



# REPORT TO COUNCIL

## City of Sacramento

915 I Street, Sacramento, CA 95814-2604  
[www. CityofSacramento.org](http://www.CityofSacramento.org)

CONSENT  
 August 1, 2006

Honorable Mayor and  
 Members of the City Council:

**Title: 2006/07 Entity Allocation and Expenditure Agreement with the Sacramento Transportation Authority**

**Location/Council District:** Citywide

**Recommendation:** Adopt a **Resolution:** 1) approving the 2006/07 Entity Allocation and Expenditure Agreement with the Sacramento Transportation Authority (STA) to provide for the allocation and expenditure of Measure A (Transportation Sales Tax) revenues between July 1, 2006 and June 30, 2007; and 2) authorizing and directing the City Manager to execute the 2006/07 Entity Allocation and Expenditure Agreement with the STA.

**Contact:** Cherisse M. Knapp, Senior Accountant Auditor, 808-2680; Dean Fujimoto, Supervising Financial Analyst, 808-5579

**Presenters:** None

**Department:** Department of Transportation

**Division:** Engineering Services

**Organization:** 3431

**Description/Analysis:**

**Issue:** Measure A legislation requires that the City enter into an annual agreement with the Sacramento Transportation Authority (STA) in order to receive both types of Measure A funds: Construction (Fund 201) and Maintenance (Fund 207). The agreement authorizes the City to receive and expend funds in accordance with the STA approved 2006/07 Expenditure Plan. On June 8, 2006, the STA Board approved the agreement language and authorized the STA Executive Director, Brian Williams, to sign the agreement. Upon signature by the City Manager and completion of the agreement, the City can expend Measure A funds and receive reimbursement.

**Policy Considerations:** The action requested herein is consistent with the City's Strategic Plan goals to expand economic development throughout the City and achieve sustainability and livability.



**Environmental Considerations:**

The 2006/07 Entity Allocation and Expenditure Agreement is not subject to the provisions of the California Environmental Quality Act (CEQA) under the general rule (Section 15061 (b) (34)) that CEQA applies only to projects that have the potential for causing a significant effect on the environment.

**Rationale for Recommendation:** Upon approval of the agreement the City will have authority to spend Measure A funds in the amount of \$22,646,669.

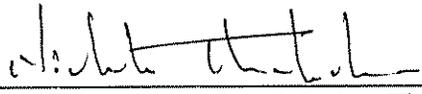
**Financial Considerations:**

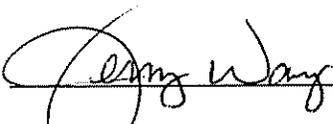
On June 8, 2006, the STA Board approved the 2006/07 Expenditure Plan as follows:

2006/07	Measure A Construction (Fund 201)	\$12,581,483
2006/07	Measure A Maintenance (Fund 207)	10,065,186
	<u>Measure A Total</u>	<u>\$22,646,669</u>

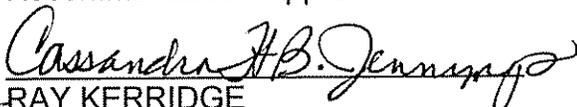
**Emerging Small Business Development (ESBD):**

No goods or services are being purchased.

Respectfully Submitted by:   
Nicholas Theocharides  
Engineering Services Manager

Approved by:   
Jerry Way  
Interim Director, Department of Transportation

Recommendation Approved:

  
for RAY KERRIDGE  
City Manager

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**ATTACHMENT 1**

**RESOLUTION NO.**

Adopted by the Sacramento City Council

**AUTHORIZING EXECUTION OF THE 2006/07 ENTITY  
ALLOCATION AND EXPENDITURE AGREEMENT WITH THE  
SACRAMENTO TRANSPORTATION AUTHORITY (STA)**

**BACKGROUND**

- A. Measure A requires that the City enter into an annual agreement with STA in order to receive both Construction (Fund 201) and Maintenance (Fund 207) funds.
- B. The agreement authorizes the City to receive and expend funds in accordance with the STA approved 2006/2007 Expenditure Plan.
- C. On June 8, 2006 the STA Board approved the agreement language and authorized the STA Executive Director, Brian Williams, to sign the agreement.

**BASED ON THE FACTS SET FORTH IN THE BACKGROUND, THE CITY COUNCIL  
RESOLVES AS FOLLOWS:**

- Section 1. The 2006/07 Entity Allocation and Expenditure Agreement with the Sacramento Transportation Authority (STA) to provide for the allocation and expenditure of Measure A (Transportation Sales Tax) revenues between July 1, 2006 and June 30, 2007 is hereby approved.
- Section 2. The City Manager is authorized and directed to execute the 2006/07 Entity Allocation and Expenditure Agreement with the Sacramento Transportation Authority.

SACRAMENTO TRANSPORTATION AUTHORITY  
FY 2006-07 ENTITY ALLOCATION AND EXPENDITURE CONTRACT  
WITH THE CITY OF SACRAMENTO

1. Parties. The parties to this contract are the Sacramento Transportation Authority, a local transportation authority formed pursuant to the provisions of the Public Utilities Code commencing with Section 180000, hereinafter called "Authority", and the entity identified below as the second party to this contract, hereinafter called "Entity".

2. Definitions. Unless the context otherwise requires, as used in this contract, the following terms shall have the following meanings:

a. "Transportation Expenditure Agreement" means the agreement dated March 9, 1995, by and between the Authority, the Sacramento Regional Transit District, The County of Sacramento, the City of Sacramento, and the Cities of Folsom, Galt, and Isleton.

b. "Contract" means this contract.

c. "Act" means the local Transportation Authority and Improvement Act set forth in the provisions of the Public Utilities Code commencing with Section 180000.

d. "Entity" means the City of Sacramento.

e. "Board" means the Governing Board of the Sacramento Transportation Authority.

In addition to the foregoing, to the extent that terms defined in the Transportation Expenditure Agreement are used in this contract, such terms have the same meanings as set forth in the definitions contained in Paragraph 1 of the Transportation Expenditure Agreement.

3. Purpose. In accordance with the provisions of Paragraphs 22 and 23 of the Transportation Expenditure Agreement, the purpose of this contract is to provide for the allocation by the Authority of sales tax revenue and the expenditure thereof by the Entity.

4. Sales Tax Revenue Allocations. Sales tax revenue shall be allocated and disbursed in accordance with the Entity's Annual Expenditure Plan included in the Sacramento Transportation Authority Expenditure Plan for the period July 1, 2006, through June 30, 2007, as approved by said Governing Body on June 7, 2006. A copy of said Entity Annual Expenditure Plan as approved by said Governing Body is attached as Exhibit A of this contract and is incorporated by this reference as though fully set forth herein.

If there are excess funds, Entity may request reallocation to other projects by amending Exhibit A of this contract. The Executive Director may approve reallocations of excess funds to any other project in Exhibit A up to 120% (one hundred and twenty percent) of the amount initially approved by the Board. Reallocations to any project in excess of 120% (one hundred and twenty percent) of an allocation initially made by the Board or to a project which has not been approved by the Board must be approved by the Board.

10. Accounting Requirements. Entity shall conform to the Revenue Distribution and Reporting Procedures for Sacramento Transportation Authority Entities revised 6/11/98, and attached hereto as Exhibit B

11. Air Quality Compliance. If all or any part of the allocation made pursuant to this contract is for a major road improvement project as defined in Paragraph 21c(i) of the Transportation Expenditure Agreement, by entering into this contract the Entity certifies that such project conforms to the applicable locally adopted Air Quality Attainment Plan, as approved or amended under Section 110 of the Clean Air Act.

12. Signs. At each construction and substantial maintenance project, Entity shall post signs stating that the project is funded by the Sacramento Transportation Authority with Measure A funds. Transit vehicles purchased or operated with Measure A funds shall have a sign with like wording placed inside the vehicle where it is clearly visible to riders. The design of the signs shall be coordinated with the Authority.

13. Indemnify and Hold Harmless. The Entity shall indemnify and save harmless, the Sacramento Transportation Authority, its officers, employees, and agents, and each and every one of them, from and against all actions, damages, costs, liability, claims, losses, and expenses of every type and description to which any or all of them may be subjected, by reason of or resulting from, directly or indirectly, the performance of this contract by Entity whether or not caused in part by passive negligence of a party indemnified hereunder. The foregoing shall include, but not be limited to, any attorney fees reasonably incurred by Authority.

14. Special Taxes or Land Development Fee for Road Improvement Purposes. Entity certifies that it is currently in compliance with Paragraph 16 of the Transportation Expenditure Agreement regarding special taxes or land development fees for road improvement purposes.

15. Financial Commitments. Entity certifies that it has paid to the Consolidated Transportation Services Agency for EHT Functions an amount at least equal to that paid by Entity for the 1986-87 fiscal year.

SACRAMENTO TRANSPORTATION AUTHORITY  
MEASURE A  
REVENUE DISTRIBUTION AND REPORTING PROCEDURES  
UPDATED JUNE 1998

REPORTING

- Quarterly, each entity will submit a status report in approved STA format. Information in the status report should include:
  - for each project - by CTEP name
    - funding year
    - amount allocated
    - expenditures by quarter
    - year to date expenditures
    - total expended
    - total remaining
    - project status
- Quarterly entity reports will be due to the Sr. Transportation Administrator 30 days after the end of each quarter.
- Quarterly, STA staff will compile and submit the entity status reports to the STA Board.
- At the end of each fiscal year, STA staff will work with entity staff to determine which projects make up any remaining fund balances that the entity is holding from pre-92-93 contract disbursements .

INTEREST

- Interest will be earned on each entity agency fund.
- Interest will be allocated as part of a supplemental allocation in August of the following fiscal year. Each entity will be required to present a request of specific projects for the supplemental interest allocation as soon as possible after the initial approval of the supplemental.
- For the Cities of Sacramento, Citrus Heights, Elk Grove, and Rancho Cordova, and the County of Sacramento, interest will be added to amounts available for capital projects.

SACRAMENTO TRANSPORTATION AUTHORITY  
MEASURE A  
REVENUE DISTRIBUTION AND REPORTING PROCEDURES  
UPDATED JUNE 1998

- The Executive Director may reallocate savings from any project(s) to any other project(s) within the same FY expenditure plan, to a maximum increase of 20% above the initial STA Board allocation.
- Projects whose actual costs will exceed the approved costs by more than 20% must be brought back to the STA Governing Board for a modification to the entity expenditure plan.

CONTINGENCIES

- Allocations for contingencies or reserves will be allowed in the entity expenditure plans, however, any monies spent out of a contingency or reserve must be pre-approved by the STA Governing Board.

MODIFICATIONS TO THE EXPENDITURE PLANS

- In accordance with PUC Section 180207, modifications to the Countywide Expenditure Plan may only be made once a year. The STA Board currently modifies its Countywide Expenditure Plan during the adoption of the annual Entity Expenditure Plans (Contracts). Consequently, mid-year modifications to the entity expenditure plans may be made **only** for projects which are included in the Countywide Expenditure Plan. Projects which are not included in the Countywide Expenditure Plan may only be added during the annual contract process.

## ATTACHMENT B

### SACRAMENTO TRANSPORTATION AUTHORITY MEASURE A REVENUE DISTRIBUTION AND REPORTING PROCEDURES UPDATED JUNE 1998

#### REVENUES

- Individual agency funds for each entity will be established within the STA general ledger. These accounts will accrue interest annually.
- When revenues are received from the State, STA staff will immediately transfer them into the entity subsidiary accounts.

#### DISBURSEMENTS

- For RT and Paratransit, operating expenditures will be disbursed as funds are received from the State (currently monthly).
- For the cities and the County, maintenance expenditures will be disbursed as funds are received from the State (currently monthly).
- In accordance with the Transportation Expenditure Agreement, capital expenditures will be disbursed to each entity on a progress payment basis.
- Entities will submit claims to the STA for reimbursement of expenditures, using the progress payment method, after an expenditure has occurred.
- Claims can be submitted as often as needed.
- Claims will include the project being claimed, the dollar amount being claimed, and the time period during which the funds were expended.
- Claims for multiple projects can be submitted, but there must be an itemized amount for each project being claimed.
- Claims can only be submitted for projects listed on the approved expenditure plans and only up to the approved amount of the project.
- Claims cannot exceed the amount in the entity subsidiary account.

Project/Program Allocation Worksheet  
 ENTITY: City of Sacramento  
 PERIOD: 7-1-06 to 6-30-07

As Adopted by the STA  
 07 June 2006  
 By Resolution STA-06-0002

PROJECT NAME	REF NO.	Duration of Project	Total Project Cost	Measure A Funds	Federal Grant	Gas Tax	Major Street Construction Tax	State Grants	Traffic Safety	CDBG/NTI	Utilities Dept	City Capital Grants	Railyard Richards Impact Fee	Measure A Maintenance	Other Capital Grants	General Fund
Curb, Gutter, Drainage (City PN: R161, TX36, TX37, TZ36 AND TZ66)	1	2003-2006	\$2,668,591	\$200,000 Year to Date \$594,375	Year to Date \$4,500	Year to Date \$922,409	Year to Date \$1,908,000	Year to Date \$321,000	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date
Cosumnes River Blvd Ex/Interchange (City PN: TV76)	2	2006-2008	\$4,801,768	\$1,253,466 Year to Date \$1,048,107	Year to Date \$3,000,000	Year to Date \$753,681	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date

Traffic Signals

PROJECT NAME	REF NO.	Duration of Project	Total Project Cost	Measure A Funds	Federal Grant	Gas Tax	Major Street Construction Tax	State Grants	Traffic Safety	CDBG/NTI	Utilities Dept	City Capital Grants	Railyard Richards Impact Fee	Measure A Maintenance	Other Capital Grants	General Fund
Signal Upgrade (City PN:SG89, SG90, SH54, SK51, SL16, SL26, SL30, SL31, SL32, SL33, SL35, SL38, SL39, SL40, SE31, SG86, SN01, SN02, SN03, SN04, SN05, SN07, SN08, SN09, SPT1, SR34, SS34, SR01, SR04, RH38, TX91 and ST01)	3	Ongoing	Annual Program	\$715,000 Year to Date \$7,024,429	Year to Date \$769,395	Year to Date \$434,001	Year to Date \$659,032	Year to Date \$253,135	Year to Date	Year to Date	Year to Date \$43,189	Year to Date \$141,977	Year to Date	Year to Date \$462,526	Year to Date \$2,473,859	Year to Date
Fruitridge Rd & Bradford Dr Traffic Signal (City PN:SM15)	4	2007-2008	\$400,000	\$147,000 Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date
24th Street & Hogan Drive Traffic Signal (City PN:SM14)	5	2007-2008	\$400,000	\$253,000 Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date

Maintenance

PROJECT NAME	REF NO.	Duration of Project	Total Project Cost	Measure A Funds	Federal Grant	Gas Tax	Major Street Construction Tax	State Grants	Traffic Safety	CDBG/NTI	Utilities Dept	City Capital Grants	Railyard Richards Impact Fee	Measure A Maintenance	Other Capital Grants	General Fund
Street Maintenance Program	6	Ongoing	Annual Program	\$10,065,186 Year to Date \$109,103,235	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date

Programs

PROJECT NAME	REF NO.	Duration of Project	Total Project Cost	Measure A Funds	Federal Grant	Gas Tax	Major Street Construction Tax	State Grants	Traffic Safety	CDBG/NTI	Utilities Dept	City Capital Grants	Railyard Richards Impact Fee	Measure A Maintenance	Other Capital Grants	General Fund
Train Horn Quiet Zone Program (City PN: TK86)	7	Ongoing	\$1,200,000	\$400,000 Year to Date \$0	Year to Date \$0	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date
Center Medians (City PN: TF11, TF12, TV01 - TV07, RUJ1, and TI04 - TI06)	8	Ongoing	Annual Program	\$50,000 Year to Date \$1,233,996	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date
Focus Area Contingency (City PN:TU31, TU34, TU37-TU43, TU72, TU81, TU86, TU96, TW91, RO66, SN71, TY41, TF91,) and TP04 - TP05)	9	Ongoing	Annual Program	\$600,000 Year to Date \$4,566,796	Year to Date \$840,250	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date \$568,541	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date
Handicapped Access (City PN: RG76, RG77, RG78, RG79, RG80, RG82, RG83, RG84, RG85, RG86, TG81, TG82, TG83 and TU51)	10	Ongoing	Annual Program	\$5,520,600 Year to Date \$32,356,609	Year to Date \$495,000	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date

As Adopted by the STA  
07 June 2006  
By Resolution STA-06-0002

Project/Program Allocation Worksheet  
ENTITY: City of Sacramento  
PERIOD: 7-1-06 to 6-30-07

PROJECT NAME	REF NO.	Duration of Project	Total Project Cost	Measure A Funds	Federal Grant	Gas Tax	Major Street Construction Tax	State Grants	Traffic Safety	COBG/TTI	Utilities Dept.	City Capital Grants	Railyard Richards Impact Fee	Measure A Maintenance	Other Capital Grants	General Fund	
Neighborhood Preservation Transportation Plan (City PN: SH31 and TS31)	11	Ongoing	Annual Program	\$470,000 Year to Date \$1,103,700	Year to Date	Year to Date \$222,985	Year to Date	Year to Date	Year to Date	Year to Date \$5,000	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	
Neighborhood Traffic Control (City PN: TF21, TS32 - TS39, TT61, TZ61, TC16)	12	Ongoing	Annual Program	\$25,000 Year to Date \$987,912	Year to Date	Year to Date \$282,505	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date \$171,408	Year to Date	Year to Date	Year to Date \$30,000	Year to Date	
Outsource Markings (City PN: SJ66)	13	Ongoing	Annual Program	\$80,000 Year to Date \$400,000	Year to Date	Year to Date \$150,000	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	
Pedestrian Enhancement (City PN: RI26 and SS11)	14	Ongoing	Annual Program	\$75,000 Year to Date \$320,000	Year to Date	Year to Date \$37,893	Year to Date	Year to Date	Year to Date \$50,000	Year to Date	Year to Date	Year to Date \$20,512	Year to Date	Year to Date	Year to Date	Year to Date \$40,000	
Road Reconstruction Program (City PN: TR01, TR16, TR26, TR21, TR31, TV26, TV41, TV46, TV66, TX41, TX46, and TX69)	15	Ongoing	Annual Program	\$1,999,000 Year to Date \$9,150,393	Year to Date	Year to Date \$820,000	Year to Date \$3,675,000	Year to Date \$2,421,000	Year to Date	Year to Date	Year to Date \$214,699	Year to Date \$591,000	Year to Date	Year to Date	Year to Date	Year to Date \$1,119,395	Year to Date
Speed Humps (City PN: SH69)	16	Ongoing	Annual Program	\$150,000 Year to Date \$1,437,462	Year to Date	Year to Date \$51,109	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	
Pedestrian Improvements (City PN: RR26)	17	Ongoing	Annual Program	\$400,000 Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	
Bikeway Program (City PN: HB06, TG41, TC43, TK61, TN01, and TV31 - TV37)	18	Ongoing	Annual Program	\$75,000 Year to Date \$1,303,126	Year to Date \$306,004	Year to Date	Year to Date	Year to Date \$223,762	Year to Date	Year to Date	Year to Date	Year to Date \$105,344	Year to Date	Year to Date	Year to Date \$72,708	Year to Date \$4,830	
Contingency (City PN: TX01)	19	Ongoing	Annual Program	\$169,417 Year to Date \$6,015,433	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	Year to Date	
<b>TOTAL</b>				\$22,646,669													

TOTAL CONSTRUCTION \$12,581,463  
TOTAL MAINTENANCE \$10,065,186  
TOTAL FY006/07ALLOCATION \$22,646,669

