



REPORT TO Personnel and Public Employees Committee City of Sacramento

915 I Street, Sacramento, CA 95814-2604
www.cityofsacramento.org

4

Discussion Calendar
November 20, 2012

**Honorable Chair and Members of
The Personnel and Public Employees Committee**

Title: Review of Applications for Compensation Commission

Location/Council District: (Citywide)

Recommendation: Review applications and nominate candidates.

Contact: Katia Ligaiviu, Deputy City Clerk, (916) 808-7604, Office of the City Clerk;
Mark Prestwich, Special Projects Manager, (916) 808-5380, Office of the City Manager

Presenters: None

Department: City Clerk's Office / City Manager's Office

Division: N/A

Organization No: 04001011

Description/Analysis

Issue: Review applications and/or conduct interviews to identify the most qualified candidates to nominate and forward to the Mayor for appointment.

Policy Considerations: None.

Environmental Considerations: None.

Commission/Committee Action: None.

Rational for Recommendation: To review applications to identify the most qualified individuals for nomination to the Mayor for appointment to the City's various advisory boards.

Financial Considerations: None.

Emerging Small Business Development (ESBD): None.



Respectfully Submitted by: _____

Katia Ligaiviu,
Deputy City Clerk

Recommendation Approved:



Stephanie Mizuno,
Assistant City Clerk

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ATTACHMENT 1

Background:

The following applicants are under consideration for positions on city boards and commissions.

Board/Commission:	Compensation Commission
Available Positions:	Two (2)
Category Description(s):	Category A: Public-at-large
Status of Incumbents:	David A. Kawada – Eligible for another term; application attached Brett W. Hopkins – Eligible for another term; application attached

Residency Requirement(s):	City Residency Is Required
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No.	Applicant Name	District	Category	Comments	
1	David A. Kawada	1	A	Incumbent	
2	Brett W. Hopkins	6	A	Incumbent	



City of Sacramento

Application for Appointment to Boards/Commissions and Committees

RECEIVED
CITY CLERK'S OFFICE
CITY OF SACRAMENTO

2012 OCT - 11 P 1: 58

INSTRUCTIONS: Provide all information requested. Attachments must be single sided on 8.5 x 11 paper. If you will be representing an organization, you must include a letter from the organization recommending you as their representative. Note: All information provided on this form is a public record. Return this completed application form to: Office of the City Clerk, Historic City Hall, 915 I Street, Rm. 116, Sacramento, CA 95814. Tel: (916) 808-7200.

IMPORTANT: Letters of recommendation are optional. If you choose to include a letter it must be submitted as part of this application. Letters will not be accepted after your application is filed with the City Clerk's Office.

BOARD / COMMISSION OR COMMITTEE NAME: Compensation Commission

CATEGORY FOR WHICH YOU ARE APPLYING: Public At-Large A
Description (if applicable) Category Letter

- Name of Company/Organization Being Represented (if applicable): _____
- Company/Organization Authorization Letter Attached (if applicable to qualifications of category)

Applicant Name: KAWADA DAVID A E-Mail: _____
Last First Middle

Home Address: Clayton Way Sacramento CA 95835
Street # Street Name City State Zip

Mailing Address (if different than home address): _____
Street # Street Name City State Zip

Resident of City Council District No: One (1) Business in Council District No: _____
Required If Applicable

Home Telephone: 916- Business Telephone: 916-

Please state the reason you would like to be a member of this board/commission (or attach):

I am currently a member of the Compensation Commission (incumbent). I wish to continue my service on the Compensation Commission using the knowledge I have gained during my first term.

Are you currently, or have you in the past, served on an advisory group? Yes No If yes, state the name of the group and how that service supports your application (or attach).

SEE ATTACHED RESUME

Do you, or an immediate family member, have any relationship (professional, financial, other) that may present a potential conflict of interest for this advisory group? Yes No If yes, please explain:

BACKGROUND INFORMATION

You may also attach a resume reflecting experience, community activities or other qualifications not listed below that would be helpful to the Council in evaluating your application.

EDUCATION:

SEE ATTACHED RESUME

WORK EXPERIENCE: List names, addresses and dates of employment for the last five (5) years. Attach additional sheets of paper if needed.

CURRENT EMPLOYER:

FROM DATE: _____ EMPLOYER NAME: SEE ATTACHED RESUME
TO DATE: _____ ADDRESS: _____
Street # Street Name City State

DUTIES: _____

PRIOR EMPLOYER(S):

FROM DATE: _____ EMPLOYER NAME: _____
TO DATE: _____ ADDRESS: _____
Street # Street Name City State

DUTIES: _____

FROM DATE: _____ EMPLOYER NAME: _____
TO DATE: _____ ADDRESS: _____
Street # Street Name City State

DUTIES: _____

FROM DATE: _____ EMPLOYER NAME: _____
TO DATE: _____ ADDRESS: _____
Street # Street Name City State

DUTIES: _____

BUSINESS ENTERPRISES: List business name including fictitious name and address of any business enterprises currently or previously owned or operated.

FROM DATE: _____ BUSINESS NAME: _____
TO DATE: _____ ADDRESS: _____
Street # Street Name City State

TYPE OF BUSINESS OR SERVICE RENDERED:

NONE.

CITY OF SACRAMENTO -
APPLICATION FOR APPOINTMENT

KAWADA, DAVID
APPLICANT NAME

FROM DATE: _____ BUSINESS NAME: _____

TO DATE: _____ ADDRESS: _____
Street # Street Name City State

TYPE OF BUSINESS OR SERVICE RENDERED: _____

FELONY CONVICTIONS: A felony conviction is not necessarily a bar to appointment. Each case is considered separately based on requirements of the position. However, failure to list felony convictions may result in disqualification.

Have you ever been convicted of a felony? If yes, please indicate for each conviction, the date of the conviction, the location of the court of conviction, and the exact denomination of the offense resulting in conviction:

NO.

CIVIL ACTIONS: List each civil action, if any, in which punitive or exemplary damages have been assessed against you, indicating in each instance the date of the trial, court judgment and the location of the court which rendered the judgment:

NONE.

I DECLARE, UNDER PENALTY OF PERJURY THAT ALL STATEMENTS IN THIS APPLICATION ARE TRUE AND COMPLETE TO THE BEST OF MY KNOWLEDGE:

Signature: Isl. David Kawada Date: 10-2-2012
Type Name If Returning Via E-Mail or Print, Sign and Mail

DISCLOSURE AND REGULATORY REQUIREMENTS

City Code Section 2.40.060: Conflict of Interest Disclosure - If a Statement of Economic Interests Form 700 is required for this position (see announcement), the form must be filed with the Office of the City Clerk prior to beginning service. The City Clerk will provide appointees with the filing form and instructions. Official status to serve will be delayed until the form is filed with the Office of the City Clerk.

City Code Section 2.40.010: Attendance - Board/commission members are required to attend meetings on a regular basis, and may be removed if a member does not attend three consecutive regularly scheduled meetings. If appointed, will you be able to attend meetings regularly and devote the time necessary to fulfill your duties as a member? Yes No

City Resolution 2007-653: Mandatory Ethics Training - Board/commission members are required to satisfy the local ethics training requirement mandated by Government Code Sections 53234 et seq. and may be removed if proof of attendance is not filed with the Office of the City Clerk within 60 days of appointment. Appointees will be provided with an on-line training resource. If appointed, will you be able to complete the training within 60 days? Yes No

ACCOMMODATION INFORMATION

PLEASE IDENTIFY ANY SPECIALIZED ACCOMMODATIONS NEEDED FOR EQUAL PARTICIPATION:

NONE.

David Kawada
Clayton Way
Sacramento, CA 95835

Education:

San Francisco State University
M.B.A., Business Administration
Date of Graduation: January 1991

University of California, Berkeley
B.A., Political Science
Date of Graduation: May 1988

Employment:

**Internal Auditor (Associate Management Auditor),
California Department of Child Support Services,
11120 International Drive, Rancho Cordova, CA
(April 2012 – Present)**

- Performs internal audits of the various business operations at CDCSS.
- Makes recommendations to improve internal controls.
- Writes audit reports.

**Information Security Analyst (Associate Information Systems Analyst)
State Compensation Insurance Fund,
2275 Gateway Oaks Drive Sacramento, CA
(April 2009 – March 2012)**

- Coordinated the effort to plan, analyze, design, develop, and implement security systems that support applications, databases, and operating systems.
- Performed risk assessments on applications, databases, and operating systems. Identified vulnerabilities of applications, databases, operating systems, and selected security measures needed to eliminate or minimize the vulnerabilities.
- Assured security requirements were addressed in applications, databases, and operating systems requiring security of information.

**Information Systems Auditor (Associate Management Auditor),
State Compensation Insurance Fund, Sacramento, CA
2275 Gateway Oaks Drive Sacramento, CA
(June 2008 – April 2009)**

- Performed information systems audits at State Compensation Insurance Fund (SCIF).
- Made recommendations to improve internal controls.
- Wrote audit reports.

**Information Systems Auditor (Program Evaluator Specialist-Information Systems),
California Public Employees' Retirement System,
400 Q Street, Sacramento, CA
(November 2004 – June 2008)**

- Performed information systems audits at CalPERS.
- Made recommendations to improve internal controls.
- Wrote audit reports.

Community Activities:

Commissioner, City of Sacramento Compensation Commission

(May 2010 – Present) – Appointed by the Sacramento mayor and city council. The Compensation Commission determines the compensation of the mayor, city council members, and appointed city officials. Examines data from comparable cities and compares with City of Sacramento information.

Board Member and Treasurer, Families with Children from China – Northern California Chapter

(May 2009 – Present) - Treasurer of the Northern California chapter of the organization. The chapter is made up of 600+ families in Northern California who have adopted children from China. Families with Children from China – Northern California Chapter is a 501c3 non-profit organization.

Vice-Chair, Natomas Community Planning Advisory Council

(May 2006 – March 2012) - Appointed by the Sacramento County Board of Supervisors. In a public meeting setting, examined, analyzed, and provided feedback on planning applications in the Natomas region of Sacramento County. Chaired meetings when the chairperson was unavailable. Recommendations of the Natomas Community Planning Advisory Council are forwarded to the Sacramento County Planning Commission and Board of Supervisors.



City of Sacramento

Application for Appointment to Boards/Commissions and Committees

INSTRUCTIONS: Provide **all** information requested. Attachments must be single sided on 8.5 x 11 paper. If you will be representing an organization, you must include a letter from the organization recommending you as their representative. Note: All information provided on this form is a public record. **Return this completed application form to:** Office of the City Clerk, Historic City Hall, 915 I Street, Rm. 116, Sacramento, CA 95814. Tel: (916) 808-7200.

IMPORTANT: Letters of recommendation are **optional**. If you choose to include a letter it must be submitted as part of this application. Letters will not be accepted after your application is filed with the City Clerk's Office.

BOARD / COMMISSION OR COMMITTEE NAME: COMPENSATION COMMISSION

CATEGORY FOR WHICH YOU ARE APPLYING: PUBLIC AT-LARGE A
Description (if applicable) Category Letter

Name of Company/Organization Being Represented (if applicable): _____
 Company/Organization Authorization Letter Attached (if applicable to qualifications of category)

Applicant Name: HOPKINS BRETT W E-Mail: _____
Last First Middle

Home Address: PEBBLE TRAIL CT SACRAMENTO CA 95826
Street # Street Name City State Zip

Mailing Address (if different than home address): --- OAK HILL DR GRANITE BAY CA 95746
Street # Street Name City State Zip

Resident of City Council District No: Six (6) Business in Council District No: Six (6)
Required If Applicable

Home Telephone: 916- Business Telephone: 916-

Please state the reason you would like to be a member of this board/commission (or attach):

I HAVE BEEN ON THE COMMISSION FROM (2010-2012) AND FEEL LIKE WE HAVE ONLY BEGUN. I FEEL LIKE I HAVE A RESPONSIBILITY TO THE COMMUNITY AND THIS BOARD. I AM FAIR AND I AM UNBIASED. I LISTEN TO BOTH SIDES BEFORE MAKING A DECISION. I WANT TO ENSURE COMPETITIVE PAY FOR OUR ELECTED OFFICIALS WHILE KEEPING IT IN ALIGN WITH CITY EMPLOYEES AND OTHER CITIES.

Are you currently, or have you in the past, served on an advisory group? Yes No If yes, state the name of the group and how that service supports your application (or attach).

1.) COMPENSATION COMMISSION. 2.) SMALL BUSINESS DEVLPMENT & EMPLEE ADVISORY BOARD

Do you, or an immediate family member, have any relationship (professional, financial, other) that may present a potential conflict of interest for this advisory group? Yes No If yes, please explain:

BACKGROUND INFORMATION

You may also attach a resume reflecting experience, community activities or other qualifications not listed below that would be helpful to the Council in evaluating your application.

EDUCATION: H&R BLOCK TAX PREPARATION (2012), LANDMARK EDUCATION (2005-2011), C.S.U. SACRAMENTO (2001) - BUSINESS FINANCE, SIERRA COLLEGE (1998-2000) BUS-FINANC

WORK EXPERIENCE: List names, addresses and dates of employment for the last five (5) years. Attach additional sheets of paper if needed.

CURRENT EMPLOYER:

FROM DATE: 04/01/2009 EMPLOYER NAME: HOPKINS CAPITAL MANAGEMENT, INC.
TO DATE: PRESENT ADDRESS: CAMPUS COMMONS RD. #200 SACRAMENTO CA
Street # Street Name City State

DUTIES: PROVIDE VARIED FINANCIAL ADVISING & INVESTMENT SERVICES. PROVIDES RISK AND TAX MANAGEMENT PLANNING

PRIOR EMPLOYER(S):

FROM DATE: 01/01/2008 EMPLOYER NAME: EDWARD JONES
TO DATE: 04/01/2009 ADDRESS: FAIR OAKS BLVD CARMICHAEL CA
Street # Street Name City State

DUTIES: FULL SERVICE INVESTMENT & INSURANCE ADVISOR. FACILITATED RETIREMENT & ESTATE PLANNING

FROM DATE: 01/01/2007 EMPLOYER NAME: AMERIPRISE FINANCIAL SERVICES
TO DATE: 01/01/2008 ADDRESS: EAST ROSEVILLE PARKWAY ROSEVILLE CA
Street # Street Name City State

DUTIES: FULL SERVICE INVESTMENT & INSURANCE ADVISOR. PROVIDED CASH MANAGEMENT & DEBT DOUNSELING

FROM DATE: 11/01/2005 EMPLOYER NAME: FORTUNE HI-TECH MARKETING
TO DATE: 01/01/2007 ADDRESS: HALLMARK DR LOUISVILLE KY
Street # Street Name City State

DUTIES: RECRUITED, TRAINED & SUPERVISED SALES REPS. ENGAGED IN DIRECT SALES OF CELLULAR & LONG DISTANCE

BUSINESS ENTERPRISES: List business name including fictitious name and address of any business enterprises currently or previously owned or operated.

FROM DATE: 04/01/2009 BUSINESS NAME: HOPKINS CAPITAL MANAGEMENT, INC.
TO DATE: _____ ADDRESS: CAMPUS COMMONS RD #200 SACRAMENTO CA
Street # Street Name City State

TYPE OF BUSINESS OR SERVICE RENDERED:

FINANCIAL SERVICES

CITY OF SACRAMENTO -
APPLICATION FOR APPOINTMENT

HOPKINS, BRETT
APPLICANT NAME

FROM DATE: _____ BUSINESS NAME: _____

TO DATE: _____ ADDRESS: _____
Street # Street Name City State

TYPE OF BUSINESS OR SERVICE RENDERED: _____

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N/A

CIVIL ACTIONS: List each civil action, if any, in which punitive or exemplary damages have been assessed against you, indicating in each instance the date of the trial, court judgment and the location of the court which rendered the judgment:

N/A

I DECLARE, UNDER PENALTY OF PERJURY THAT ALL STATEMENTS IN THIS APPLICATION ARE TRUE AND COMPLETE TO THE BEST OF MY KNOWLEDGE:

Signature: /s/ BRETT HOPKINS Date: 11-08-2012
Type Name if Returning Via E-Mail or Print, Sign and Mail

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