benefits" shall not include benefits to the extent that the application of the requirements of this chapter to such benefits may be preempted by federal or state.

#### **CONTRACTOR'S OBLIGATION TO PROVIDE THE CITY WITH DOCUMENTATION AND INFORMATION**

Contractor shall provide the City with documentation and information verifying its compliance with the requirements of the Ordinance within ten (10) days of receipt of a request from the City. Contractors shall keep accurate payroll records, showing, for each City Contract, the employee's name, address, Social Security number, work classification, straight time pay rate, overtime pay rate, overtime hours worked, status and exemptions, and benefits for each day and pay period that the employee works on the City Contract. Each request for payroll records shall be accompanied by an affidavit to be completed and returned by the Contractor, as stated, attesting that the information contained in the payroll records is true and correct, and that the Contractor has complied with the requirements of the Ordinance. A violation of the Ordinance or noncompliance with the requirements of the Ordinance shall constitute a breach of contract.

#### **EMPLOYER COMPLIANCE CERTIFICATE AND NOTICE REQUIREMENTS**

- (a) All contractors seeking a Contract subject to the Ordinance shall submit a completed Declaration of Compliance Form, signed by an authorized representative, with each proposal, bid or application. The Declaration of Compliance shall be made a part of the executed contract, and will be made available for public inspection and copying during regular business hours.
- (b) The Contractor shall give each existing employee working directing on a City contract, and (at the time of hire), each new employee, a copy of the notification provided as Attachment "1."
- (c) Contractor shall post, in a place visible to all employees, a copy of the notice provided as Attachment "2."

## Attachment 1



### **YOUR RIGHTS UNDER THE CITY OF SACRAMENTO'S NON-DISCRIMINATION IN EMPLOYEE BENEFITS CODE**

On ..... (date), your employer (the "Employer") entered into a contract with the City of Sacramento (the "City") for ..... (contract details), and as a condition of that contract, agreed to abide by the requirements of the City's Non-Discrimination In Employee Benefits Code (Sacramento City Code Section 3.54).

The Ordinance does not require the Employer to provide employee benefits. The Ordinance does require that if certain employee benefits are provided by the Employer, that those benefits be provided without discrimination between employees with spouses and employees with domestic partners, and without discrimination between the spouse or domestic partner of employees.

The Ordinance covers any employee working on the specific contract referenced above, but only for the period of time while those employees are actually working on this specific contract.

The included employee benefits are:

- Bereavement leave
- Disability, life and other types of insurance
- Family medical leave
- Health benefits
- Membership or membership discounts
- Moving expenses
- Pension and retirement benefits
- Vacation
- Travel benefits
- Any other benefits given to employees

(Employee Benefits does not include benefits that may be preempted by federal or state law.)

If you feel you have been discriminated or retaliated against by your employer in the terms and conditions of your application for employment, or in your employment, or in the application of these employee benefits, because of your status as an applicant or as an employee protected by the Ordinance, or because you reported a violation of the Ordinance, and after having exhausted all remedies with your employer,

**You May . . .**

- Submit a written complaint to the City of Sacramento, Contract Services Unit, containing the details of the alleged violation. The address is:

City of Sacramento  
Contract Services Unit  
921 10th St., Room 402  
Sacramento, CA 95814-2714

- Bring an action in the appropriate division of the Superior Court of the State of California against the Employer and obtain the following remedies:

- Reinstatement, injunctive relief, compensatory damages and punitive damages
- Reasonable attorney's fees and costs

## Attachment 2



### **YOUR RIGHTS UNDER THE CITY OF SACRAMENTO'S NON-DISCRIMINATION IN EMPLOYEE BENEFITS CODE**

If your employer provides employee benefits, they must be provided to those employees working on a City of Sacramento contract without discriminating between employees with spouses and employees with domestic partners.

The included employee benefits are:

- Bereavement leave
- Disability, life and other types of insurance
- Family medical leave
- Health benefits
- Membership or membership discounts
- Moving expenses
- Pension and retirement benefits
- Vacation
- Travel benefits
- Any other benefits given to employees

If you feel you have been discriminated against by your employer . . .

#### **You May . . .**

- Submit a written complaint to the City of Sacramento, Contract Services Unit, containing the details of the alleged violation. The address is:

City of Sacramento  
Contract Services Unit  
921 10th St., Room 402  
Sacramento, CA 95814-2714

- Bring an action in the appropriate division of the Superior Court of the State of California against the employer and obtain reinstatement, injunctive relief, compensatory damages, punitive damages and reasonable attorney's fees and costs.

#### **Discrimination and Retaliation Prohibited.**

If you feel you have been discriminated or retaliated against by your employer in the terms and conditions of your application for employment, or in your employment, because of your status as an applicant or as an employee protected by the Ordinance, or because you reported a violation of this Ordinance . . .

#### **You May Also . . .**

Submit a written complaint to the City of Sacramento, Contract Services Unit, at the same address, containing the details of the alleged violation.

EXHIBIT E

City of Sacramento  
Workers' Compensation Referral Worksheet

Pursuant to the terms of Master Services Agreement No. \_\_\_\_\_ the City of Sacramento hereby retains services of \_\_\_\_\_ (Firm), \_\_\_\_\_ (attorney), for the following case \_\_\_\_\_

City Contact Information

Name \_\_\_\_\_ Phone \_\_\_\_\_  
Address \_\_\_\_\_  
Claim # \_\_\_\_\_

Case Information

Workers' Comp     L&H     Subrogation     132(a)     S&W  
Employee \_\_\_\_\_ DOB \_\_\_\_\_ Occupation \_\_\_\_\_  
Employer \_\_\_\_\_ Policy Period \_\_\_\_\_  
Date of Injury \_\_\_\_\_ Body Parts \_\_\_\_\_  
Employee's Attorney \_\_\_\_\_  
Claim Form Returned On \_\_\_\_\_ Application Filed On \_\_\_\_\_  
Denial Letter Issued On \_\_\_\_\_ Answer Filed On \_\_\_\_\_  
or Denial Due Date \_\_\_\_\_ File Answer  Yes  No

*Please Attach Copies of All Claim Forms Provided To Employee*

Benefits Paid

TD \$ \_\_\_\_\_ RATE \_\_\_\_\_ PERIODS \_\_\_\_\_  
LC 4850 \$ \_\_\_\_\_ RATE \_\_\_\_\_ PERIODS \_\_\_\_\_  
PD \$ \_\_\_\_\_ RATE \_\_\_\_\_ PERIODS \_\_\_\_\_  
Medical Expenses \$ \_\_\_\_\_ SJD EXPENSE \$ \_\_\_\_\_

Issues

- |   |   |                                     |
|---|---|-------------------------------------|
| <input type="checkbox"/> 1. Injury AOE-     | <input type="checkbox"/> 8. Apportionment           | <input type="checkbox"/> 15. 132(a) |
| <input type="checkbox"/> 2. Employment      | <input type="checkbox"/> 9. Past Medical            | <input type="checkbox"/> 16. S&W    |
| <input type="checkbox"/> 3. Occupation      | <input type="checkbox"/> 10. Future Medical         | <input type="checkbox"/> 17. Other  |
| <input type="checkbox"/> 4. Coverage        | <input type="checkbox"/> 11. Statute of Limitations |                                     |
| <input type="checkbox"/> 5. Earnings        | <input type="checkbox"/> 12. Jurisdiction           |                                     |
| <input type="checkbox"/> 6. Temp Disability | <input type="checkbox"/> 13. Dependency             |                                     |
| <input type="checkbox"/> 7. Perm Disability | <input type="checkbox"/> 14. Subrogation            |                                     |

Defense Discovery Requested

Depose Applicant  Yes  No     Need to Discuss  
Schedule Med Exam  Yes  No    Physician \_\_\_\_\_  
Subpoena Records  Yes  No    Source \_\_\_\_\_

Comments:



Requires Council Approval:  No  YES Meeting:

Real Estate  Other Party Signature Needed  Recording Requested

General Information

Form with fields: Type: Professional Services, PO Type: Select PO Type, Attachment: Original No., \$ Not to Exceed: \$90,000, Original Doc Number, Other Party: Mullen & Filippi, Certified Copies of Document, Project Name: Workers' Compensation Legal Services, Deed: None/Included/Separate, Project Number, Bid Transaction #, E/SBE-DBE-M/WBE.

Department Information

Department: Human Resources Division: Risk Management
Project Mgr: Supervisor: Edna Young
Contract Services: Date: Division Mgr: Patrick Flaherty
Phone Number: 808-5741 Org Number: 08001371
Comment:

Review and Signature Routing

Table with columns: Department, Signature or Initial, Date. Rows for Project Mgr, Accounting, Contract Services, Supervisor, Division Manager.

City Attorney Signature or Initial Date
City Attorney: [Signature] 8-22-14

Send Interoffice Mail Notify for Pick Up

Table with columns: Authorization, Signature or Initial, Date. Row for Choose Director Department Director.

Contract Cover/Routing Form: Must Accompany ALL Contracts; however, is not part of the contract. (01-01-09)

For City Clerk Processing Finalized: Initial: Date: Imaged: Initial: Date: Received: (City Clerk Stamp Here)

CITY OF SACRAMENTO  
**WORKERS COMPENSATION  
MASTER LEGAL SERVICES AGREEMENT**

**THIS AGREEMENT** is made at Sacramento, California, as of September 13, 2014, by and between the **CITY OF SACRAMENTO**, a municipal corporation ("CITY"), and

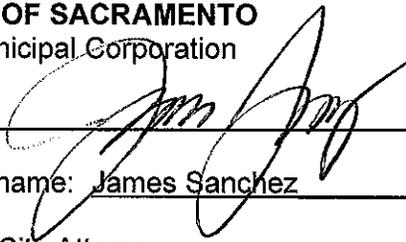
**Mullen & Filippi**  
**1601 Response Road, Suite 300**  
**Sacramento, CA 95815**  
**Atten: Robert R. Sherman**  
**Phone: (916) 442-4503      Fax: (916) 442-1028**

("ATTORNEY"), who agree as follows:

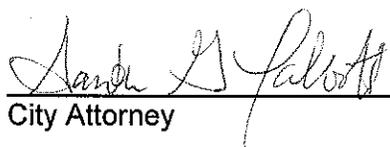
1. **Services.** Subject to the terms and conditions set forth in this Agreement, ATTORNEY shall provide to CITY the services described in Exhibit A. ATTORNEY shall not be compensated for services outside the scope of Exhibit A unless prior to the commencement of such services: (a) ATTORNEY notifies CITY and CITY agrees that such services are outside the scope of Exhibit A; (b) ATTORNEY estimates the additional compensation required for these additional services; and (c) CITY, after notice, approves in writing a Supplemental Agreement specifying the additional services and amount of compensation therefor.
2. **Fees and Costs.** CITY shall compensate ATTORNEY for legal services rendered at the hourly billing rates set forth in Exhibit B.
3. **General Provisions.** The General Provisions set forth in Exhibit C are part of this Agreement. In the event of any conflict between the General Provisions and any terms or conditions of any document prepared or provided by ATTORNEY and made a part of this Agreement, including without limitation any document relating to the scope of services or payment therefor, the General Provisions shall control over said terms or conditions.
4. **CITY Representative.** The CITY Representative(s) specified in Exhibit A, or the Representative's designee, shall administer this Agreement for CITY.
5. **Non-Discrimination in Employee Benefits.** This Agreement is subject to the provisions of Sacramento City Code Chapter 3.54, Non-Discrimination in Employee Benefits by City Contractors. The requirements of Sacramento City Code Chapter 3.54 are summarized in Exhibit D. ATTORNEY is required to sign the attached Declaration of Compliance (Equal Benefits Ordinance), to assure compliance with these requirements.
6. **Authority.** The person signing this Agreement for ATTORNEY hereby represents and warrants that he/she is fully authorized to sign this Agreement on behalf of ATTORNEY and to bind ATTORNEY to the performance of its obligations hereunder.
7. **Exhibits.** All exhibits referred to herein are attached hereto and are by this reference incorporated as if set forth fully herein.

Executed as of the day and year first above stated.

**CITY OF SACRAMENTO**  
A Municipal Corporation

By:   
Print name: James Sanchez  
Title: City Attorney

APPROVED TO AS FORM:

  
City Attorney

Asst

ATTEST:

\_\_\_\_\_  
City Clerk

Attachments

- Exhibit A - Scope of Service
- Exhibit B - Fee Schedule/Manner of Payment
- Exhibit C - General Provisions
- Exhibit D - Non-Discrimination in Employee Benefits
- Exhibit E - Workers' Compensation Referral Worksheet

**ATTORNEY:**

Mullen & Filippi, LLP  
Robert R. Sherman, Esq.  
94-112-5789  
Federal I.D. No.  
162-4902 1  
State I.D. No.  
1005018  
City of Sacramento Business Op. Tax Cert. No.

TYPE OF BUSINESS ENTITY (check one):

- Individual/Sole Proprietor
- Partnership (LLP)
- Corporation
- Limited Liability Company
- Other (please specify: \_\_\_\_\_)

  
Signature of Authorized Person

Senior Managing Partner  
Title

\_\_\_\_\_  
Additional Signature (if required)

\_\_\_\_\_  
Title

**DECLARATION OF COMPLIANCE  
Equal Benefits Ordinance**

Name of ATTORNEY: Robert R. Sherman, for and on behalf of Mullen & Filippi

Address: 1601 Response Road, Suite 300, Sacramento, CA 95815

The above named ATTORNEY ("Contractor") hereby declares and agrees as follows:

1. I have read and understand the Requirements of the Non-Discrimination In Employee Benefits Code (the "Requirements") provided to me by the City of Sacramento ("City") and attached as Exhibit D to my City contract or agreement ("Contract").
2. As a condition of receiving the City Contract, I agree to fully comply with the Requirements, as well as any additional requirements that may be specified in the City's Non-Discrimination In Employee Benefits Code codified at Chapter 3.54 of the Sacramento City Code (the AOrdinance@).
3. I understand, to the extent that such benefits are not preempted or prohibited by federal or state law, employee benefits covered by the Ordinance, are any of the following:
  - a. Bereavement Leave
  - b. Disability, life, and other types of insurance
  - c. Family medical leave
  - d. Health benefits
  - e. Membership or membership discounts
  - f. Moving expenses
  - g. Pension and retirement benefits
  - h. Vacation
  - i. Travel benefits
  - j. Any other benefit offered to employees

I agree that should I offer any of the above listed employee benefits, that I will offer those benefits, without discrimination between employees with spouses and employees with domestic partners, and without discrimination between the spouses and domestic partners of such employees.

4. I understand that I will not be considered to be discriminating in the provision or application of employee benefits under the following conditions or circumstances:
  - a. In the event that the actual cost of providing a benefit to a domestic partner or spouse, exceeds the cost of providing the same benefit to a spouse or domestic partner of an employee, I will not be required to provide the benefit, nor shall it be deemed discriminatory, if I require the employee to pay the monetary difference in order to provide the benefit to the domestic partner or to the spouse.
  - b. In the event I am unable to provide a certain benefit, despite taking reasonable measures to do so, if I provide the employee with a cash equivalent, I will not be deemed to be discriminating in the application of that benefit.
  - c. If I provide employee benefits neither to employee's spouses nor to employee's domestic partners.
  - d. If I provide employee benefits to employees on a basis unrelated to marital or domestic partner status.

- e. If I submit, to the Program Coordinator, written evidence of making reasonable efforts to end discrimination in employee benefits by implementing policies which are to be enacted before the first effective date after the first open enrollment process following the date the Contract is executed with the City.

I understand that any delay in the implementation of such policies may not exceed one (1) year from the date the Contract is executed with the City, and applies only to those employee benefits for which an open enrollment process is applicable.

- f. Until administrative steps can be taken to incorporate, in the infrastructure, nondiscrimination in employee benefits.

The time allotted for these administrative steps will apply only to those employee benefits for which administrative steps are necessary and may not exceed three (3) months from the date the Contract is executed with the City.

- g. Until the expiration of a current collective bargaining agreement(s) where, in fact, employee benefits are governed by a collective bargaining agreement(s).

- h. I take all reasonable measures to end discrimination in employee benefits by either requesting the union(s) involved agree to reopen the agreement(s) in order for me to take whatever steps are necessary to end discrimination in employee benefits or by my ending discrimination in employee benefits without reopening the collective bargaining agreement(s).

- i. In the event I cannot end discrimination in employee benefits despite taking all reasonable measures to do so, I provide a cash equivalent to eligible employees for whom employee benefits (as listed previously), are not available.

Unless otherwise authorized in writing by the City Manager, I understand this cash equivalent must begin at the time the union(s) refuse to allow the collective bargaining agreement(s) to be reopened or no longer than three (3) months from the date the Contract is executed with the City.

- 5. I understand that failure to comply with the provisions of Section 4. (a) through 4. (i), above, will subject me to possible suspension and/or termination of this Contract for cause; repayment of any or all of the Contract amount disbursed by the City; debarment for future contracts until all penalties and restitution have been paid in full; deemed ineligible for future contracts for up to two (2) years; the imposition of a penalty, payable to the City, in the sum of \$50.00 for each employee, for each calendar day during which the employee was discriminated against in violation of the provisions of the Ordinance.

- 6. I understand and do hereby agree to provide each current employee and, within ten (10) days of hire, each new employee, of their rights under the Ordinance. I further agree to maintain a copy of each such letter provided, in an appropriate file for possible inspection by an authorized representative of the City. I also agree to prominently display a poster informing each employee of these rights.

- 7. I understand that I have the right to request an exemption to the benefit provisions of the Ordinance when such a request is submitted to the Procurement Services Division, in writing with sufficient justification for resolution, prior to contract award.

- 8. I further understand that the City may request a waiver or exemption to the provisions or requirements of the Ordinance, when only one contractor is available to enter into a contract or agreement to occupy and use City property on terms and conditions established by the City; when sole source conditions exist for goods, services, public project or improvements and related construction services; when there are no responsive bidders to the Ordinance requirements and the contract is for essential goods or services;

when emergency conditions with public health and safety implications exist; or when the contract is for specialized legal services if in the best interest of the City.

9. In consideration of the foregoing, I shall defend, indemnify and hold harmless, the City, its officers and employees, against any claims, actions, damages, costs (including reasonable attorney fees), or other liabilities of any kind arising from any violation of the Requirements or of the Ordinance by me.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct, and that I am authorized to bind the Contractor to the provisions of this Declaration.



\_\_\_\_\_  
Signature of Authorized Representative

08/05/2014

\_\_\_\_\_  
Date

Robert R. Sherman

\_\_\_\_\_  
Print Name

Senior Managing Partner

\_\_\_\_\_  
Title

**EXHIBIT A  
WORKERS COMPENSATION  
MASTER LEGAL SERVICES AGREEMENT**

**SCOPE OF SERVICES**

**1. Representatives.**

The CITY Representative(s) for this Agreement is:

*Patrick Flaherty, Risk Manager  
City of Sacramento- Risk Management  
915 I Street- 4<sup>th</sup> Floor Sacramento CA 95814  
Phone (916) 808-5741 Fax (916) 808-8216  
E-mail: [PFlaherty@cityofsacramento.org](mailto:PFlaherty@cityofsacramento.org)*

All ATTORNEY questions pertaining to this Agreement shall be referred to the CITY Representative or the Representative's designee.

The ATTORNEY Representative for this Agreement is:

*Robert R. Sherman  
Mullen & Filippi  
1601 Response Road, Suite 300  
Sacramento, CA 95815  
Phone: (916) 442-4503  
Fax: (916) 442-1028  
E-mail: [rsherman@mulfil.com](mailto:rsherman@mulfil.com)*

All CITY questions pertaining to this Agreement shall be referred to the ATTORNEY Representative. All correspondence to ATTORNEY shall be addressed to the address set forth on page one of this Agreement. Unless otherwise provided in this Agreement, all correspondence to CITY, and Notices to CITY shall be addressed to the CITY Representative.

**2. Scope of Services.**

Workers' compensation defense including serious and willful claims and Labor Code section 132(a) discrimination claims. Continuing education and training including updated case law developments in the field of workers compensation and subrogation. The City will contact Consultant in writing when services are requested. The name of the case and specific request for services will be identified in a Workers Compensation Referral Worksheet attached hereto as Exhibit E. All services described in Exhibit E are on an as needed basis as directed by the City. This agreement does not guarantee Consultant a minimum amount of payment in the event services are not utilized.

**3. Time of Performance.**

The services described herein shall be effective as of September 13, 2014. The term of the agreement shall be for three years from the effective date to September 13, 2017.

**4. Reporting.**

a. ATTORNEY shall provide CITY with a preliminary status report and/or file review and evaluation within thirty (30) days which shall include an evaluation of specific issues as identified in the Workers Compensation Referral Worksheet. In this preliminary report, ATTORNEY shall also make recommendations for discovery and any needed investigation.

Within 10 days of any request by the CITY, ATTORNEY shall provide CITY with a status report on the progress of the representation.

b. ATTORNEY shall provide to the CITY representative copies of all pleadings, motions, and discovery documents and medical reports filed or propounded by ATTORNEY in this case.

c. In the event that ATTORNEY's handling of the above-designated matter exceeds a period of twenty-four (24) months, ATTORNEY shall, in addition to providing regular status reports to CITY, also report directly to the CITY representative on an annual basis and no later than June 30th of each year, setting forth in detail the status of the matter, and ATTORNEY's plan for further handling of the matter, and the estimated length of time to conclude the matter.

**EXHIBIT B  
WORKERS COMPENSATION  
MASTER LEGAL SERVICES AGREEMENT**

**FEE SCHEDULE/MANNER OF PAYMENT**

1. **ATTORNEY'S Compensation.** CITY shall compensate ATTORNEY for legal services rendered at an hourly billing rate of \$175 for Senior Partners, \$170 for Associate Partners, and \$165 for Associates, to be billed in tenths of an hour. All office, travel, discovery, hearing, trial or other time shall be charged at this rate. CITY shall compensate ATTORNEYS for paralegal services rendered at an hourly billing rate of \$75, to be billed in tenths of an hour. CITY shall not be charged for services that are strictly of a clerical, administrative or secretarial nature, such as scheduling, calendaring or word processing. The total of all fees paid to ATTORNEY for the performance of all services set forth in Exhibit A, including normal revisions (hereafter the "Services"), and for all authorized Reimbursable Expenses, shall not exceed the total sum of \$90,000.

Such compensation shall be the sole and total remuneration for the rendition of services under this Agreement.

ATTORNEYS agree that cases will be assigned by the Workers Compensation Referral Worksheet attached hereto as Exhibit E. The attorney identified in the Workers Compensation Referral Worksheet will provide legal services and no other associate or partner will perform work without CITY's advance authorization.

2. **ATTORNEY'S Reimbursable Expenses.** CITY shall reimburse ATTORNEY for the actual, reasonable and necessary expense of travel out of the Sacramento metropolitan area, approved in advance, at \$0.43 per mile. ATTORNEY will not charge CITY for the cost of telephone calls or photocopying. Litigation costs and expenses for statutory fees, witness fees, reporters' per diem and stenographic transcriptions, jury fees, and the expenses of serving process shall be advanced by ATTORNEY and reimbursed by CITY. Expert consultants and witnesses may be retained by ATTORNEY on terms acceptable to CITY, approved in advance, in which case CITY shall reimburse ATTORNEY or pay such consultants or experts directly.
3. **Billing.**
- A. Legal billings shall be submitted to CITY every thirty (30) days unless otherwise advised.
  - B. Each task shall be distinctly and completely identified; the City will not pay invoices that contain block billing. Each billing entry must contain the initials of the individual performing the task, the nature of the task, the date it was performed, and the length of time it took.
  - C. Costs under \$500.00 shall be paid by ATTORNEYS and submitted with their normal billing.
  - D. CITY reserves the right to audit all invoices.

- E. Payments to ATTORNEY shall be made within a reasonable time after receipt of ATTORNEY'S invoice. ATTORNEY may request, but CITY is under no obligation to approve, payment on a monthly basis. ATTORNEY shall be responsible for supplying all documentation necessary to verify the monthly billings to the satisfaction of CITY.
- F. In addition to the provisions stated elsewhere in this Agreement regarding the payment of fees, billing and budgeting, the following guidelines for billing apply:
1. CITY expects each individual working on the matter for which ATTORNEYS were retained to have the necessary experience to perform the services required to protect or pursue CITY'S interests in the matter in a cost effective manner.
  2. CITY expects ATTORNEYS to select the individual most suitable for the task required and the specific needs of the matter, and to use the maximum efficiencies available. Billings for services performed by the inappropriate level of personnel will be reduced by the City based on rate adjustments for the appropriate level of personnel.
  3. CITY will not pay for unnecessary review of texts, codes, rules of court, or other fundamental references. CITY will pay the hourly rate for specific legal research which is unique to the matter, assuming that ATTORNEYS have used maximum efficiencies and that ATTORNEYS have not already performed research in the same or similar areas of law.
  4. CITY acknowledges the benefit of communications between attorneys in the firm. CITY does, however, expect that intra-office conferences will only be held as needed, and will be kept to a minimum. Intra-office conferences shall be for the purpose of discussing strategy and legal issues which directly further the matter. CITY will not pay for conferences which are supervisory or instructional. Any invoice which lists an intra-office conference that exceeds these guidelines must contain a full explanation and is subject to reduction by CITY. CITY will not pay for "team meetings" and CITY will scrutinize all intra-office conferences for "value added" to the matter by the conference, for the number of individuals attending the conference, the length of the conference, the subjects discussed at the conference and who participated in it, and will, in CITY'S sole discretion, determine if such value has been added.
  5. CITY will not pay for local telephone calls, incoming facsimiles, postage, time spent on filing, calendaring, indexing pleadings, photocopying, conferences with Clerks of court or court reporters, proofreading, re-drafting due to substandard work, time billed by summer interns or associates, time for more than one individual to attend a trial, hearing, court appearance, arbitration, mediation, deposition, third party meeting, conference call or similar event, unless otherwise approved by CITY in advance, or opening, organizing or closing files.
  6. Vague billing which does not contain sufficient information to allow City's reviewer of the invoice to determine the nature of the task, the reason for the task, and the individual performing the task is subject to reduction by CITY.

**EXHIBIT C  
WORKERS COMPENSATION  
MASTER LEGAL SERVICES AGREEMENT**

**GENERAL PROVISIONS**

**1. Independent Contractor.**

- A. It is understood and agreed that ATTORNEY (including ATTORNEY's employees) is an independent contractor and that no relationship of employer-employee exists between the parties hereto for any purpose whatsoever. Neither ATTORNEY nor ATTORNEY'S assigned personnel shall be entitled to any benefits payable to employees of CITY. CITY is not required to make any deductions or withholdings from the compensation payable to ATTORNEY under the provisions of this Agreement, and ATTORNEY shall be issued an IRS Form 1099 for its services hereunder. As an independent contractor, ATTORNEY hereby agrees to indemnify and hold CITY harmless from any and all claims that may be made against CITY based upon any contention by any of ATTORNEY'S employees or by any third party, including but not limited to any state or federal agency, that an employer-employee relationship or a substitute therefor exists for any purpose whatsoever by reason of this Agreement or by reason of the nature and/or performance of any Services under this Agreement.
- B. It is further understood and agreed by the parties hereto that ATTORNEY, in the performance of its obligations hereunder, is subject to the control and direction of CITY as to the designation of tasks to be performed and the results to be accomplished by the Services agreed to be rendered and performed under this Agreement, but not as to the means, methods, or sequence used by ATTORNEY for accomplishing such results. To the extent that ATTORNEY obtains permission to, and does, use CITY facilities, space, equipment or support services in the performance of this Agreement, this use shall be at the ATTORNEY's sole discretion based on the ATTORNEY's determination that such use will promote ATTORNEY'S efficiency and effectiveness. Except as may be specifically provided elsewhere in this Agreement, the CITY does not require that ATTORNEY use CITY facilities, equipment or support services or work in CITY locations in the performance of this Agreement.
- C. If, in the performance of this Agreement, any third persons are employed by ATTORNEY, such persons shall be entirely and exclusively under the direction, supervision, and control of ATTORNEY. Except as may be specifically provided elsewhere in this Agreement, all terms of employment, including hours, wages, working conditions, discipline, hiring, and discharging, or any other terms of employment or requirements of law, shall be determined by ATTORNEY. It is further understood and agreed that ATTORNEY shall issue W-2 or 1099 Forms for income and employment tax purposes, for all of ATTORNEY'S assigned personnel and subcontractors.

- 2. Licenses; Permits, Etc.** ATTORNEY represents and warrants that ATTORNEY has all licenses, permits, City Business Operations Tax Certificate, qualifications, and approvals of whatsoever nature which are legally required for ATTORNEY to practice its profession or provide any services under the Agreement. ATTORNEY represents and warrants that

ATTORNEY shall, at its sole cost and expense, keep in effect or obtain at all times during the term of this Agreement any licenses, permits, and approvals which are legally required for ATTORNEY to practice its profession or provide such Services. Without limiting the generality of the foregoing, if ATTORNEY is an out-of-state corporation, ATTORNEY warrants and represents that it possesses a valid certificate of qualification to transact business in the State of California issued by the California Secretary of State pursuant to Section 2105 of the California Corporations Code.

3. **Conflicts of Interest.** ATTORNEY represents and warrants that they have made a diligent effort to determine whether there are any actual or potential conflicts of interest that would preclude or interfere with ATTORNEY'S performance of this Agreement, and that no such conflict of interest exists. ATTORNEY shall indemnify and hold harmless CITY from and against any and all liability, loss, expense and obligation arising directly or indirectly out of any breach of the foregoing representation and warranty. ATTORNEY agrees to avoid conflicts of interest or the appearance of any conflicts of interest with the interests of CITY at all times during the performance of this Agreement.
4. **Confidentiality of CITY Information.** ATTORNEY understands and agrees that during the course performing the services required by this agreement, or in contemplation thereof, ATTORNEYS may have access to private or confidential information which may be owned or controlled by CITY and that such information may contain proprietary or confidential details, the disclosure of which to third parties may be damaging to CITY. ATTORNEYS agree that all information disclosed by CITY to ATTORNEYS shall be held in confidence and used only in performance of the services required by this Agreement. A violation of this section shall be a material violation of this Agreement and shall justify legal and/or equitable relief.
5. **Suspension and Termination.**
  - A. CITY shall have the right at any time to temporarily suspend ATTORNEY'S performance hereunder, in whole or in part, by giving a written notice of suspension to ATTORNEY. If CITY gives such notice of suspension, ATTORNEY shall immediately suspend its activities under this Agreement, as specified in such notice.
  - B. CITY reserves the right to discharge ATTORNEY and terminate this Agreement at any time. In the event of such discharge or termination, CITY shall compensate ATTORNEY for services rendered and expenses necessarily incurred up to and including the date of discharge and expenses unavoidably incurred by ATTORNEY thereafter.
  - C. ATTORNEY may terminate this Agreement at any time by giving to CITY Attorney not less than thirty (30) days prior written notice of termination. The notice shall specify the effective date of and reason for the termination.
  - D. This Agreement is personal to ATTORNEY and any attempted assignment including by successors or assigns, shall be void, unless approved in writing by CITY.
  - E. Upon any termination, ATTORNEY shall immediately return to CITY the file and all materials owned by CITY and cooperate fully in necessary completion and filing of any necessary Substitution of Attorney documents.

**6. Indemnity.**

- A. Indemnity: ATTORNEY shall fully indemnify and save harmless, CITY, its officers and employees, and each and every one of them, from and against all actions, damages, costs, liability, claims, losses, judgments, penalties and expenses of every type and description, including, but not limited to, any fees and/or costs reasonably incurred by CITY'S staff attorneys or outside attorneys and any fees and expenses incurred in enforcing this provision (hereafter collectively referred to as "Liabilities"), to which any or all of them may be subjected, to the extent such Liabilities are caused by or result from any negligent act or omission or willful misconduct of ATTORNEY, its subcontractors or agents, and their respective officers and employees, in connection with the performance or nonperformance of this Agreement, whether or not the CITY, its officers or employees reviewed, accepted or approved any service or work product performed or provided by the ATTORNEY, and whether or not such Liabilities are litigated, settled or reduced to judgment.
- B. Obligation to Defend: ATTORNEY shall, upon CITY'S request, defend at ATTORNEY'S sole cost any action, claim, suit, cause of action or portion thereof which asserts or alleges Liabilities to the extent such Liabilities are caused by or result from any negligent act or omission or willful misconduct of ATTORNEY, its sub-ATTORNEYS, subcontractors or agents, and their respective officers and employees, in connection with the performance or nonperformance of this Agreement, whether such action, claim, suit, cause of action or portion thereof is well founded or not.
- C. Insurance Policies; Intellectual Property Claims: The existence or acceptance by CITY of any of the insurance policies or coverages described in this Agreement shall not affect or limit any of CITY'S rights under this section, nor shall the limits of such insurance limit the liability of ATTORNEY hereunder. This section shall not apply to any intellectual property claims, actions, lawsuits or other proceedings subject to the provisions of Section 4 (Confidentiality of CITY Information) above. The provisions of this section shall survive any expiration or termination of this Agreement.

7. **Publicity.** If any publicity is generated by the subject matter of this representation, ATTORNEYS will consult with CITY before making any statement to any media outlet (whether radio, print or television) about the content and timing of such statement.

8. **Insurance Requirements.** During the entire term of this Agreement, ATTORNEY shall maintain the following insurance:

- A. Minimum Scope of Insurance: Coverage should be at least as broad as:
- (1) Insurance Services Office Form No. CG 0001 (Commercial General Liability);
  - (2) Insurance Services Office Form No. CA 0001 (Ed. 1/87) (Automobile Liability, Code "any auto");
  - (3) Workers' Compensation as required by the Labor Code of the State of California,

- and Employers' Liability Insurance:
- (4) Professional Liability (Errors and Omissions) insurance against loss due to error, omission or malpractice.

B. Minimum Limits of Insurance: ATTORNEY shall maintain limits no less than:

- (1) Commercial General Liability; \$1,000,000 combined single limit per occurrence, including endorsements for contractual liabilities, broad form property damage and personal injury.
- (2) Automobile Liability: \$1,000,000 combined single limit per accident for personal injury and property damage arising from owned, hired and non-owned vehicles.
- (3) Workers' Compensation and Employers' Liability: Workers' compensation limits as required by the Labor Code of the State of California and Employers' Liability limits of \$1,000,000 per accident.
- (4) Professional Liability (Errors and Omissions): \$2,000,000 combined single limit per occurrence.

C. Deductibles and Self-Insured Retentions: Any deductibles or self-insured retentions must be declared to and approved by the CITY.

D. Other Insurance Provisions: The policies are to contain, or be endorsed to contain, the following provisions:

- (1) General Liability and Automobile Liability Coverages:
  - (a) The General Liability and Automobile Liability policies shall be written on an occurrence form and shall name CITY, its officers, officials, agents, employees and volunteers as additional insureds. Such policy(ies) of insurance shall be endorsed so that ATTORNEY's insurance shall be primary and any insurance or self-insurance maintained by CITY, its officials, employees or volunteers shall be in excess of ATTORNEY'S insurance and shall not contribute with it.
  - (b) Any failure to comply with reporting provisions of the policies shall not affect coverage provided to CITY, its officials, employees or volunteers.
  - (c) Coverage shall state that ATTORNEY'S insurance shall apply separately to each insured against whom claim is made or suit is brought, except with respect to the limits of the insurer's liability.
- (2) All Coverages:
  - (a) Each insurance policy required by this Agreement shall be endorsed to state that coverages shall not be canceled except after thirty (30) days

prior written notice has been given to CITY. In addition, ATTORNEY agrees that it shall not reduce its coverage or limits on any such policy except after thirty (30) days prior written notice has been give to CITY and CITY approves the reduction in coverage or limits. ATTORNEY further agrees that it shall not increase any deductibles or self-insured retentions on any such policy except after thirty (30) days prior written notice has been given to CITY and CITY approves such increase.

(b) In the event claims made forms are used for any Professional Liability coverage, either (i) the policy(ies) shall be endorsed to provide not less than a five (5) year discovery period, or (ii) the coverage shall be maintained for a minimum of five (5) years following the termination of this Agreement and the requirements of this section relating to such coverage shall survive termination or expiration of this Agreement. ATTORNEYS shall furnish CITY with the certificate(s) and applicable endorsements for ALL required insurance prior to CITY's execution of this Agreement.

E. Acceptability of Insurers: Insurance shall be placed with insurers with a Bests' rating of no less than A:VII. This requirement may, however, be waived in individual cases for Errors and Omissions Coverages only; provided, however, that in no event shall a carrier with a rating below B:IX be acceptable.

F. Verification of Coverage: ATTORNEY shall furnish CITY with certificates of insurance showing compliance with the above requirements and with original endorsements affecting all coverages required by this Agreement both prior to the execution of this Agreement, and during the pendency of this Agreement at any time upon request by CITY. The certificates and/or endorsements shall set forth a valid policy number for CITY, and shall indicate the Issue Date, Effective Date and Expiration Date. The certificates and endorsements for each insurance policy shall be signed by a person authorized by the insurer to bind coverage on its behalf. The certificates and endorsements shall be forwarded to the CITY representative named in Exhibit A.

G. Payment Withhold: CITY shall withhold payments to ATTORNEY if the certificates of insurance and endorsements required in this section are canceled or ATTORNEY otherwise ceases to be insured as required herein.

9. **Disqualification of Judges.** At the option of the City Attorney, judges may be disqualified from hearing CITY litigation, and ATTORNEY shall adhere to such decision of the City Attorney. In the event that ATTORNEY is of the opinion that a judge ought to be disqualified, the City Attorney must be consulted in advance by ATTORNEY, and ATTORNEY must obtain the City Attorney's written consent to such disqualification.

10. **Equal Employment Opportunity.** During the performance of this Agreement, ATTORNEY, for itself, its assignees and successors in interest, agrees as follows:

A. Compliance With Regulations: ATTORNEY shall comply with the Executive Order 11246 entitled "Equal Opportunity in Federal Employment", as amended by Executive Order 11375 and 12086, and as supplemented in Department of Labor regulations (41 CFR

Chapter 60), hereinafter collectively referred to as the "Regulations."

- B. Nondiscrimination: ATTORNEY, with regards to the work performed pursuant to this Agreement, shall not discriminate on the ground of race, color, religion, sex, national origin, age, marital status, physical handicap or sexual orientation in selection and retention of subcontractors, including procurement of materials and leases of equipment. ATTORNEY shall not participate either directly or indirectly in discrimination prohibited by the Regulations.
- C. Solicitations for Subcontractors, Including Procurement of Materials and Equipment: In all solicitations either by competitive bidding or negotiations made by ATTORNEY for work to be performed under any subcontract, including all procurement of materials or equipment, each potential subcontractor or supplier shall be notified by ATTORNEY of ATTORNEY'S obligation under this Agreement and the Regulations relative to nondiscrimination on the ground of race, color, religion, sex, national origin, age, marital status, physical handicap or sexual orientation.
- D. Information and Reports: ATTORNEY shall provide all information and reports required by the Regulations, or by any orders or instructions issued pursuant thereto, and shall permit access to its books, records, accounts, other sources of information and its facilities as may be determined by the CITY to be pertinent to ascertain compliance with such Regulations, orders and instructions. Where any information required of ATTORNEY is in the exclusive possession of another who fails or refuses to furnish this information, ATTORNEY shall so certify to the CITY, and shall set forth what efforts it has made to obtain the information.
- E. Sanctions for Noncompliance: In the event of noncompliance by ATTORNEY with the nondiscrimination provisions of this Agreement, the CITY shall impose such sanctions as it may determine to be appropriate including, but not limited to:
- (1) Withholding of payments to ATTORNEY under this Agreement until ATTORNEY complies;
  - (2) Cancellation, termination, or suspension of the Agreement, in whole or in part.
- F. Incorporation of Provisions: ATTORNEY shall include the provisions of subsections A through E, above, in every subcontract, including procurement of materials and leases of equipment, unless exempted by the Regulations, or by any order or instructions issued pursuant thereto. ATTORNEY shall take such action with respect to any subcontract or procurement as the CITY may direct as a means of enforcing such provisions including sanctions for noncompliance; provided, however, that in the event ATTORNEY becomes involved in, or is threatened with, litigation with a subcontractor or supplier as a result of such direction, ATTORNEY may request CITY to enter such litigation to protect the interests of CITY.
11. **Entire Agreement.** This document, including all Exhibits, contains the entire agreement between the parties and supersedes whatever oral or written understanding they may have had prior to the execution of this Agreement. No alteration to the terms of this Agreement shall be valid unless approved in writing by ATTORNEY, and by CITY, in accordance with applicable

provisions of the Sacramento City Code.

12. **Severability.** If any portion of this Agreement or the application thereof to any person or circumstance shall be held invalid or unenforceable, the remainder of this Agreement shall not be affected thereby and shall be enforced to the greatest extent permitted by law.
13. **Waiver.** Neither CITY acceptance of, or payment for, any Service or Additional Service performed by ATTORNEY, nor any waiver by either party of any default, breach or condition precedent, shall be construed as a waiver of any provision of this Agreement, nor as a waiver of any other default, breach or condition precedent or any other right hereunder.
14. **Enforcement of Agreement.** This Agreement shall be governed, construed and enforced in accordance with the laws of the State of California. Venue of any litigation arising out of or connected with this Agreement shall lie exclusively in the state trial court or Federal District Court located in Sacramento County in the State of California, and the parties consent to jurisdiction over their persons and over the subject matter of any such litigation in such courts, and consent to service of process issued by such courts.
15. **Attorney's Fees.** In any action to enforce the terms of this Agreement, the prevailing party shall recover its reasonable attorneys' fees.
16. **Assignment Prohibited.** The expertise and experience of ATTORNEY are material considerations for this Agreement. CITY has a strong interest in the qualifications and capability of the persons and entities who will fulfill the obligations imposed on ATTORNEY under this Agreement. In recognition of this interest, ATTORNEY shall not assign any right or obligation pursuant to this Agreement without the written consent of the CITY. Any attempted or purported assignment without CITY'S written consent shall be void and of no effect.

## EXHIBIT D

### REQUIREMENTS OF THE NON-DISCRIMINATION IN EMPLOYEE BENEFITS CODE

#### INTRODUCTION

The Sacramento Non-Discrimination In Employee Benefits Code (the "Ordinance"), codified as Sacramento City Code Chapter 3.54, prohibits City contractors from discriminating in the provision of employee benefits between employees with spouses and employees with domestic partners, and between the spouses and domestic partners of employees.

#### APPLICATION

The provisions of the Ordinance apply to any contract or agreement (as defined below), between a Contractor and the City of Sacramento, in an amount exceeding \$25,000.00. The Ordinance applies to that portion of a contractor's operations that occur: (i) within the City of Sacramento; (ii) on real property outside the City of Sacramento if the property is owned by the City or if the City has a right to occupy the property; or (iii) at any location where a significant amount of work related to a City contract is being performed.

The Ordinance does not apply: to subcontractors or subcontracts of any Contractor or contractors; to transactions entered into pursuant to cooperative purchasing agreements approved by the Sacramento City Council; to legal contracts of other governmental jurisdictions or public agencies without separate competitive bidding by the City; where the requirements of the ordinance will violate or are inconsistent with the terms or conditions of a grant, subvention or agreement with a public agency or the instructions of an authorized representative of any such agency with respect to any such grant, subvention or agreement; to permits for excavation or street construction; or to agreements for the use of City right-of-way where a contracting utility has the power of eminent domain.

#### DEFINITIONS

As set forth in the Ordinance, the following definitions apply:

"Contract" means an agreement for public works or improvements to be performed, or for goods or services to be purchased or grants to be provided, at the expense of the City or to be paid out of moneys deposited in the treasury or out of the trust money under the control or collected by the City. "Contract" also means a written agreement for the exclusive use ("exclusive use" means the right to use or occupy real property to the exclusion of others, other than the right reserved by the fee owner) or occupancy of real property for a term exceeding 29 days in any calendar year, whether by singular or cumulative instrument, (i) for the operation or use by others of real property owned or controlled by the City for the operation of a business, social, or other establishment or organization, including leases, concessions, franchises and easements, or (ii) for the City's use or occupancy of real property owned by others, including leases, concessions, franchises and easements.

"Contract" shall not include: a revocable at-will use or encroachment permit for the use of or encroachment on City property regardless of the ultimate duration of such permit; excavation, street construction or street use permits; agreements for the use of City right-of-way where a contracting utility has the power of eminent domain; or agreements governing the use of City property that constitute a

public forum for activities that are primarily for the purpose of espousing or advocating causes or ideas and that are generally protected by the First Amendment to the United States Constitution or that are primarily recreational in nature.

"Contractor" means any person or persons, firm partnership or corporation, company, or combination thereof that enters into a Contract with the City. "Contractor" does not include a public entity.

"Domestic Partner" means any person who has a currently registered domestic partnership with a governmental entity pursuant to state or local law authorizing the registration.

"Employee Benefits" means bereavement leave; disability, life, and other types of insurance; family medical leave; health benefits; membership or membership discounts; moving expenses; pension and retirement benefits; vacation; travel benefits; and any other benefit given to employees. "Employee benefits" shall not include benefits to the extent that the application of the requirements of this chapter to such benefits may be preempted by federal or state.

### **CONTRACTOR'S OBLIGATION TO PROVIDE THE CITY WITH DOCUMENTATION AND INFORMATION**

Contractor shall provide the City with documentation and information verifying its compliance with the requirements of the Ordinance within ten (10) days of receipt of a request from the City. Contractors shall keep accurate payroll records, showing, for each City Contract, the employee's name, address, Social Security number, work classification, straight time pay rate, overtime pay rate, overtime hours worked, status and exemptions, and benefits for each day and pay period that the employee works on the City Contract. Each request for payroll records shall be accompanied by an affidavit to be completed and returned by the Contractor, as stated, attesting that the information contained in the payroll records is true and correct, and that the Contractor has complied with the requirements of the Ordinance. A violation of the Ordinance or noncompliance with the requirements of the Ordinance shall constitute a breach of contract.

### **EMPLOYER COMPLIANCE CERTIFICATE AND NOTICE REQUIREMENTS**

(a) All contractors seeking a Contract subject to the Ordinance shall submit a completed Declaration of Compliance Form, signed by an authorized representative, with each proposal, bid or application. The Declaration of Compliance shall be made a part of the executed contract, and will be made available for public inspection and copying during regular business hours.

(b) The Contractor shall give each existing employee working directing on a City contract, and (at the time of hire), each new employee, a copy of the notification provided as Attachment "1."

(c) Contractor shall post, in a place visible to all employees, a copy of the notice provided as Attachment "2."

# Attachment 1



## **YOUR RIGHTS UNDER THE CITY OF SACRAMENTO'S NON-DISCRIMINATION IN EMPLOYEE BENEFITS CODE**

On ..... (date), your employer (the "Employer") entered into a contract with the City of Sacramento (the "City") for ..... (contract details), and as a condition of that contract, agreed to abide by the requirements of the City's Non-Discrimination In Employee Benefits Code (Sacramento City Code Section 3.54).

The Ordinance does not require the Employer to provide employee benefits. The Ordinance does require that if certain employee benefits are provided by the Employer, that those benefits be provided without discrimination between employees with spouses and employees with domestic partners, and without discrimination between the spouse or domestic partner of employees.

The Ordinance covers any employee working on the specific contract referenced above, but only for the period of time while those employees are actually working on this specific contract.

The included employee benefits are:

- Bereavement leave
- Disability, life and other types of insurance
- Family medical leave
- Health benefits
- Membership or membership discounts
- Moving expenses
- Pension and retirement benefits
- Vacation
- Travel benefits
- Any other benefits given to employees

(Employee Benefits does not include benefits that may be preempted by federal or state law.)

If you feel you have been discriminated or retaliated against by your employer in the terms and conditions of your application for employment, or in your employment, or in the application of these employee benefits, because of your status as an applicant or as an employee protected by the Ordinance, or because you reported a violation of the Ordinance, and after having exhausted all remedies with your employer,

**You May . . .**

- Submit a written complaint to the City of Sacramento, Contract Services Unit, containing the details of the alleged violation. The address is:

City of Sacramento  
Contract Services Unit  
921 10th St., Room 402  
Sacramento, CA 95814-2714

- Bring an action in the appropriate division of the Superior Court of the State of California against the Employer and obtain the following remedies:
  - Reinstatement, injunctive relief, compensatory damages and punitive damages
  - Reasonable attorney's fees and costs

## Attachment 2



### **YOUR RIGHTS UNDER THE CITY OF SACRAMENTO'S NON-DISCRIMINATION IN EMPLOYEE BENEFITS CODE**

If your employer provides employee benefits, they must be provided to those employees working on a City of Sacramento contract without discriminating between employees with spouses and employees with domestic partners.

The included employee benefits are:

- Bereavement leave
- Disability, life and other types of insurance
- Family medical leave
- Health benefits
- Membership or membership discounts
- Moving expenses
- Pension and retirement benefits
- Vacation
- Travel benefits
- Any other benefits given to employees

If you feel you have been discriminated against by your employer . . .

#### **You May . . .**

- Submit a written complaint to the City of Sacramento, Contract Services Unit, containing the details of the alleged violation. The address is:

City of Sacramento  
Contract Services Unit  
921 10th St., Room 402  
Sacramento, CA 95814-2714

- Bring an action in the appropriate division of the Superior Court of the State of California against the employer and obtain reinstatement, injunctive relief, compensatory damages, punitive damages and reasonable attorney's fees and costs.

#### **Discrimination and Retaliation Prohibited.**

If you feel you have been discriminated or retaliated against by your employer in the terms and conditions of your application for employment, or in your employment, because of your status as an applicant or as an employee protected by the Ordinance, or because you reported a violation of this Ordinance . . .

#### **You May Also . . .**

Submit a written complaint to the City of Sacramento, Contract Services Unit, at the same address, containing the details of the alleged violation.

EXHIBIT E

City of Sacramento  
Workers' Compensation Referral Worksheet

Pursuant to the terms of Master Services Agreement No. \_\_\_\_\_ the City of Sacramento hereby retains services of \_\_\_\_\_ (Firm), \_\_\_\_\_ (attorney), for the following case \_\_\_\_\_

City Contact Information

Name \_\_\_\_\_ Phone \_\_\_\_\_  
Address \_\_\_\_\_  
Claim # \_\_\_\_\_

Case Information

Workers' Comp     L&H     Subrogation     132(a)     S&W  
Employee \_\_\_\_\_ Occupation \_\_\_\_\_  
Employer \_\_\_\_\_ Policy Period \_\_\_\_\_  
Date of Injury \_\_\_\_\_ Body Parts \_\_\_\_\_  
Employee's Attorney \_\_\_\_\_  
Claim Form Returned On \_\_\_\_\_ Application Filed On \_\_\_\_\_  
Denial Letter Issued On \_\_\_\_\_ Answer Filed On \_\_\_\_\_  
or Denial Due Date \_\_\_\_\_ File Answer  Yes  No

*Please Attach Copies of All Claim Forms Provided To Employee*

Benefits Paid

TD \$ \_\_\_\_\_ RATE \_\_\_\_\_ PERIODS \_\_\_\_\_  
LC 4850 \$ \_\_\_\_\_ RATE \_\_\_\_\_ PERIODS \_\_\_\_\_  
PD \$ \_\_\_\_\_ RATE \_\_\_\_\_ PERIODS \_\_\_\_\_  
Medical Expenses \$ \_\_\_\_\_ SJDB EXPENSE \$ \_\_\_\_\_

Issues

- |   |   |                                     |
|---|---|-------------------------------------|
| <input type="checkbox"/> 1. Injury AOE-     | <input type="checkbox"/> 8. Apportionment           | <input type="checkbox"/> 15. 132(a) |
| <input type="checkbox"/> 2. Employment      | <input type="checkbox"/> 9. Past Medical            | <input type="checkbox"/> 16. S&W    |
| <input type="checkbox"/> 3. Occupation      | <input type="checkbox"/> 10. Future Medical         | <input type="checkbox"/> 17. Other  |
| <input type="checkbox"/> 4. Coverage        | <input type="checkbox"/> 11. Statute of Limitations |                                     |
| <input type="checkbox"/> 5. Earnings        | <input type="checkbox"/> 12. Jurisdiction           |                                     |
| <input type="checkbox"/> 6. Temp Disability | <input type="checkbox"/> 13. Dependency             |                                     |
| <input type="checkbox"/> 7. Perm Disability | <input type="checkbox"/> 14. Subrogation            |                                     |

Defense Discovery Requested

Depose Applicant  Yes  No  Need to Discuss  
Schedule Med Exam  Yes  No Physician \_\_\_\_\_  
Subpoena Records  Yes  No Source \_\_\_\_\_

Comments:



Requires Council Approval:  No  YES Meeting:

Real Estate

Other Party Signature Needed

Recording Requested

General Information

Type: Professional Services	PO Type: Select PO Type	Attachment: Original No.:
\$ Not to Exceed: \$345,000		Original Doc Number:
Other Party: Twohy, Darneille & Frye		Certified Copies of Document::
Project Name: Workers' Compensation Legal Services		Deed: <input type="checkbox"/> None <input type="checkbox"/> Included <input type="checkbox"/> Separate
Project Number:	Bid Transaction #:	E/SBE-DBE-M/WBE:

Department Information

Department: Human Resources

Division: Risk Management

Project Mgr:

Supervisor: Edna Young

Contract Services:

Date:

Division Mgr: Patrick Flaherty

Phone Number: 808-5741

Org Number: 08001371

Comment:

Review and Signature Routing

Department	Signature or Initial	Date
Project Mgr:		
Accounting:		
Contract Services:		
Supervisor:		
Division Manager:		

City Attorney	Signature or Initial	Date
City Attorney:	<i>Sgt</i>	8-22-14

Send Interoffice Mail  Notify for Pick Up

Authorization	Signature or Initial	Date
Choose Director		
Department Director:		
City Mgr: yes <input type="checkbox"/> No <input type="checkbox"/>		

Contract Cover/Routing Form: Must Accompany ALL Contracts; however, is not part of the contract. (01-01-09)

<b>For City Clerk Processing</b>	
<b>Finalized:</b>	
Initial:	
Date:	
<b>Imaged:</b>	
Initial:	
Date:	
<b>Received:</b>	
(City Clerk Stamp Here)	

CITY OF SACRAMENTO  
WORKERS COMPENSATION  
MASTER LEGAL SERVICES AGREEMENT

THIS AGREEMENT is made at Sacramento, California, as of September 13, 2014, by and between the CITY OF SACRAMENTO, a municipal corporation ("CITY"), and

**Twohy, Darneille & Frye**  
7801 Folsom Boulevard, Suite 300  
Sacramento, CA 95826  
Mailing: P.O. Box 1282, Sacramento, CA 95812  
Atten: Karen J. Ponton  
Phone: (916) 381-7010 Fax: (916) 381-7077

("ATTORNEY"), who agree as follows:

1. **Services.** Subject to the terms and conditions set forth in this Agreement, ATTORNEY shall provide to CITY the services described in Exhibit A. ATTORNEY shall not be compensated for services outside the scope of Exhibit A unless prior to the commencement of such services: (a) ATTORNEY notifies CITY and CITY agrees that such services are outside the scope of Exhibit A; (b) ATTORNEY estimates the additional compensation required for these additional services; and (c) CITY, after notice, approves in writing a Supplemental Agreement specifying the additional services and amount of compensation therefor.
2. **Fees and Costs.** CITY shall compensate ATTORNEY for legal services rendered at the hourly billing rates set forth in Exhibit B.
3. **General Provisions.** The General Provisions set forth in Exhibit C are part of this Agreement. In the event of any conflict between the General Provisions and any terms or conditions of any document prepared or provided by ATTORNEY and made a part of this Agreement, including without limitation any document relating to the scope of services or payment therefor, the General Provisions shall control over said terms or conditions.
4. **CITY Representative.** The CITY Representative(s) specified in Exhibit A, or the Representative's designee, shall administer this Agreement for CITY.
5. **Non-Discrimination in Employee Benefits.** This Agreement is subject to the provisions of Sacramento City Code Chapter 3.54, Non-Discrimination in Employee Benefits by City Contractors. The requirements of Sacramento City Code Chapter 3.54 are summarized in Exhibit D. ATTORNEY is required to sign the attached Declaration of Compliance (Equal Benefits Ordinance), to assure compliance with these requirements.
6. **Authority.** The person signing this Agreement for ATTORNEY hereby represents and warrants that he/she is fully authorized to sign this Agreement on behalf of ATTORNEY and to bind ATTORNEY to the performance of its obligations hereunder.
7. **Exhibits.** All exhibits referred to herein are attached hereto and are by this reference incorporated as if set forth fully herein.

Executed as of the day and year first above stated.

**CITY OF SACRAMENTO**  
A Municipal Corporation

By:   
Print name: James Sanchez  
Title: City Attorney

APPROVED TO AS FORM:

  
Assr City Attorney

ATTEST:

\_\_\_\_\_  
City Clerk

Attachments

- Exhibit A - Scope of Service
- Exhibit B - Fee Schedule/Manner of Payment
- Exhibit C - General Provisions
- Exhibit D - Non-Discrimination in Employee Benefits
- Exhibit E - Workers' Compensation Referral Worksheet

**ATTORNEY:**

Turkey Darnelle & Frye  
94-2758721  
Federal I.D. No.  
28748218  
State I.D. No.

\_\_\_\_\_  
City of Sacramento Business Op. Tax Cert. No.

TYPE OF BUSINESS ENTITY (check one):

- Individual/Sole Proprietor
- Partnership
- Corporation
- Limited Liability Company
- Other (please specify: \_\_\_\_\_)

  
\_\_\_\_\_  
**Signature of Authorized Person**

\_\_\_\_\_  
Principal Representative  
Title

\_\_\_\_\_  
Additional Signature (if required)

\_\_\_\_\_  
Title

**DECLARATION OF COMPLIANCE  
Equal Benefits Ordinance**

Name of ATTORNEY: Karen J. Ponton, for and on behalf of Twohy, Darneille & Frye

Address: 7801 Folsom Boulevard, Suite 300, Sacramento, CA 95826

The above named ATTORNEY ("Contractor") hereby declares and agrees as follows:

1. I have read and understand the Requirements of the Non-Discrimination In Employee Benefits Code (the "Requirements") provided to me by the City of Sacramento ("City") and attached as Exhibit D to my City contract or agreement ("Contract").
2. As a condition of receiving the City Contract, I agree to fully comply with the Requirements, as well as any additional requirements that may be specified in the City's Non-Discrimination In Employee Benefits Code codified at Chapter 3.54 of the Sacramento City Code (the "Ordinance").
3. I understand, to the extent that such benefits are not preempted or prohibited by federal or state law, employee benefits covered by the Ordinance, are any of the following:
  - a. Bereavement Leave
  - b. Disability, life, and other types of insurance
  - c. Family medical leave
  - d. Health benefits
  - e. Membership or membership discounts
  - f. Moving expenses
  - g. Pension and retirement benefits
  - h. Vacation
  - i. Travel benefits
  - j. Any other benefit offered to employees

I agree that should I offer any of the above listed employee benefits, that I will offer those benefits, without discrimination between employees with spouses and employees with domestic partners, and without discrimination between the spouses and domestic partners of such employees.

4. I understand that I will not be considered to be discriminating in the provision or application of employee benefits under the following conditions or circumstances:
  - a. In the event that the actual cost of providing a benefit to a domestic partner or spouse, exceeds the cost of providing the same benefit to a spouse or domestic partner of an employee, I will not be required to provide the benefit, nor shall it be deemed discriminatory, if I require the employee to pay the monetary difference in order to provide the benefit to the domestic partner or to the spouse.
  - b. In the event I am unable to provide a certain benefit, despite taking reasonable measures to do so, if I provide the employee with a cash equivalent, I will not be deemed to be discriminating in the application of that benefit.
  - c. If I provide employee benefits neither to employee's spouses nor to employee's domestic partners.
  - d. If I provide employee benefits to employees on a basis unrelated to marital or domestic partner status.

- e. If I submit, to the Program Coordinator, written evidence of making reasonable efforts to end discrimination in employee benefits by implementing policies which are to be enacted before the first effective date after the first open enrollment process following the date the Contract is executed with the City.

I understand that any delay in the implementation of such policies may not exceed one (1) year from the date the Contract is executed with the City, and applies only to those employee benefits for which an open enrollment process is applicable.

- f. Until administrative steps can be taken to incorporate, in the infrastructure, nondiscrimination in employee benefits.

The time allotted for these administrative steps will apply only to those employee benefits for which administrative steps are necessary and may not exceed three (3) months from the date the Contract is executed with the City.

- g. Until the expiration of a current collective bargaining agreement(s) where, in fact, employee benefits are governed by a collective bargaining agreement(s).

- h. I take all reasonable measures to end discrimination in employee benefits by either requesting the union(s) involved agree to reopen the agreement(s) in order for me to take whatever steps are necessary to end discrimination in employee benefits or by my ending discrimination in employee benefits without reopening the collective bargaining agreement(s).

- i. In the event I cannot end discrimination in employee benefits despite taking all reasonable measures to do so, I provide a cash equivalent to eligible employees for whom employee benefits (as listed previously), are not available.

Unless otherwise authorized in writing by the City Manager, I understand this cash equivalent must begin at the time the union(s) refuse to allow the collective bargaining agreement(s) to be reopened or no longer than three (3) months from the date the Contract is executed with the City.

5. I understand that failure to comply with the provisions of Section 4. (a) through 4. (i), above, will subject me to possible suspension and/or termination of this Contract for cause; repayment of any or all of the Contract amount disbursed by the City; debarment for future contracts until all penalties and restitution have been paid in full; deemed ineligible for future contracts for up to two (2) years; the imposition of a penalty, payable to the City, in the sum of \$50.00 for each employee, for each calendar day during which the employee was discriminated against in violation of the provisions of the Ordinance.

6. I understand and do hereby agree to provide each current employee and, within ten (10) days of hire, each new employee, of their rights under the Ordinance. I further agree to maintain a copy of each such letter provided, in an appropriate file for possible inspection by an authorized representative of the City. I also agree to prominently display a poster informing each employee of these rights.

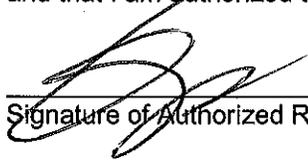
7. I understand that I have the right to request an exemption to the benefit provisions of the Ordinance when such a request is submitted to the Procurement Services Division, in writing with sufficient justification for resolution, prior to contract award.

8. I further understand that the City may request a waiver or exemption to the provisions or requirements of the Ordinance, when only one contractor is available to enter into a contract or agreement to occupy and use City property on terms and conditions established by the City; when sole source conditions exist for goods, services, public project or improvements and related construction services; when there are no responsive bidders to the Ordinance requirements and the contract is for essential goods or services;

when emergency conditions with public health and safety implications exist; or when the contract is for specialized legal services if in the best interest of the City.

9. In consideration of the foregoing, I shall defend, indemnify and hold harmless, the City, its officers and employees, against any claims, actions, damages, costs (including reasonable attorney fees), or other liabilities of any kind arising from any violation of the Requirements or of the Ordinance by me.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct, and that I am authorized to bind the Contractor to the provisions of this Declaration.

  
\_\_\_\_\_  
Signature of Authorized Representative

08-05-2014  
\_\_\_\_\_  
Date

Karen J. Ponton  
\_\_\_\_\_  
Print Name

Principal Representative  
\_\_\_\_\_  
Title

**EXHIBIT A  
WORKERS COMPENSATION  
MASTER LEGAL SERVICES AGREEMENT**

**SCOPE OF SERVICES**

**1. Representatives.**

The CITY Representative(s) for this Agreement is:

*Patrick Flaherty, Risk Manager  
City of Sacramento- Risk Management  
915 I Street- 4<sup>th</sup> Floor Sacramento CA 95814  
Phone (916) 808-5741 Fax (916) 808-8216  
E-mail: [PFlaherty@cityofsacramento.org](mailto:PFlaherty@cityofsacramento.org)*

All ATTORNEY questions pertaining to this Agreement shall be referred to the CITY Representative or the Representative's designee.

The ATTORNEY Representative for this Agreement is:

*Karen J. Ponton  
Twohy, Darneille & Frye  
7801 Folsom Boulevard, Suite 300  
Sacramento, CA 95826  
Phone: (916) 381-7010  
Fax: (916) 381-7077  
E-mail: [kponton@twohylaw.com](mailto:kponton@twohylaw.com)*

All CITY questions pertaining to this Agreement shall be referred to the ATTORNEY Representative. All correspondence to ATTORNEY shall be addressed to the address set forth on page one of this Agreement. Unless otherwise provided in this Agreement, all correspondence to CITY, and Notices to CITY shall be addressed to the CITY Representative.

**2. Scope of Services.**

Workers' compensation defense including serious and willful claims and Labor Code section 132(a) discrimination claims. Continuing education and training including updated case law developments in the field of workers compensation and subrogation. The City will contact Consultant in writing when services are requested. The name of the case and specific request for services will be identified in a Workers Compensation Referral Worksheet attached hereto as Exhibit E. All services described in Exhibit E are on an as needed basis as directed by the City. This agreement does not guarantee Consultant a minimum amount of payment in the event services are not utilized.

**3. Time of Performance.**

The services described herein shall be effective as of September 13, 2014. The term of the agreement shall be for three years from the effective date to September 13, 2017.

**4. Reporting.**

a. ATTORNEY shall provide CITY with a preliminary status report and/or file review and evaluation within thirty (30) days which shall include an evaluation of specific issues as identified in the Workers Compensation Referral Worksheet. In this preliminary report, ATTORNEY shall also make recommendations for discovery and any needed investigation.

Within 10 days of any request by the CITY, ATTORNEY shall provide CITY with a status report on the progress of the representation.

b. ATTORNEY shall provide to the CITY representative copies of all pleadings, motions, and discovery documents and medical reports filed or propounded by ATTORNEY in this case.

c. In the event that ATTORNEY's handling of the above-designated matter exceeds a period of twenty-four (24) months, ATTORNEY shall, in addition to providing regular status reports to CITY, also report directly to the CITY representative on an annual basis and no later than June 30th of each year, setting forth in detail the status of the matter, and ATTORNEY's plan for further handling of the matter, and the estimated length of time to conclude the matter.

**EXHIBIT B  
WORKERS COMPENSATION  
MASTER LEGAL SERVICES AGREEMENT**

**FEE SCHEDULE/MANNER OF PAYMENT**

1. **ATTORNEY'S Compensation.** CITY shall compensate ATTORNEY for legal services rendered at an hourly billing rate of \$165 for all Attorneys, to be billed in tenths of an hour. All office, travel, discovery, hearing, trial or other time shall be charged at this rate. CITY shall not be charged for services that are strictly of a clerical, administrative or secretarial nature, such as scheduling, calendaring or word processing. The total of all fees paid to ATTORNEY for the performance of all services set forth in Exhibit A, including normal revisions (hereafter the "Services"), and for all authorized Reimbursable Expenses, shall not exceed the total sum of \$345,000.

Such compensation shall be the sole and total remuneration for the rendition of services under this Agreement.

ATTORNEYS agree that cases will be assigned by the Workers Compensation Referral Worksheet attached hereto as Exhibit E. The attorney identified in the Workers Compensation Referral Worksheet will provide legal services and no other associate or partner will perform work without CITY's advance authorization.

2. **ATTORNEY'S Reimbursable Expenses.** CITY shall reimburse ATTORNEY for the actual, reasonable and necessary expense of travel out of the Sacramento metropolitan area, approved in advance, at \$0.43 per mile. ATTORNEY will not charge CITY for the cost of telephone calls or photocopying. Litigation costs and expenses for statutory fees, witness fees, reporters' per diem and stenographic transcriptions, jury fees, and the expenses of serving process shall be advanced by ATTORNEY and reimbursed by CITY. Expert consultants and witnesses may be retained by ATTORNEY on terms acceptable to CITY, approved in advance, in which case CITY shall reimburse ATTORNEY or pay such consultants or experts directly.
3. **Billing.**
- A. Legal billings shall be submitted to CITY every thirty (30) days unless otherwise advised.
  - B. Each task shall be distinctly and completely identified; the City will not pay invoices that contain block billing. Each billing entry must contain the initials of the individual performing the task, the nature of the task, the date it was performed, and the length of time it took.
  - C. Costs under \$500.00 shall be paid by ATTORNEYS and submitted with their normal billing.
  - D. CITY reserves the right to audit all invoices.
  - E. Payments to ATTORNEY shall be made within a reasonable time after receipt of ATTORNEY'S invoice. ATTORNEY may request, but CITY is under no obligation to

approve, payment on a monthly basis. ATTORNEY shall be responsible for supplying all documentation necessary to verify the monthly billings to the satisfaction of CITY.

- F. In addition to the provisions stated elsewhere in this Agreement regarding the payment of fees, billing and budgeting, the following guidelines for billing apply:
1. CITY expects each individual working on the matter for which ATTORNEYS were retained to have the necessary experience to perform the services required to protect or pursue CITY'S interests in the matter in a cost effective manner.
  2. CITY expects ATTORNEYS to select the individual most suitable for the task required and the specific needs of the matter, and to use the maximum efficiencies available. Billings for services performed by the inappropriate level of personnel will be reduced by the City based on rate adjustments for the appropriate level of personnel.
  3. CITY will not pay for unnecessary review of texts, codes, rules of court, or other fundamental references. CITY will pay the hourly rate for specific legal research which is unique to the matter, assuming that ATTORNEYS have used maximum efficiencies and that ATTORNEYS have not already performed research in the same or similar areas of law.
  4. CITY acknowledges the benefit of communications between attorneys in the firm. CITY does, however, expect that intra-office conferences will only be held as needed, and will be kept to a minimum. Intra-office conferences shall be for the purpose of discussing strategy and legal issues which directly further the matter. CITY will not pay for conferences which are supervisory or instructional. Any invoice which lists an intra-office conference that exceeds these guidelines must contain a full explanation and is subject to reduction by CITY. CITY will not pay for "team meetings" and CITY will scrutinize all intra-office conferences for "value added" to the matter by the conference, for the number of individuals attending the conference, the length of the conference, the subjects discussed at the conference and who participated in it, and will, in CITY'S sole discretion, determine if such value has been added.
  5. CITY will not pay for local telephone calls, incoming facsimiles, postage, time spent on filing, calendaring, indexing pleadings, photocopying, conferences with Clerks of court or court reporters, proofreading, re-drafting due to substandard work, time billed by summer interns or associates, time for more than one individual to attend a trial, hearing, court appearance, arbitration, mediation, deposition, third party meeting, conference call or similar event, unless otherwise approved by CITY in advance, or opening, organizing or closing files.
  6. Vague billing which does not contain sufficient information to allow City's reviewer of the invoice to determine the nature of the task, the reason for the task, and the individual performing the task is subject to reduction by CITY.
  7. CITY will not reimburse for overtime, word processing, supplies, anything identified on an invoice as "miscellaneous," or any other unidentified charges.

**EXHIBIT C  
WORKERS COMPENSATION  
MASTER LEGAL SERVICES AGREEMENT**

**GENERAL PROVISIONS**

**1. Independent Contractor.**

- A. It is understood and agreed that ATTORNEY (including ATTORNEY's employees) is an independent contractor and that no relationship of employer-employee exists between the parties hereto for any purpose whatsoever. Neither ATTORNEY nor ATTORNEY'S assigned personnel shall be entitled to any benefits payable to employees of CITY. CITY is not required to make any deductions or withholdings from the compensation payable to ATTORNEY under the provisions of this Agreement, and ATTORNEY shall be issued an IRS Form 1099 for its services hereunder. As an independent contractor, ATTORNEY hereby agrees to indemnify and hold CITY harmless from any and all claims that may be made against CITY based upon any contention by any of ATTORNEY'S employees or by any third party, including but not limited to any state or federal agency, that an employer-employee relationship or a substitute therefor exists for any purpose whatsoever by reason of this Agreement or by reason of the nature and/or performance of any Services under this Agreement.
- B. It is further understood and agreed by the parties hereto that ATTORNEY, in the performance of its obligations hereunder, is subject to the control and direction of CITY as to the designation of tasks to be performed and the results to be accomplished by the Services agreed to be rendered and performed under this Agreement, but not as to the means, methods, or sequence used by ATTORNEY for accomplishing such results. To the extent that ATTORNEY obtains permission to, and does, use CITY facilities, space, equipment or support services in the performance of this Agreement, this use shall be at the ATTORNEY's sole discretion based on the ATTORNEY's determination that such use will promote ATTORNEY'S efficiency and effectiveness. Except as may be specifically provided elsewhere in this Agreement, the CITY does not require that ATTORNEY use CITY facilities, equipment or support services or work in CITY locations in the performance of this Agreement.
- C. If, in the performance of this Agreement, any third persons are employed by ATTORNEY, such persons shall be entirely and exclusively under the direction, supervision, and control of ATTORNEY. Except as may be specifically provided elsewhere in this Agreement, all terms of employment, including hours, wages, working conditions, discipline, hiring, and discharging, or any other terms of employment or requirements of law, shall be determined by ATTORNEY. It is further understood and agreed that ATTORNEY shall issue W-2 or 1099 Forms for income and employment tax purposes, for all of ATTORNEY'S assigned personnel and subcontractors.

- 2. Licenses; Permits, Etc.** ATTORNEY represents and warrants that ATTORNEY has all licenses, permits, City Business Operations Tax Certificate, qualifications, and approvals of whatsoever nature which are legally required for ATTORNEY to practice its profession or provide any services under the Agreement. ATTORNEY represents and warrants that

ATTORNEY shall, at its sole cost and expense, keep in effect or obtain at all times during the term of this Agreement any licenses, permits, and approvals which are legally required for ATTORNEY to practice its profession or provide such Services. Without limiting the generality of the foregoing, if ATTORNEY is an out-of-state corporation, ATTORNEY warrants and represents that it possesses a valid certificate of qualification to transact business in the State of California issued by the California Secretary of State pursuant to Section 2105 of the California Corporations Code.

3. **Conflicts of Interest.** ATTORNEY represents and warrants that they have made a diligent effort to determine whether there are any actual or potential conflicts of interest that would preclude or interfere with ATTORNEY'S performance of this Agreement, and that no such conflict of interest exists. ATTORNEY shall indemnify and hold harmless CITY from and against any and all liability, loss, expense and obligation arising directly or indirectly out of any breach of the foregoing representation and warranty. ATTORNEY agrees to avoid conflicts of interest or the appearance of any conflicts of interest with the interests of CITY at all times during the performance of this Agreement.
4. **Confidentiality of CITY Information.** ATTORNEY understands and agrees that during the course performing the services required by this agreement, or in contemplation thereof, ATTORNEYS may have access to private or confidential information which may be owned or controlled by CITY and that such information may contain proprietary or confidential details, the disclosure of which to third parties may be damaging to CITY. ATTORNEYS agree that all information disclosed by CITY to ATTORNEYS shall be held in confidence and used only in performance of the services required by this Agreement. A violation of this section shall be a material violation of this Agreement and shall justify legal and/or equitable relief.
5. **Suspension and Termination.**
  - A. CITY shall have the right at any time to temporarily suspend ATTORNEY'S performance hereunder, in whole or in part, by giving a written notice of suspension to ATTORNEY. If CITY gives such notice of suspension, ATTORNEY shall immediately suspend its activities under this Agreement, as specified in such notice.
  - B. CITY reserves the right to discharge ATTORNEY and terminate this Agreement at any time. In the event of such discharge or termination, CITY shall compensate ATTORNEY for services rendered and expenses necessarily incurred up to and including the date of discharge and expenses unavoidably incurred by ATTORNEY thereafter.
  - C. ATTORNEY may terminate this Agreement at any time by giving to CITY Attorney not less than thirty (30) days prior written notice of termination. The notice shall specify the effective date of and reason for the termination.
  - D. This Agreement is personal to ATTORNEY and any attempted assignment including by successors or assigns, shall be void, unless approved in writing by CITY.
  - E. Upon any termination, ATTORNEY shall immediately return to CITY the file and all materials owned by CITY and cooperate fully in necessary completion and filing of any necessary Substitution of Attorney documents.

**6. Indemnity.**

- A. Indemnity: ATTORNEY shall fully indemnify and save harmless, CITY, its officers and employees, and each and every one of them, from and against all actions, damages, costs, liability, claims, losses, judgments, penalties and expenses of every type and description, including, but not limited to, any fees and/or costs reasonably incurred by CITY'S staff attorneys or outside attorneys and any fees and expenses incurred in enforcing this provision (hereafter collectively referred to as "Liabilities"), to which any or all of them may be subjected, to the extent such Liabilities are caused by or result from any negligent act or omission or willful misconduct of ATTORNEY, its subcontractors or agents, and their respective officers and employees, in connection with the performance or nonperformance of this Agreement, whether or not the CITY, its officers or employees reviewed, accepted or approved any service or work product performed or provided by the ATTORNEY, and whether or not such Liabilities are litigated, settled or reduced to judgment.
- B. Obligation to Defend: ATTORNEY shall, upon CITY'S request, defend at ATTORNEY'S sole cost any action, claim, suit, cause of action or portion thereof which asserts or alleges Liabilities to the extent such Liabilities are caused by or result from any negligent act or omission or willful misconduct of ATTORNEY, its sub-ATTORNEYS, subcontractors or agents, and their respective officers and employees, in connection with the performance or nonperformance of this Agreement, whether such action, claim, suit, cause of action or portion thereof is well founded or not.
- C. Insurance Policies; Intellectual Property Claims: The existence or acceptance by CITY of any of the insurance policies or coverages described in this Agreement shall not affect or limit any of CITY'S rights under this section, nor shall the limits of such insurance limit the liability of ATTORNEY hereunder. This section shall not apply to any intellectual property claims, actions, lawsuits or other proceedings subject to the provisions of Section 4 (Confidentiality of CITY Information) above. The provisions of this section shall survive any expiration or termination of this Agreement.

7. **Publicity.** If any publicity is generated by the subject matter of this representation, ATTORNEYS will consult with CITY before making any statement to any media outlet (whether radio, print or television) about the content and timing of such statement.

8. **Insurance Requirements.** During the entire term of this Agreement, ATTORNEY shall maintain the following insurance:

- A. Minimum Scope of Insurance: Coverage should be at least as broad as:
- (1) Insurance Services Office Form No. CG 0001 (Commercial General Liability);
  - (2) Insurance Services Office Form No. CA 0001 (Ed. 1/87) (Automobile Liability, Code "any auto");
  - (3) Workers' Compensation as required by the Labor Code of the State of California,

- and Employers' Liability Insurance:
- (4) Professional Liability (Errors and Omissions) insurance against loss due to error, omission or malpractice.

B. Minimum Limits of Insurance: ATTORNEY shall maintain limits no less than:

- (1) Commercial General Liability; \$1,000,000 combined single limit per occurrence, including endorsements for contractual liabilities, broad form property damage and personal injury.
- (2) Automobile Liability: \$1,000,000 combined single limit per accident for personal injury and property damage arising from owned, hired and non-owned vehicles.
- (3) Workers' Compensation and Employers' Liability: Workers' compensation limits as required by the Labor Code of the State of California and Employers' Liability limits of \$1,000,000 per accident.
- (4) Professional Liability (Errors and Omissions): \$2,000,000 combined single limit per occurrence.

C. Deductibles and Self-Insured Retentions: Any deductibles or self-insured retentions must be declared to and approved by the CITY.

D. Other Insurance Provisions: The policies are to contain, or be endorsed to contain, the following provisions:

- (1) General Liability and Automobile Liability Coverages:
  - (a) The General Liability and Automobile Liability policies shall be written on an occurrence form and shall name CITY, its officers, officials, agents, employees and volunteers as additional insureds. Such policy(ies) of insurance shall be endorsed so that ATTORNEY's insurance shall be primary and any insurance or self-insurance maintained by CITY, its officials, employees or volunteers shall be in excess of ATTORNEY'S insurance and shall not contribute with it.
  - (b) Any failure to comply with reporting provisions of the policies shall not affect coverage provided to CITY, its officials, employees or volunteers.
  - (c) Coverage shall state that ATTORNEY'S insurance shall apply separately to each insured against whom claim is made or suit is brought, except with respect to the limits of the insurer's liability.
- (2) All Coverages:
  - (a) Each insurance policy required by this Agreement shall be endorsed to state that coverages shall not be canceled except after thirty (30) days

prior written notice has been given to CITY. In addition, ATTORNEY agrees that it shall not reduce its coverage or limits on any such policy except after thirty (30) days prior written notice has been give to CITY and CITY approves the reduction in coverage or limits. ATTORNEY further agrees that it shall not increase any deductibles or self-insured retentions on any such policy except after thirty (30) days prior written notice has been given to CITY and CITY approves such increase.

(b) In the event claims made forms are used for any Professional Liability coverage, either (i) the policy(ies) shall be endorsed to provide not less than a five (5) year discovery period, or (ii) the coverage shall be maintained for a minimum of five (5) years following the termination of this Agreement and the requirements of this section relating to such coverage shall survive termination or expiration of this Agreement. ATTORNEYS shall furnish CITY with the certificate(s) and applicable endorsements for ALL required insurance prior to CITY's execution of this Agreement.

E. Acceptability of Insurers: Insurance shall be placed with insurers with a Bests' rating of no less than A:VII. This requirement may, however, be waived in individual cases for Errors and Omissions Coverages only; provided, however, that in no event shall a carrier with a rating below B:IX be acceptable.

F. Verification of Coverage: ATTORNEY shall furnish CITY with certificates of insurance showing compliance with the above requirements and with original endorsements affecting all coverages required by this Agreement both prior to the execution of this Agreement, and during the pendency of this Agreement at any time upon request by CITY. The certificates and/or endorsements shall set forth a valid policy number for CITY, and shall indicate the Issue Date, Effective Date and Expiration Date. The certificates and endorsements for each insurance policy shall be signed by a person authorized by the insurer to bind coverage on its behalf. The certificates and endorsements shall be forwarded to the CITY representative named in Exhibit A.

G. Payment Withhold: CITY shall withhold payments to ATTORNEY if the certificates of insurance and endorsements required in this section are canceled or ATTORNEY otherwise ceases to be insured as required herein.

9. **Disqualification of Judges.** At the option of the City Attorney, judges may be disqualified from hearing CITY litigation, and ATTORNEY shall adhere to such decision of the City Attorney. In the event that ATTORNEY is of the opinion that a judge ought to be disqualified, the City Attorney must be consulted in advance by ATTORNEY, and ATTORNEY must obtain the City Attorney's written consent to such disqualification.

10. **Equal Employment Opportunity.** During the performance of this Agreement, ATTORNEY, for itself, its assignees and successors in interest, agrees as follows:

A. Compliance With Regulations: ATTORNEY shall comply with the Executive Order 11246 entitled "Equal Opportunity in Federal Employment", as amended by Executive Order 11375 and 12086, and as supplemented in Department of Labor regulations (41 CFR

Chapter 60), hereinafter collectively referred to as the "Regulations."

- B. Nondiscrimination: ATTORNEY, with regards to the work performed pursuant to this Agreement, shall not discriminate on the ground of race, color, religion, sex, national origin, age, marital status, physical handicap or sexual orientation in selection and retention of subcontractors, including procurement of materials and leases of equipment. ATTORNEY shall not participate either directly or indirectly in discrimination prohibited by the Regulations.
- C. Solicitations for Subcontractors, Including Procurement of Materials and Equipment: In all solicitations either by competitive bidding or negotiations made by ATTORNEY for work to be performed under any subcontract, including all procurement of materials or equipment, each potential subcontractor or supplier shall be notified by ATTORNEY of ATTORNEY'S obligation under this Agreement and the Regulations relative to nondiscrimination on the ground of race, color, religion, sex, national origin, age, marital status, physical handicap or sexual orientation.
- D. Information and Reports: ATTORNEY shall provide all information and reports required by the Regulations, or by any orders or instructions issued pursuant thereto, and shall permit access to its books, records, accounts, other sources of information and its facilities as may be determined by the CITY to be pertinent to ascertain compliance with such Regulations, orders and instructions. Where any information required of ATTORNEY is in the exclusive possession of another who fails or refuses to furnish this information, ATTORNEY shall so certify to the CITY, and shall set forth what efforts it has made to obtain the information.
- E. Sanctions for Noncompliance: In the event of noncompliance by ATTORNEY with the nondiscrimination provisions of this Agreement, the CITY shall impose such sanctions as it may determine to be appropriate including, but not limited to:
- (1) Withholding of payments to ATTORNEY under this Agreement until ATTORNEY complies;
  - (2) Cancellation, termination, or suspension of the Agreement, in whole or in part.
- F. Incorporation of Provisions: ATTORNEY shall include the provisions of subsections A through E, above, in every subcontract, including procurement of materials and leases of equipment, unless exempted by the Regulations, or by any order or instructions issued pursuant thereto. ATTORNEY shall take such action with respect to any subcontract or procurement as the CITY may direct as a means of enforcing such provisions including sanctions for noncompliance; provided, however, that in the event ATTORNEY becomes involved in, or is threatened with, litigation with a subcontractor or supplier as a result of such direction, ATTORNEY may request CITY to enter such litigation to protect the interests of CITY.
11. **Entire Agreement.** This document, including all Exhibits, contains the entire agreement between the parties and supersedes whatever oral or written understanding they may have had prior to the execution of this Agreement. No alteration to the terms of this Agreement shall be valid unless approved in writing by ATTORNEY, and by CITY, in accordance with applicable

provisions of the Sacramento City Code.

12. **Severability.** If any portion of this Agreement or the application thereof to any person or circumstance shall be held invalid or unenforceable, the remainder of this Agreement shall not be affected thereby and shall be enforced to the greatest extent permitted by law.
13. **Waiver.** Neither CITY acceptance of, or payment for, any Service or Additional Service performed by ATTORNEY, nor any waiver by either party of any default, breach or condition precedent, shall be construed as a waiver of any provision of this Agreement, nor as a waiver of any other default, breach or condition precedent or any other right hereunder.
14. **Enforcement of Agreement.** This Agreement shall be governed, construed and enforced in accordance with the laws of the State of California. Venue of any litigation arising out of or connected with this Agreement shall lie exclusively in the state trial court or Federal District Court located in Sacramento County in the State of California, and the parties consent to jurisdiction over their persons and over the subject matter of any such litigation in such courts, and consent to service of process issued by such courts.
15. **Attorney's Fees.** In any action to enforce the terms of this Agreement, the prevailing party shall recover its reasonable attorneys' fees.
16. **Assignment Prohibited.** The expertise and experience of ATTORNEY are material considerations for this Agreement. CITY has a strong interest in the qualifications and capability of the persons and entities who will fulfill the obligations imposed on ATTORNEY under this Agreement. In recognition of this interest, ATTORNEY shall not assign any right or obligation pursuant to this Agreement without the written consent of the CITY. Any attempted or purported assignment without CITY'S written consent shall be void and of no effect.

## EXHIBIT D

### REQUIREMENTS OF THE NON-DISCRIMINATION IN EMPLOYEE BENEFITS CODE

#### INTRODUCTION

The Sacramento Non-Discrimination In Employee Benefits Code (the "Ordinance"), codified as Sacramento City Code Chapter 3.54, prohibits City contractors from discriminating in the provision of employee benefits between employees with spouses and employees with domestic partners, and between the spouses and domestic partners of employees.

#### APPLICATION

The provisions of the Ordinance apply to any contract or agreement (as defined below), between a Contractor and the City of Sacramento, in an amount exceeding \$25,000.00. The Ordinance applies to that portion of a contractor's operations that occur: (i) within the City of Sacramento; (ii) on real property outside the City of Sacramento if the property is owned by the City or if the City has a right to occupy the property; or (iii) at any location where a significant amount of work related to a City contract is being performed.

The Ordinance does not apply: to subcontractors or subcontracts of any Contractor or contractors; to transactions entered into pursuant to cooperative purchasing agreements approved by the Sacramento City Council; to legal contracts of other governmental jurisdictions or public agencies without separate competitive bidding by the City; where the requirements of the ordinance will violate or are inconsistent with the terms or conditions of a grant, subvention or agreement with a public agency or the instructions of an authorized representative of any such agency with respect to any such grant, subvention or agreement; to permits for excavation or street construction; or to agreements for the use of City right-of-way where a contracting utility has the power of eminent domain.

#### DEFINITIONS

As set forth in the Ordinance, the following definitions apply:

"Contract" means an agreement for public works or improvements to be performed, or for goods or services to be purchased or grants to be provided, at the expense of the City or to be paid out of moneys deposited in the treasury or out of the trust money under the control or collected by the City. "Contract" also means a written agreement for the exclusive use ("exclusive use" means the right to use or occupy real property to the exclusion of others, other than the right reserved by the fee owner) or occupancy of real property for a term exceeding 29 days in any calendar year, whether by singular or cumulative instrument, (i) for the operation or use by others of real property owned or controlled by the City for the operation of a business, social, or other establishment or organization, including leases, concessions, franchises and easements, or (ii) for the City's use or occupancy of real property owned by others, including leases, concessions, franchises and easements.

"Contract" shall not include: a revocable at-will use or encroachment permit for the use of or encroachment on City property regardless of the ultimate duration of such permit; excavation, street construction or street use permits; agreements for the use of City right-of-way where a contracting utility has the power of eminent domain; or agreements governing the use of City property that constitute a

public forum for activities that are primarily for the purpose of espousing or advocating causes or ideas and that are generally protected by the First Amendment to the United States Constitution or that are primarily recreational in nature.

"Contractor" means any person or persons, firm partnership or corporation, company, or combination thereof that enters into a Contract with the City. "Contractor" does not include a public entity.

"Domestic Partner" means any person who has a currently registered domestic partnership with a governmental entity pursuant to state or local law authorizing the registration.

"Employee Benefits" means bereavement leave; disability, life, and other types of insurance; family medical leave; health benefits; membership or membership discounts; moving expenses; pension and retirement benefits; vacation; travel benefits; and any other benefit given to employees. "Employee benefits" shall not include benefits to the extent that the application of the requirements of this chapter to such benefits may be preempted by federal or state.

#### **CONTRACTOR'S OBLIGATION TO PROVIDE THE CITY WITH DOCUMENTATION AND INFORMATION**

Contractor shall provide the City with documentation and information verifying its compliance with the requirements of the Ordinance within ten (10) days of receipt of a request from the City. Contractors shall keep accurate payroll records, showing, for each City Contract, the employee's name, address, Social Security number, work classification, straight time pay rate, overtime pay rate, overtime hours worked, status and exemptions, and benefits for each day and pay period that the employee works on the City Contract. Each request for payroll records shall be accompanied by an affidavit to be completed and returned by the Contractor, as stated, attesting that the information contained in the payroll records is true and correct, and that the Contractor has complied with the requirements of the Ordinance. A violation of the Ordinance or noncompliance with the requirements of the Ordinance shall constitute a breach of contract.

#### **EMPLOYER COMPLIANCE CERTIFICATE AND NOTICE REQUIREMENTS**

(a) All contractors seeking a Contract subject to the Ordinance shall submit a completed Declaration of Compliance Form, signed by an authorized representative, with each proposal, bid or application. The Declaration of Compliance shall be made a part of the executed contract, and will be made available for public inspection and copying during regular business hours.

(b) The Contractor shall give each existing employee working directing on a City contract, and (at the time of hire), each new employee, a copy of the notification provided as Attachment "1."

(c) Contractor shall post, in a place visible to all employees, a copy of the notice provided as Attachment "2."

# Attachment 1



## **YOUR RIGHTS UNDER THE CITY OF SACRAMENTO'S NON-DISCRIMINATION IN EMPLOYEE BENEFITS CODE**

On ..... (date), your employer (the "Employer") entered into a contract with the City of Sacramento (the "City") for ..... (contract details), and as a condition of that contract, agreed to abide by the requirements of the City's Non-Discrimination In Employee Benefits Code (Sacramento City Code Section 3.54).

The Ordinance does not require the Employer to provide employee benefits. The Ordinance does require that if certain employee benefits are provided by the Employer, that those benefits be provided without discrimination between employees with spouses and employees with domestic partners, and without discrimination between the spouse or domestic partner of employees.

The Ordinance covers any employee working on the specific contract referenced above, but only for the period of time while those employees are actually working on this specific contract.

The included employee benefits are:

- Bereavement leave
- Disability, life and other types of insurance
- Family medical leave
- Health benefits
- Membership or membership discounts
- Moving expenses
- Pension and retirement benefits
- Vacation
- Travel benefits
- Any other benefits given to employees

(Employee Benefits does not include benefits that may be preempted by federal or state law.)

If you feel you have been discriminated or retaliated against by your employer in the terms and conditions of your application for employment, or in your employment, or in the application of these employee benefits, because of your status as an applicant or as an employee protected by the Ordinance, or because you reported a violation of the Ordinance, and after having exhausted all remedies with your employer,

**You May . . .**

- Submit a written complaint to the City of Sacramento, Contract Services Unit, containing the details of the alleged violation. The address is:

City of Sacramento  
Contract Services Unit  
921 10th St., Room 402  
Sacramento, CA 95814-2714

- Bring an action in the appropriate division of the Superior Court of the State of California against the Employer and obtain the following remedies:
  - Reinstatement, injunctive relief, compensatory damages and punitive damages
  - Reasonable attorney's fees and costs

## Attachment 2



### **YOUR RIGHTS UNDER THE CITY OF SACRAMENTO'S NON-DISCRIMINATION IN EMPLOYEE BENEFITS CODE**

If your employer provides employee benefits, they must be provided to those employees working on a City of Sacramento contract without discriminating between employees with spouses and employees with domestic partners.

The included employee benefits are:

- Bereavement leave
- Disability, life and other types of insurance
- Family medical leave
- Health benefits
- Membership or membership discounts
- Moving expenses
- Pension and retirement benefits
- Vacation
- Travel benefits
- Any other benefits given to employees

If you feel you have been discriminated against by your employer . . .

#### **You May . . .**

- Submit a written complaint to the City of Sacramento, Contract Services Unit, containing the details of the alleged violation. The address is:  
  
City of Sacramento  
Contract Services Unit  
921 10th St., Room 402  
Sacramento, CA 95814-2714
- Bring an action in the appropriate division of the Superior Court of the State of California against the employer and obtain reinstatement, injunctive relief, compensatory damages, punitive damages and reasonable attorney's fees and costs.

#### **Discrimination and Retaliation Prohibited.**

If you feel you have been discriminated or retaliated against by your employer in the terms and conditions of your application for employment, or in your employment, because of your status as an applicant or as an employee protected by the Ordinance, or because you reported a violation of this Ordinance . . .

#### **You May Also . . .**

Submit a written complaint to the City of Sacramento, Contract Services Unit, at the same address, containing the details of the alleged violation.

EXHIBIT E

City of Sacramento  
Workers' Compensation Referral Worksheet

Pursuant to the terms of Master Services Agreement No. \_\_\_\_\_ the City of Sacramento hereby retains services of \_\_\_\_\_ (Firm), \_\_\_\_\_ (attorney), for the following case \_\_\_\_\_

City Contact Information

Name \_\_\_\_\_ Phone \_\_\_\_\_  
Address \_\_\_\_\_  
Claim # \_\_\_\_\_

Case Information

Workers' Comp     L&H     Subrogation     132(a)     S&W  
Employee \_\_\_\_\_ DOB \_\_\_\_\_ Occupation \_\_\_\_\_  
Employer \_\_\_\_\_ Policy Period \_\_\_\_\_  
Date of Injury \_\_\_\_\_ Body Parts \_\_\_\_\_  
Employee's Attorney \_\_\_\_\_  
Claim Form Returned On \_\_\_\_\_ Application Filed On \_\_\_\_\_  
Denial Letter Issued On \_\_\_\_\_ Answer Filed On \_\_\_\_\_  
or Denial Due Date \_\_\_\_\_ File Answer  Yes  No

Please Attach Copies of All Claim Forms Provided To Employee

Benefits Paid

TD \$ \_\_\_\_\_ RATE \_\_\_\_\_ PERIODS \_\_\_\_\_  
LC 4850 \$ \_\_\_\_\_ RATE \_\_\_\_\_ PERIODS \_\_\_\_\_  
PD \$ \_\_\_\_\_ RATE \_\_\_\_\_ PERIODS \_\_\_\_\_  
Medical Expenses \$ \_\_\_\_\_ SJDB EXPENSE \$ \_\_\_\_\_

Issues

- |   |   |                                     |
|---|---|-------------------------------------|
| <input type="checkbox"/> 1. Injury AOE-     | <input type="checkbox"/> 8. Apportionment           | <input type="checkbox"/> 15. 132(a) |
| <input type="checkbox"/> 2. Employment      | <input type="checkbox"/> 9. Past Medical            | <input type="checkbox"/> 16. S&W    |
| <input type="checkbox"/> 3. Occupation      | <input type="checkbox"/> 10. Future Medical         | <input type="checkbox"/> 17. Other  |
| <input type="checkbox"/> 4. Coverage        | <input type="checkbox"/> 11. Statute of Limitations |                                     |
| <input type="checkbox"/> 5. Earnings        | <input type="checkbox"/> 12. Jurisdiction           |                                     |
| <input type="checkbox"/> 6. Temp Disability | <input type="checkbox"/> 13. Dependency             |                                     |
| <input type="checkbox"/> 7. Perm Disability | <input type="checkbox"/> 14. Subrogation            |                                     |

Defense Discovery Requested

Depose Applicant  Yes  No  Need to Discuss  
Schedule Med Exam  Yes  No Physician \_\_\_\_\_  
Subpoena Records  Yes  No Source \_\_\_\_\_

Comments: