

**Meeting Date:** 2/2/2016

**Report Type:** Consent

**Report ID:** 2016-00075

**Title:** Increased U.S. Department of the Interior, Fish and Wildlife Service (USFWS) grant funding for the Lower American River Salmonid Spawning and Rearing Habitat Restoration Project for Fiscal Years 2015/16 through 2018/19

**Location:** Citywide/Regional

**Recommendation:** Pass a Resolution authorizing the City Manager, or his designee, to: 1) apply for a modification to increase USFWS Research Grant (Generic), Domestic Assistance Number 15.650 funding for the Project up to the maximum amount of \$900,000; 2) if the grant increase is awarded, accept federal funds in the amount awarded and execute a modification to Cooperative Funding Agreement C2015-1717; and 3) increase the operating grant for the Project, including revenue and expenditure budgets, for the amount awarded, bringing the total budgeted Project to an amount up to \$1,050,943.

**Contact:** Tom Gohring, Executive Director, (916) 808-1998, Citywide & Community Support, City-County Office of Metropolitan Water Planning (CCOMWP)

**Presenter:** None

**Department:** Community Support

**Division:** CCOMWP

**Dept ID:** 80004101

**Attachments:**

- 1-Description/Analysis
- 2-Background
- 3-Resolution
- 4-Application

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### **City Attorney Review**

Approved as to Form  
Joe Robinson  
1/20/2016 10:23:20 AM

### **Approvals/Acknowledgements**

Department Director or Designee: Tom Gohring - 1/12/2016 11:33:22 AM

## **Description/Analysis**

**Issue Detail:** The Lower American River Salmonid Spawning Gravel Augmentation Project (Project) began in 2006 when the City-County Office of Metropolitan Water Planning (CCOMWP) first partnered with the U.S. Bureau of Reclamation (USBOR), U.S. Fish and Wildlife Service (USFWS), California Department of Fish and Game, and Sacramento County Regional Parks. The purpose is to increase the availability of spawning gravel and rearing habitat for fall-run Chinook salmon and steelhead trout in the lower American River (LAR).

On 9/15/2015, Council passed Resolution 2015-0302 authorizing all activities related to an application for \$150,000 in USFWS Research Grant (Generic), Domestic assistance number 15.650 grant funding for the Project. Subsequently the City entered into Cooperative Funding Agreement C2015-1717 for \$150,943. In October 2015, USFWS staff notified the City additional funding of up to \$900,000 was available through the same grant opportunity and proposed a modification to the existing agreement. On December 15, 2015, USFWS staff provided the City with instructions and forms to go forward with applying for the increased funding.

This report requests authorization to submit the application, execute all necessary agreement modifications, and increase related revenue and expenditure budgets.

**Policy Considerations:** City Council approval is required to accept grant funding, establish a grant project, and appropriate grant funding when the award is in excess of \$100,000. The Project will aid fish habitat restoration efforts for the Lower American River, consistent with goals of the Water Forum Agreement.

**Economic Impacts:** None.

**Environmental Considerations: California Environmental Quality Act (CEQA):**

Actions requested in this staff report relate to funding mechanisms that do not constitute a project as defined by the CEQA [CEQA Guidelines Section 15378 (b) (4)]. Project activities that would occur in the event grant funding is received would be subject to subsequent CEQA review if applicable.

**Sustainability Considerations:** Implementation of the Project is consistent with the City of Sacramento's Sustainability Master Plan:

Sustainability Goal No. 7 - Parks, Open Space, and Habitat Conservation is promoted by the second co-equal objective of the Water Forum Agreement "To preserve the fishery, wildlife, recreational, and aesthetic values of the lower American River."

**Commission/Committee Action:** None.

**Rationale for Recommendation:** Cooperative Agreement funding opportunities such as this allow the CCOMWP to increase and improve salmon and steelhead spawning and rearing habitat by replenishing spawning gravel, and establishing additional side-channel habitat at new restoration sites in the LAR between Nimbus Dam and Discovery Park, a reach of about 20 miles. The need for the action derives from the declines of naturally spawned salmonid stocks due in part to loss of spawning and rearing habitat through curtailment of gravel recruitment due to blockage of the river channel by dams.

**Financial Considerations:** If the grant increase is awarded, the increased grant amount of up to \$1,050,943 will cover the Project costs and no General Fund support is needed. The City will front the estimated total from the CCOMWP Habitat Management Element (HME) Fund (7104) and be reimbursed for expenditures on a quarterly basis by USFWS. This process will continue through FY2018/19 as USFWS Funds are allocated for the Project. Funding for the CCOMWP HME Fund 7104 is provided by cost-sharing agreements between the City and County of Sacramento. Other local purveyors with contracts for Central Valley Project (CVP) water also contribute to the HME in those years when they divert non-CVP water above their baseline.

Prior and projected allocations for the Project are listed in the tables below:

**PROJECT FUNDING HISTORY**

Entity	Fiscal Year	Amount Awarded	City Contract #
USBOR	FY2006/07	\$ 600,000	2006-1211
	FY2009/10	151,000	2006-1211-1
	FY2011/12	430,000	2006-1211-2
	Subtotal USBOR:	\$1,181,000	
County of Sacramento D.O.T.	FY2011/12	\$ 100,000	2010-0179
USFWS	FY2010/11	\$ 148,695	2010-0694
	FY2011/12	253,773	2010-0694-1
	FY2011/12	99,000	2010-0694-2
	FY2012/13	255,660	2010-0694-3
	FY2012/13	395,283	2010-0694-4
	FY2013/14	45,760	2010-0694-5
	FY2013/14	440,000	2010-0694-6
	FY2013/14 & FY2014/15	150,000	2010-0694-7
Subtotal USFWS:	\$1,788,171		
<b>Total Historical Funding:</b>		<b>\$3,069,171</b>	

<b>Amount Awarded</b>			<b>City Contract #</b>
USBOR	FY2014/15	\$1,080,000	2014-0914
	FY2015/16	869,580	
	FY2016/17	870,000	
	FY2017/18	860,000	
	FY2018/19	<u>875,000</u>	
	Subtotal USBOR: \$4,554,580		
USFWS	FY2015/16	\$150,943	2015-1717
	FY2015/16 - FY2018/19	<u>900,000</u>	2015-1717-1, <i>to be approved</i>
	Subtotal USFWS: \$1,050,943		
<b>Total Historical and Projected Funding:</b>		<b>\$8,674,694</b>	

**Local Business Enterprise (LBE):** Not applicable.

## Background

The City and County of Sacramento created the CCOMWP in 1991 to pursue a joint water planning effort in the greater Sacramento Metropolitan area. In 1993, a diverse group of business and agricultural leaders, citizens groups, environmentalists, water managers, and local governments formed the Sacramento Area Water Forum. In 1999, Water Forum members approved a comprehensive Water Forum Agreement (WFA) (City Agreement No. 1999-222). The WFA consists of integrated actions necessary for providing a regional solution to water shortages, environmental damage, groundwater contamination, and limited economic prosperity. The CCOMWP has been charged with staffing the effort to implement the WFA, known as the Water Forum Successor Effort (WFSE).

The USBOR operates facilities that regulate flows in the lower American River, which contains populations of anadromous Chinook salmon and threatened steelhead trout. Flow regulation and stream channel manipulations have modified anadromous salmonid habitat in the American River. Specifically, gravel is regularly lost from spawning sites on the river because of the construction and operation of Central Valley Project dams, bank protection projects, and other actions that reduce the availability of spawning gravel and rearing habitat in the American River downstream of Nimbus Dam.

The purpose of this Project is to provide funding for planning, permitting, placement of gravel, pre and post project site surveys, and the monitoring of spawning gravel and the response of salmonids in the lower American River in accordance with the Central Valley Project Improvement Act (CVPIA). The purpose of the CVPIA is to protect, restore, and enhance fish and wildlife habitat in the Central Valley Basin. Through this funding, the CCOMWP, on behalf of the Sacramento Region Water Forum, will assist USBOR and the USFWS in carrying out this Project, including permitting, inter-agency coordination, contracting, gravel production and placement, and post-project monitoring. This Project is consistent with the goals of the Fisheries and Instream Habitat Plan, which is incorporated into the River Corridor Management Plan (RCMP). The RCMP is a coordinated approach to management of the lower American River that was developed and endorsed by 38 organizations and local governments in 2002, including the City of Sacramento. The RCMP serves as the implementation vehicle for the Habitat Management Element (HME) of the Water Forum Agreement, of which the City is a signatory. The HME implements one of the Agreement's two co-equal objectives, which is to preserve the fishery, wildlife, recreational and aesthetic values of the lower American River.

## **RESOLUTION NO.**

Adopted by the Sacramento City Council

### **APPROVAL OF U.S. DEPARTMENT OF THE INTERIOR, FISH AND WILDLIFE SERVICES, FISCAL YEARS 2015/16 THROUGH 2018/19 COOPERATIVE FUNDING AGREEMENT APPLICATION AND RELATED ACTIONS**

#### **BACKGROUND**

- A. The Lower American River Salmonid Spawning Gravel Augmentation Project (Project) began in 2006 when the City-County Office of Metropolitan Water Planning (CCOMWP) first partnered with the U.S. Bureau of Reclamation (USBOR), U.S. Fish and Wildlife Service (USFWS), California Department of Fish and Game, and Sacramento County Regional Parks. The purpose of the Project is to increase the availability of spawning gravel and rearing habitat for fall-run Chinook salmon and steelhead trout in the lower American River (LAR).
- B. Additional funds may be made available through the United States Department of the Interior, USFWS Research Grant (Generic), Domestic assistance number 15.650, to fund activities related to the Project.

#### **BASED ON THE FACTS SET FORTH IN THE BACKGROUND, THE CITY COUNCIL RESOLVES AS FOLLOWS:**

- Section 1. The City Manager, or his designee, is authorized to: (a) apply for additional USFWS Research Grant (Generic), Domestic assistance number 15.650 grant funding for the Project, for Fiscal Years 2015/16 through 2018/19 up to the maximum amount of \$900,000; and (b) accept grant funds in the amount awarded by the USFWS and execute a modification to Cooperative Funding Agreement C2015-1717 for receipt of the amount awarded.
- Section 2. The City Manager, or his designee, is authorized to revise the operating grant for the Project, including revenue and expenditure budgets, for the amount awarded.
- Section 3. The City Council has reviewed the purpose of the application and supports the application being submitted.

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input type="checkbox"/> Application <input checked="" type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): _____ * Other (Specify): _____
* 3. Date Received: 12/15/2015	4. Applicant Identifier: _____	
5a. Federal Entity Identifier: _____	5b. Federal Award Identifier: _____	
<b>State Use Only:</b>		
6. Date Received by State: _____	7. State Application Identifier: _____	
<b>8. APPLICANT INFORMATION:</b>		
* a. Legal Name: City of Sacramento		
* b. Employer/Taxpayer Identification Number (EIN/TIN): 946000410	* c. Organizational DUNS: 1275571370000	
<b>d. Address:</b>		
* Street1: 2831 G Street	Street2: Suite 100	
* City: Sacramento	County/Parish: Sacramento	
* State: CA: California	Province: _____	
* Country: USA: UNITED STATES	* Zip / Postal Code: 95816-3783	
<b>e. Organizational Unit:</b>		
Department Name: Citywide and Community Support	Division Name: CCOMWP	
<b>f. Name and contact information of person to be contacted on matters involving this application:</b>		
Prefix: Mr.	* First Name: Tom	
Middle Name: _____	* Last Name: Gohring	
Suffix: _____	Title: Executive Director	
Organizational Affiliation: _____		
* Telephone Number: 916-808-1997	Fax Number: _____	
* Email: tgohring@waterforum.org		

**Application for Federal Assistance SF-424**

**\* 9. Type of Applicant 1: Select Applicant Type:**

C: City or Township Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

\* Other (specify):

**\* 10. Name of Federal Agency:**

U.S. Department of the Interior, Fish and Wildlife Service

**11. Catalog of Federal Domestic Assistance Number:**

15.650

CFDA Title:

Generic Grant: Gravel Restoration

**\* 12. Funding Opportunity Number:**

\* Title:

Generic Grant: Gravel Restoration

**13. Competition Identification Number:**

Title:

Generic Grant: Gravel Restoration

**14. Areas Affected by Project (Cities, Counties, States, etc.):**

Add Attachment

Delete Attachment

View Attachment

**\* 15. Descriptive Title of Applicant's Project:**

The purpose of this project is to restore, enhance, and protect viable salmonid habitat in the lower American River to ultimately enhance overall salmonid productivity.

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

**Application for Federal Assistance SF-424**

**16. Congressional Districts Of:**

\* a. Applicant

\* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

**17. Proposed Project:**

\* a. Start Date:

\* b. End Date:

**18. Estimated Funding (\$):**

* a. Federal	<input type="text" value="900,000.00"/>
* b. Applicant	<input type="text"/>
* c. State	<input type="text"/>
* d. Local	<input type="text"/>
* e. Other	<input type="text"/>
* f. Program Income	<input type="text"/>
* g. TOTAL	<input type="text" value="900,000.00"/>

**\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on .
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

**\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

Yes  No

If "Yes", provide explanation and attach

**21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

\*\* I AGREE

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

**Authorized Representative:**

Prefix:  \* First Name:   
Middle Name:   
\* Last Name:   
Suffix:

\* Title:

\* Telephone Number:  Fax Number:

\* Email:

\* Signature of Authorized Representative: 

\* Date Signed: