

PROJECT #: LR07-003  
PROJECT NAME: HOUSING ELEMENT UPDATE AND IMPLEMENTATION ASSISTANCE  
DEPARTMENT: PLANNING  
DIVISION: LONG RANGE PLANNING  
CITY OF SACRAMENTO

## CONSULTANT AND PROFESSIONAL SERVICES AGREEMENT

THIS AGREEMENT is made at Sacramento, California, as of \_\_\_\_\_, by and between the CITY OF SACRAMENTO, a municipal corporation ("CITY"), and

*EDAW Inc.  
2022 J Street  
Sacramento, CA 95814  
Phone: (916) 414-5800  
Fax: (916) 414-5850*

("CONSULTANT"), who agree as follows:

- Services.** Subject to the terms and conditions set forth in this Agreement, CONSULTANT shall provide to CITY the services described in Exhibit A. CONSULTANT shall provide said services at the time, place, and in the manner specified in Exhibit A. CONSULTANT shall not be compensated for services outside the scope of Exhibit A unless prior to the commencement of such services: (a) CONSULTANT notifies CITY and CITY agrees that such services are outside the scope of Exhibit A; (b) CONSULTANT estimates the additional compensation required for these additional services; and (c) CITY, after notice, approves in writing a Supplemental Agreement specifying the additional services and amount of compensation therefor. CITY shall have no obligations whatsoever under this Agreement and/or any Supplemental Agreement, unless and until this Agreement or any Supplemental Agreement is approved by the Sacramento City Manager or the City Manager's authorized designee, or by the Sacramento City Council, as required by the Sacramento City Code.
- Payment.** CITY shall pay CONSULTANT for services rendered pursuant to this Agreement at the times and in the manner set forth in Exhibit B. The payments specified in Exhibit B shall be the only payments to be made to CONSULTANT for the services rendered pursuant to this Agreement unless pursuant to Section 1, above, CITY approves additional compensation for additional services. CONSULTANT shall submit all billings for said services to CITY in the manner specified in Exhibit B, or, if not specified in Exhibit B, according to the usual and customary procedures and practices that CONSULTANT uses for billing clients similar to CITY.
- Facilities and Equipment.** Except as set forth in Exhibit C, CONSULTANT shall, at its sole cost and expense, furnish all facilities and equipment that may be required for furnishing services pursuant to this Agreement. CITY shall furnish to CONSULTANT only the facilities and equipment listed in Exhibit C according to any terms and conditions set forth in Exhibit C.
- General Provisions.** The General Provisions set forth in Exhibit D, that include indemnity and insurance requirements, are part of this Agreement. In the event of any conflict between the General Provisions and any terms or conditions of any document prepared or provided by CONSULTANT and made a part of this Agreement, including without limitation any document relating to the scope of services or payment therefor, the General Provisions shall control over said terms or conditions.

- 5. **Non-Discrimination in Employee Benefits.** This Agreement is subject to the provisions of Sacramento City Code Chapter 3.54, Non-Discrimination in Employee Benefits by City Contractors. The requirements of Sacramento City Code Chapter 3.54 are summarized in Exhibit E. CONSULTANT is required to sign the attached Declaration of Compliance (Equal Benefits Ordinance), to assure compliance with these requirements.
- 6. **Authority.** The person signing this Agreement for CONSULTANT hereby represents and warrants that he/she is fully authorized to sign this Agreement on behalf of CONSULTANT and to bind CONSULTANT to the performance of its obligations hereunder.
- 7. **Exhibits.** All exhibits referred to herein are attached hereto and are by this reference incorporated as if set forth fully herein.

Executed as of the day and year first above stated.

**CITY OF SACRAMENTO**

A Municipal Corporation

By: \_\_\_\_\_

Print name: \_\_\_\_\_

Title: \_\_\_\_\_

For: Ray Kerridge, City Manager

APPROVED TO AS FORM:

  
 \_\_\_\_\_  
 City Attorney

ATTEST:

\_\_\_\_\_  
 City Clerk

Attachments

- Exhibit A - Scope of Service
- Exhibit B - Fee Schedule/Manner of Payment
- Exhibit C - Facilities/Equipment Provided
- Exhibit D - General Provisions
- Exhibit E - Non-Discrimination in Employee Benefits

**CONSULTANT:**

EDAW Inc.  
 NAME OF FIRM

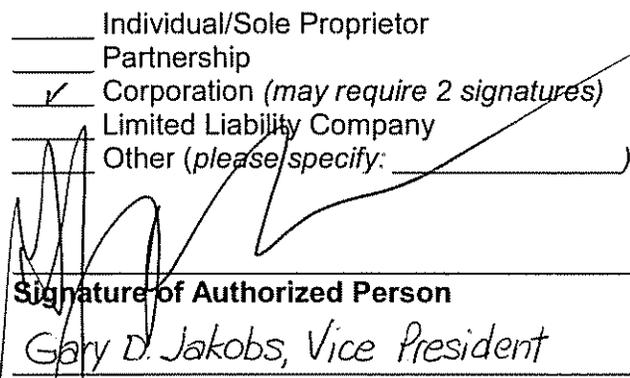
20-3444203  
 Federal I.D. No.

127-8155  
 State I.D. No.

115798  
 City of Sacramento Business Op. Tax Cert. No.

TYPE OF BUSINESS ENTITY (check one):

- Individual/Sole Proprietor
- Partnership
- Corporation (may require 2 signatures)
- Limited Liability Company
- Other (please specify: \_\_\_\_\_)

  
 \_\_\_\_\_  
**Signature of Authorized Person**  
Gary D. Jakobs, Vice President  
 Print Name and Title

\_\_\_\_\_  
 Additional Signature (if required)

\_\_\_\_\_  
 Print Name and Title

**DECLARATION OF COMPLIANCE  
Equal Benefits Ordinance**

Name of Consultant: EDAW Inc.

Address: 2022 J Street, Sacramento, CA 95814

The above named Consultant ("Consultant") hereby declares and agrees as follows:

1. Consultant has read and understands the Requirements of the Non-Discrimination In Employee Benefits Code (the "Requirements") attached hereto as Exhibit E.
2. As a condition of receiving this Agreement, Consultant agrees to fully comply with the Requirements, as well as any additional requirements that may be specified in the City of Sacramento's Non-Discrimination In Employee Benefits Code codified at Chapter 3.54 of the Sacramento City Code (the "Ordinance").
3. Consultant understands, to the extent that such benefits are not preempted or prohibited by federal or state law, employee benefits covered by the Ordinance are any of the following:
  - a. Bereavement Leave
  - b. Disability, life, and other types of insurance
  - c. Family medical leave
  - d. Health benefits
  - e. Membership or membership discounts
  - f. Moving expenses
  - g. Pension and retirement benefits
  - h. Vacation
  - i. Travel benefits
  - j. Any other benefit offered to employees

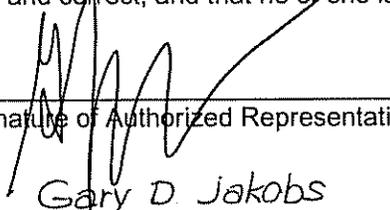
Consultant agrees that if Consultant offers any of the above-listed employee benefits, Consultant will offer those benefits, without discrimination between employees with spouses and employees with domestic partners, and without discrimination between the spouses and domestic partners of such employees.

4. Consultant understands that Consultant will not be considered to be discriminating in the provision or application of employee benefits under the following conditions or circumstances:
  - a. If the actual cost of providing a benefit to a domestic partner or spouse exceeds the cost of providing the same benefit to a spouse or domestic partner of an employee, Consultant will not be required to provide the benefit, nor shall it be deemed discriminatory, if Consultant requires the employee to pay the monetary difference in order to provide the benefit to the domestic partner or to the spouse.
  - b. If Consultant is unable to provide a certain benefit, despite taking reasonable measures to do so, if Consultant provides the employee with a cash equivalent Consultant will not be deemed to be discriminating in the application of that benefit.
  - c. If Consultant provides employee benefits neither to employee's spouses nor to employee's domestic partners.
  - d. If Consultant provides employee benefits to employees on a basis unrelated to marital or domestic partner status.
  - e. If Consultant submits written evidence of making reasonable efforts to end discrimination in employee benefits by implementing policies that will be enacted before the first effective date

after the first open enrollment process following the date this Agreement is executed by the City of Sacramento ("City"). Consultant understands that any delay in the implementation of such policies may not exceed one (1) year from the date this Agreement is executed by the City, and applies only to those employee benefits for which an open enrollment process is applicable.

- f. Until administrative steps can be taken to incorporate nondiscrimination in employee benefits. The time allotted for these administrative steps will apply only to those employee benefits for which administrative steps are necessary and may not exceed three (3) months from the date this Agreement is executed by the City.
  - g. Until the expiration of a current collective bargaining agreement(s) if employee benefits are governed by such collective bargaining agreement(s).
  - h. Consultant takes all reasonable measures to end discrimination in employee benefits by either requesting that the union(s) involved agree to reopen the agreement(s) in order for Consultant to take whatever steps are necessary to end discrimination in employee benefits or by ending discrimination in employee benefits without reopening the collective bargaining agreement(s).
  - i. In the event Consultant cannot end discrimination in employee benefits despite taking all reasonable measures to do so, Consultant provides a cash equivalent to eligible employees for whom employee benefits are not available. Unless otherwise authorized in writing by the City Manager, Consultant understands this cash equivalent must begin at the time the union(s) refuse to allow the collective bargaining agreement(s) to be reopened or not longer than three (3) months after the date this Agreement is executed by the City.
5. Consultant understands that failure to comply with the provisions of Section 4(a) through 4(i), above, will subject Consultant to possible suspension and/or termination of this Agreement for cause; repayment of any or all of the Agreement amount disbursed by the City; debarment for future agreements until all penalties and restitution have been paid in full and/or for up to two (2) years; and/or the imposition of a penalty, payable to the City, in the sum of \$50.00 for each employee, for each calendar day during which the employee was discriminated against in violation of the provisions of the Ordinance.
6. Consultant understands and agrees to provide notice to each current employee and, within ten (10) days of hire, to each new employee, of their rights under the Ordinance. Consultant further agrees to maintain a copy of each such letter provided, in an appropriate file for inspection by authorized representatives of the City. Consultant also agrees to prominently display a poster informing each employee of these rights.
7. Consultant understands that Consultant has the right to request a waiver of, or exemption from, the provisions of the Ordinance by submitting a written request to the City's Procurement Services Division prior to Agreement award, which request shall identify the provision(s) of the Ordinance authorizing such waiver or exemption and the factual basis for such waiver or exemption. The City shall determine in its sole discretion whether to approve any such request.
8. Consultant agrees to defend, indemnify and hold harmless, the City, its officers and employees, against any claims, actions, damages, costs (including reasonable attorney fees), or other liabilities of any kind arising from any violation of the Requirements or of the Ordinance by Consultant.

The undersigned declares under penalty of perjury under the laws of the State of California that the foregoing is true and correct, and that he or she is authorized to bind the Consultant to the provisions of this Declaration.

  
\_\_\_\_\_  
Signature of Authorized Representative

4/2/07  
\_\_\_\_\_  
Date

Gary D. Jakobs  
\_\_\_\_\_  
Print Name

Vice President  
\_\_\_\_\_  
Title

**EXHIBIT A**

**CONSULTANT AND PROFESSIONAL SERVICES AGREEMENT**

**SCOPE OF SERVICES**

**1. Representatives.**

The CITY Representative for this Agreement is:

*Greg Sandlund, Assistant Planner  
915 I Street, New City Hall, 3<sup>rd</sup> Floor  
Sacramento, CA 95814  
Phone: (916) 808-8931  
Fax: (916) 808-7185  
gsandlund@cityofsacramento.org*

All CONSULTANT questions pertaining to this Agreement shall be referred to the CITY Representative or the Representative's designee.

The CONSULTANT Representative for this Agreement is:

*Heather Kyi, Project Manager  
EDAW Inc.  
2022 J Street  
Sacramento, CA 95814  
Phone: (916) 414-5800  
Fax: (916) 414-5850  
heather.kyi@edaw.com*

All CITY questions pertaining to this Agreement shall be referred to the CONSULTANT Representative. All correspondence to CONSULTANT shall be addressed to the address set forth on page one of this Agreement. Unless otherwise provided in this Agreement, all correspondence to the CITY shall be addressed to the CITY Representative.

- 2. Professional Liability Insurance.** Professional Liability (Errors and Omissions) insurance is X is not \_\_\_ [check one] required for this Agreement. (See Exhibit D, Section 11, for complete insurance requirements.)
- 3. Scope of Services.** The services provided shall be as set forth in Attachment 1 to Exhibit A, attached hereto and incorporated herein.
- 4. Time of Performance.** The services described herein shall be provided during the period, or in accordance with the schedule, set forth in the scope of services.

## SCOPE OF SERVICES

### Task 1: Housing Element Update

We propose the following contents for the Housing Element update:

- an introduction explaining the purpose and scope of the Element;
- analysis of demographic trends, housing characteristics, special needs groups, and existing and future housing needs;
- review of potential market, governmental, and environmental constraints to meeting the City's identified housing needs;
- assessment of the land, financial, and organizational resources available to address identified housing needs goals in the City;
- evaluation of progress and accomplishments made toward the programs under the previous Housing Element; and
- a housing plan to address the City's housing needs, including housing goals, policies, and specific programs.

We also suggest that the Element include an executive summary that highlights key findings and conclusions from each chapter containing an analysis of background information and summarizes the City's housing strategy and quantified objectives.

### A. Community Profile

The Community Profile is designed to review demographic trends, housing characteristics, and special needs groups to identify and determine the extent of housing need.

EDAW will review the City's existing Housing Element, Consolidated Plan (currently being prepared by EDAW), Redevelopment documents and Housing Implementation Plan, and other locally available data to identify information that may be required. We will then discuss with City staff the best means of remedying any data gaps. Using both City-provided data and a variety of outside data sources, EDAW will develop a community profile with the following components. Each section of the community profile will consider the characteristics and needs of extremely low-income households (households earning 30 percent or less of median income).

- i. **Population/Household Characteristics**, including population growth trends, age distribution, race/ethnicity, employment, household size, household income, and an analysis of the prevalence of overcrowding and overpayment. (Included in Chapter 3 of the current Housing Element)
- ii. **Special Housing Needs Analysis** for the disabled, elderly, large families, female-headed households, the homeless, students, farm workers, staff, and other special needs groups in the City of Sacramento. The income characteristics and housing needs of each group will be identified to guide future housing policy. Communication with service providers will provide greater insight into unmet needs of Sacramento residents with special housing needs. (Included in Chapter 3 of the current Housing Element)
- iii. **Housing Stock Characteristics**, such as building permits, housing unit mix, tenure and vacancy rates, rental rates and sales prices, and housing age. This analysis will reveal the relationship between changes in household income and housing costs, the impact upon housing overpayment and overcrowding, and the degree to which available housing addresses the needs of residents. (Included in Chapter 3 of the current Housing Element)
- iv. **Analysis of Publicly Subsidized Rental Housing**. Publicly subsidized housing often comprises a substantial number of below-market rate units affordable to lower income residents. As required by State law, EDAW will analyze publicly assisted

rental housing at risk of converting to non-low income uses by 2018 and develop strategies for the preservation or replacement of the units. (Included in Chapter 8 of the current Housing Element)

## **B. Constraints and Resources**

As part of the Housing Element update, EDAW will analyze the various constraints and resources to meeting housing needs identified in the community profile. Tasks are as follows:

- i. **Review of Constraints** to the development, maintenance, and improvement of housing. These include the cost of development, land use controls, development standards, fees, and permit processing. EDAW will also review existing General Plan and environmental documents to determine the adequacy of wastewater treatment systems, streets and roads, water service, and other facilities to support the development of housing. (Included in Chapter 6 of the current Housing Element)

With passage of SB520 in 2002, jurisdictions must also analyze housing opportunities for persons with disabilities, including building, zoning, land use, and permitting processes that affect housing. EDAW is uniquely qualified to prepare this analysis, having prepared nearly 30 HUD-required fair housing assessments for jurisdictions throughout California.

- ii. **Inventory of Development Capacity** of vacant and underutilized land suitable for new development during the 2008–2013 planning period. An important part of our analysis will focus on how vacant and under-used sites, zoning, and development standards provide sufficient incentive to facilitate and encourage the production of a range in housing types and prices in the community. EDAW will use information on potential sites provided by the City to characterize potential environmental and other constraints that could affect development potential. Another focus of this section will be sites that can accommodate the City's regional housing share should residential development within North Natomas be delayed because of a new FEMA flood designation for the Natomas basin. (Included in Chapter 5 of the current Housing Element)

AB 438 (Torlakson) includes provisions that allow a jurisdiction to fulfill 25 percent of its sites requirement with existing housing units through acquisition/rehabilitation, affordability covenants on existing market rate rental housing, and preservation of at-risk multi-family rental housing. EDAW will explore these opportunities for Sacramento.

- iii. **Inventory of Financial and Administrative Resources** available for program implementation, and administrative resources available to help the City achieve its housing goals. EDAW will prepare an inventory of local, state, and federal financial resources as well as public and private organizations that can help the City further the goals of housing adequacy, housing availability, and housing affordability. (Included in Chapter 9 of the current Housing Element)

## **C. Evaluation of Past Accomplishments**

EDAW will evaluate the success of prior housing programs as measured by the City's achievement of objectives for those programs. EDAW will determine the need to modify policies or programs, introduce new policies or programs, and/or eliminate obsolete programs contained in the draft Housing Element. (Included in Chapter 4 of the current Housing Element)

In preparing the evaluation section of the Housing Element, EDAW will follow the requirements of state law (California Government Code section 65588 [a]), in which the City must evaluate:

- the appropriateness of the housing goals, objectives, and policies in contributing to the attainment of the state housing goal;
- the effectiveness of the housing element in attainment of the community's housing goals and objectives; and
- the progress of the city, county, or city and county in implementation of the housing element.

EDAW will also use guidelines prepared by the California Department of Housing and Community Development (HCD) contained in Housing Element Questions and Answers, which state:

- Review the results of the previous element's goals, objectives, policies, and programs. The results should be quantified where possible (e.g., the number of units rehabilitated), but may be qualitative where necessary (e.g., mitigation of governmental constraints).
- Compare what was projected or planned in the previous element to what was actually achieved. Analyze the significant differences between them. Determine where the previous housing element met, exceeded, or fell short of what was anticipated.

Based on the above analysis, describe how the goals, objectives, policies and programs in the updated element are being changed or adjusted to incorporate what has been learned from the results of the previous element.

## **D. Housing Plan**

The housing plan represents the tangible steps that the City will undertake over the 2008-2013 planning period to address the City's housing needs. Formulation of the housing plan builds upon the housing needs identified in the Community Profile, and an analysis of the Constraints and Resources for meeting those needs. EDAW will prepare a housing plan with the following components:

- iv **Housing Goals and Policies related to the affordability, availability, and adequacy of the City's housing.** EDAW will develop goals and policies to address the identified needs and constraints. Housing goals and policies are expected to reflect the vision and guiding principles in the updated General Plan and the SACOG Blueprint Project Preferred Scenario for diversity of housing to meet the needs of all segments of the community, a greater focus on infill and higher density development (rather than greenfield development in outlying areas), creating or improving neighborhoods with a sense of place and character, and emphasizing community design and layout that reduces reliance in the automobile and links housing, jobs, shopping, parks, schools, and other destinations in proximity to each other. (Included in Chapter 10 of the current Housing Element)
- v **Action Plan to implement the goals and policies of the Element.** Actions will build upon applicable policies and programs developed in the previous Housing Element and will include a detailed statement of how the City intends to implement the actions, the responsible agency(ies), the timeframe(s) for each discrete part of the action, and the proposed funding for implementation. Housing programs will address the development, rehabilitation, and preservation of housing as required by state law. (Included in Chapter 10 of the current Housing Element)

## **Quantified Objectives**

EDAW will recommend quantified objectives for specific actions that lend themselves to quantification and overall quantified objectives that address residential development for all income groups, housing rehabilitation, and the preservation of rental housing, as required by state law. (Included in Chapter 11 of the current Housing Element)

## **E. Other Required Sections**

- vi **General Plan Consistency.** EDAW will describe how the City achieved consistency of the Housing Element with other General Plan elements, with a focus on policies in each of the elements that affect housing issues and policies in the Housing Element that affect land use and other issues covered by the other parts of the General Plan.
- vii **Description of Effort to Achieve Participation by All Segments of the Community.** This section of the Housing Element will summarize the City's public participation program. EDAW will describe how all segments of the community were encouraged to participate in the development of the Housing Element, particularly low-income and special needs residents and organizations providing services to these residents.
- viii **Opportunities for Energy Conservation.** EDAW will describe the role of energy conservation in reducing long-term housing costs, conservation techniques and programs, and local, state, and federal policies and regulations for energy conservation. (Included in Chapter 7 of the current Housing Element)

## **F. Preparation of Housing Element Document**

### ***Administrative Draft Housing Element***

EDAW will combine the sections described above into an Administrative Draft Housing Element for City staff review and comment.

The administrative draft will include an executive summary that provides a quick reference summary of the document.

### ***Public Review Draft Housing Element***

Based upon staff review and comments on the administrative Draft Housing Element, EDAW will prepare a Public Review Draft Housing Element for public comments prior to submission to the California Department of Housing and Community Development (HCD) for its 60-day review period.

Prior to submittal of the Draft Housing Element for HCD review, the City may consider conducting a workshop or study session with the Planning Commission and City Council to ensure buy-off of the Element by the decision makers (see Section H, Community Outreach).

### ***HCD Review Draft Housing Element***

EDAW will revise the Public Review Draft Housing Element based on direction from City staff after decision-maker comments have been received (assuming that a workshop or study session is held with the Planning Commission and City Council). This revised version will become the HCD Review Draft Housing Element. EDAW assumes that, during HCD review of the draft Housing Element, the public comment period will remain open.

EDAW's objective for HCD review will be to guide the City through a successful review process leading to certification of the City's Housing Element as being in compliance with state law. Once the Draft Housing Element has been submitted for state review, HCD staff will review the document for substantial compliance with State law. EDAW will maintain close contact with HCD staff to answer questions and facilitate review of the Housing Element. Should the City need to revise policy and programs to secure a letter of compliance, EDAW will confer with City staff and decision-makers for direction.

EDAW will assist the City during the HCD review process by:

- Coordinating a meeting or conference call with the HCD reviewer prior to the issuance of a comment letter on the draft Housing Element. The purpose of the meeting will be to discuss the Department's preliminary comments and provide clarification or additional information prior to the issuance of the Department's review letter.
- Recommending revisions to the Housing Element to respond to HCD comments.
- Preparing a revised draft Housing Element for re-submittal to HCD, if necessary (our budget assumes one unofficial revised version of the Housing Element for an informal review by HCD).
- Seeking a favorable compliance opinion by HCD prior to Council adoption of the Housing Element, if possible.

### ***Final Administrative Draft Housing Element***

Based on comments received from HCD, and direction provided by the City, EDAW will prepare a final Housing Element. EDAW will prepare a final administrative draft Housing Element for review and approval by City staff prior to producing a final document for a public hearing by the Planning Commissions and adoption by the City Council at a public hearing.

## ***Final Housing Element***

EDAW will prepare a final Housing Element suitable for submittal to HCD after adoption of the document by the City Council. Upon completion of the adoption hearing, the Final Adopted Housing Element will be submitted to HCD for the 90-day review period.

## **G. Community Outreach**

Active public participation helps staff and the consultant team to understand the community's housing needs and priorities. Public participation and such outreach are also required by State law. Because the City has conducted, and will continue to conduct, extensive public outreach related to the General Plan update, EDAW proposes to strategically focus the Housing Element public outreach program to build upon, not duplicate, the General Plan public outreach.

### ***Stakeholder Meetings (2)***

EDAW will facilitate two meetings with housing advocacy groups and developers of housing (affordable and market rate). The purpose of these meeting will be to obtain input from experts who assist low-income and special needs clients in meeting their housing and supportive service needs and from those who build housing. EDAW will provide an agenda, a brief presentation about the Housing Element update and state law requirements, and meeting notes. We anticipate that these meetings will cover the following topics, among others:

- The most pressing and high priority housing and supportive service needs over the next five to seven years;
- What works and what needs refinement regarding current housing programs to meet those needs;
- Whether there continues to be local policies and/or ordinances that create constraints to housing and what actions, if any, the City should consider to reduce those constraints; and
- How the City should prioritize funding that it seeks and makes available for affordable housing projects and programs.

To help frame the discussions at these stakeholder meetings, EDAW anticipates providing summary information from the draft Consolidated Plan, the City's annual report to the City Council on progress in achieving goals and objectives contained in the current Housing Element, and other relevant documents.

Based on our experience in working the City of Sacramento, Sacramento County, and SHRA, we assume that a well established contact list of stakeholders can be provided to EDAW, with some suggestions for additional or modifications on our part.

Our budget assumes that the Principal-in-Charge and either the Project Manager or another EDAW staff member will attend each of these meetings.

### ***Board/Commission/Committee Meetings (14)***

EDAW will conduct 14 public meetings with the various City boards, commissions, and committees as identified by the City:

- Development Oversight Committee
- Disability Advisory Committee
- Design Board
- Preservation Board
- General Plan Advisory Committee
- GPAC- Housing Subcommittee (may need four rather than two)
- Sacramento Housing and Redevelopment Commission

EDAW anticipates two rounds of meetings with each group. The first round will be conducted early in the process to obtain feedback from the various boards, commissions, and committees on the most critical aspects of the City's housing needs, potential policies and programs to meet those needs, and priorities for use of City funding (similar to the topics to be discussed at the stakeholder meetings). We understand that the "flavor" and emphasis of each meeting will vary based on the responsibility and focus of each group. We will customize our presentations to each group accordingly.

For example, we anticipate that our meeting with the Design Board will include a discussion of site planning and building design issues and how future housing needs can be accommodated through high quality design, infill development compatible with existing neighborhoods, and the contribution of new development to the General Plan vision for more compact growth.

We anticipate that the second round of meetings with each group will focus on the draft Housing Element and feedback on the draft. EDAW will provide a summary of that feedback to City staff.

Our budget assumes that the Principal-in-Charge and either the Project Manager or another EDAW staff member will attend each of these meetings.

### ***Community Meetings (4)***

EDAW will facilitate four community meetings, one in each of the City's Neighborhood Service Areas. We anticipate that these meetings will occur early in the process to inform the general public of the Housing Element update process, state law requirements and key issues of focus for the update, a review of achievements under the current Housing Element, and other relevant information. These meetings will provide an opportunity to:

- Answer questions about the Housing Element update and its relationship to the overall General Plan update process, and
- Discuss with participants the same key points as with the stakeholder and board/committee groups.

For each meeting, EDAW will provide a PowerPoint presentation, up to four wall-sized maps showing relevant information related to the Housing Element (such as potential development/infill opportunities and constraints if these have been identified in the General Plan background reports), a questionnaire that participants can complete at the meeting or submit at a later time, and a set of meeting notes. Our budget assumes that the Principal-in-Charge and either the Project Manager or another EDAW staff member will attend these community meetings.

For each community meetings, EDAW assumes that City staff will arrange for a meeting location, notify the public of the meeting, provide copies of relevant documents (such as the current Housing Element), and handle other meeting logistics.

### ***Public Hearings (4)***

EDAW will attend two rounds of meetings before the Planning Commission and City Council: 1) prior to submittal to HCD for review, and 2) after state review for adoption of the Housing Element. The first round of meetings could be either a formal public hearing on the Public Review Draft Housing Element or a less formal workshop or study session before the Planning Commission and City Council. The purpose of this first round of meetings would be to obtain decision maker feedback and direction prior to submitting the draft Housing Element for state review.

After the Housing Element has been reviewed by HCD, EDAW will attend one public hearing before the Planning Commission and a second public hearing before the City Council on the final Element. The second round of public hearings would lead to adoption of the Housing Element. Comments from the public and direction from the Planning Commission and City Council will be incorporated into the Final Housing Element.

EDAW has budgeted for public hearings, assuming that each will last one meeting and not be continued.

## H. Environmental Documentation

Based on our experience and expectation that CEQA review for the Housing Element can rely on information from the General Plan update Master EIR (MEIR), EDAW anticipates preparing an Initial Study (IS) according to sections 15175 to 15175.9 of the CEQA Guidelines (relating to subsequent projects within the scope of an MEIR). We have budgeted for CEQA compliance assuming this level of review. We will use the MEIR being prepared for the updated General Plan as the basis of our analysis and conclusions for the IS.

Unless the Housing Element directs changes in land use to accommodate the City's regional housing share or other actions that constitute projects under CEQA (not analyzed in the General Plan MEIR), we do not foresee the need for a Mitigated Negative Declaration or focused EIR to accompany the Housing Element. Rather, we have assumed the preparation of an Initial Study using the most current State CEQA Guidelines checklist and/or the City's preferred format. Our assumption would require that the Housing Element be adopted after the General Plan and certification of the MEIR. With this assumption, the City could then decide whether the Housing Element is a subsequent project under CEQA which the lead agency can determine as being within the scope of the Master EIR, subject to only an Initial Study. If so, neither a new environmental document nor the preparation of findings pursuant to section 15091 of the CEQA Guidelines would be required (with limited exceptions as noted below).

The need for a separate CEQA document could occur as a result of FEMA actions related to North Natomas, or for other reasons, whereby the Housing Element will require a separate analysis of impacts based on proposed land use changes not contained in the General Plan Land Use Element and not addressed in the MEIR. If this unlikely scenario occurs, EDAW will provide a supplemental work scope and budget for the additional level of effort associated with the preparation of the appropriate CEQA document, either:

1. A Mitigated Negative Declaration if the IS identifies potentially new or additional significant environmental effects that were not analyzed in the MEIR, with proposed mitigation measures to avoid or mitigate the identified effects to less than significant; or
2. A focused EIR if adoption of the updated Housing Element may have a significant effect on the environment that cannot be mitigated through an MND.

The IS will analyze whether the Housing Element was described in the MEIR and whether the subsequent project may cause any additional significant effect on the environment that was not previously examined in the MEIR. It will be important for EDAW to coordinate with the City's General Plan MEIR consultant to ensure that the MEIR anticipates an update to the Housing Element as a subsequent project so that we can make this finding.

Through the Initial Study, we anticipate preparing written findings that no additional significant environmental effect will result from the Housing Element update, no new additional mitigation measures or alternatives may be required, and the Housing Element update is within the scope of the MEIR.

As required by the CEQA Guidelines, EDAW will prepare a Notice of Determination for filing by the City with the Sacramento County Clerk.

## TASK 2: HOUSING PROGRAM

### A. Homeownership Options for Moderate-Income Households

EDAW will research homeownership program options that would expand ownership opportunities for moderate-income households. Our research will include:

- Programs adopted by other cities facing housing affordability issues that are similar to Sacramento, financial resources for program implementation, and program administration requirements.
- Development of an example program that could be implemented at the City of Sacramento.
- Other techniques for extending homeownership to a wider range of income levels.

Our research and recommendations will be presented in a report to City staff. The level of effort for this task will be on direction provided by City staff and will not exceed a budget of \$36,200.

### **TASK 3: OUTREACH CONTINGENCY**

This task will be used as project contingency if there is any additional and unforeseen work for the Housing Element (Task 1), particularly related to community outreach, not to exceed \$10,000.

#### **Meeting Provisions**

The proposed meeting budget is for the number and types of meetings described in Task 1 of the scope of work. If one or more of these meetings is not needed, an equivalent meeting may be substituted by the City.

## EXHIBIT B

### CONSULTANT AND PROFESSIONAL SERVICES AGREEMENT

#### FEE SCHEDULE/MANNER OF PAYMENT

1. **CONSULTANT's Compensation.** The total of all fees paid to the CONSULTANT for the performance of all services set forth in Exhibit A, including normal revisions (hereafter the "Services"), and for all authorized Reimbursable Expenses, shall not exceed the total sum of \$200,000.
2. **Billable Rates.** CONSULTANT shall be paid for the performance of Services on an hourly rate, daily rate, flat fee, lump sum or other basis, as set forth in Attachment 1 to Exhibit B, attached hereto and incorporated herein.
3. **CONSULTANT's Reimbursable Expenses.** Reimbursable Expenses shall be limited to actual expenditures of CONSULTANT for expenses that are necessary for the proper completion of the Services and shall only be payable if specifically authorized in advance by CITY.
4. **Payments to CONSULTANT.**
  - A. Payments to CONSULTANT shall be made within 30 days after receipt of CONSULTANT's invoice, said payments to be made in proportion to services performed or as otherwise specified in Attachment 1 to Exhibit B. CONSULTANT shall be responsible for the cost of supplying all documentation necessary to verify the monthly billings to the satisfaction of CITY.
  - B. All invoices submitted by CONSULTANT shall contain the following information:
    - (1) Job Name
    - (2) Description of services billed under this invoice, and overall status of project
    - (3) Date of Invoice Issuance
    - (4) Sequential Invoice Number
    - (5) CITY's Purchase Order Number
    - (6) Total Contract Amount
    - (7) Amount of this Invoice (Itemize all Reimbursable Expenses)
    - (8) Total Billed to Date
    - (9) Show Percentage Complete By Task
    - (10) Total Remaining on Contract
    - (11) Updated project schedule. This shall identify those steps that shall be taken to bring the project back on schedule if it is behind schedule.
  - C. Items shall be separated into Services and Reimbursable Expenses. Billings that do not conform to the format outlined above shall be returned to CONSULTANT for correction. CITY shall not be responsible for delays in payment to CONSULTANT resulting from CONSULTANT's failure to comply with the invoice format described below.

D. Requests for payment shall be e-mailed and sent to:

*Greg Sandlund, Assistant Planner  
915 I Street, New City Hall, 3<sup>rd</sup> Floor  
Sacramento, CA 95814  
Phone: (916) 808-8931  
Fax: (916) 808-7185  
[gsandlund@cityofsacramento.org](mailto:gsandlund@cityofsacramento.org),  
[tpace@cityofsacramento.org](mailto:tpace@cityofsacramento.org),  
[mshkal@cityofsacramento.org](mailto:mshkal@cityofsacramento.org).*

5. **Additional Services.** Additional Services are those services related to the scope of services of CONSULTANT set forth in Exhibit A but not anticipated at the time of execution of this Agreement. Additional Services shall be provided only when a Supplemental Agreement authorizing such Additional Services is approved by CITY in accordance with CITY's Supplemental Agreement procedures. CITY reserves the right to perform any Additional Services with its own staff or to retain other consultants to perform said Additional Services.
6. **Accounting Records of CONSULTANT.** During performance of this Agreement and for a period of three (3) years after completing all Services and Additional Services hereunder, CONSULTANT shall maintain all accounting and financial records related to this Agreement, including, but not limited to, records of CONSULTANT's costs for all Services and Additional Services performed under this Agreement and records of CONSULTANT's Reimbursable Expenses, in accordance with generally accepted accounting practices, and shall keep and make such records available for inspection and audit by representatives of the CITY upon reasonable written notice.
7. **Taxes.** CONSULTANT shall pay, when and as due, any and all taxes incurred as a result of CONSULTANT's compensation hereunder, including estimated taxes, and shall provide CITY with proof of such payment upon request. CONSULTANT hereby agrees to indemnify CITY for any claims, losses, costs, fees, liabilities, damages or injuries suffered by CITY arising out of CONSULTANT's breach of this Section 7.

**Attachment 1 to Exhibit B**

**EDAW FEE ESTIMATE**  
**PROJECT TITLE: HOUSING ELEMENT UPDATE AND IMPLEMENTATION ASSISTANCE**  
**DATE: February 22, 2007**

All projections are based on completion date: June 30, 2009

TASKS	Staff Rate/Hour	EDAW										TOTAL Dollars	
		PIC	PM	Support Housing	Support CEQA	GIS	Graphics	Admin/ WP	Total Hours	Total Dollars	VTA Total Dollars		
<b>TASK 1: HOUSING ELEMENT UPDATE</b>		\$185	\$120	\$100	\$115	\$95	\$95	\$85					
A. Community Profile		8	40	100								148	\$16,280
B. Constraints and Resources		24	32	90								146	\$17,280
C. Evaluation of Past Accomplishments		8	12	24								44	\$5,320
D. Housing Plan		32	24	60								116	\$14,800
E. Other Required Sections		8	16	32								56	\$6,600
F. Preparation of Housing Element Document		12	24	40		24		32				156	\$16,380
G. Community Outreach		96	118	40		6		4				274	\$37,820
H. Environmental Documentation		12	8									124	\$15,020
<b>Sub-Total, Task 1</b>		200	274	386	100	30		36				1,064	\$129,500
10% of each Task Line item Total will be withheld until corresponding deliverable has been received													
<b>TASK 2: HOUSING PROGRAM IMPLEMENTATION</b>													
Development of an affordable/attainable homeownership program for moderate-income households, which will include:													
1. Research into existing homeownership programs in other jurisdictions													
2. Identification of potential funding sources													
3. Program development and implementation assistance													
<b>TASK 3: OUTREACH CONTINGENCY</b>													
<b>PROJECT MANAGEMENT</b>		24	36									60	\$8,760
<b>TOTAL LABOR HOURS</b>		224	310	386	100	30		36				1,124	
<b>TOTAL LABOR COST</b>		\$41,440	\$37,200	\$38,600	\$11,500	\$2,850		\$3,060				\$10,000	\$194,460
<b>DIRECT COSTS</b>													
1. Printing/Reproduction Allowance (City to be charged actual printing costs + 15% handling)													\$2,700
2. Other Expenses													\$2,500
<b>TOTAL DIRECT COSTS</b>													\$5,200
<b>TOTAL ESTIMATED FEES</b>													\$148,660
													\$10,000
													\$199,660

If money or hours are unused in any task upon completion of the task, the remaining money can be reallocated to alternate tasks as needed.

**EXHIBIT C**  
**CONSULTANT AND PROFESSIONAL SERVICES AGREEMENT**  
**FACILITIES AND EQUIPMENT TO BE PROVIDED BY CITY**

CITY shall *[check one]*        X      Not furnish any facilities or equipment for this Agreement; or  
  \_\_\_\_\_    furnish the following facilities or equipment for the Agreement; *[list, if applicable]*

**EXHIBIT D  
CONSULTANT AND PROFESSIONAL SERVICES AGREEMENT**

**GENERAL PROVISIONS**

**1. Independent Contractor.**

- A. It is understood and agreed that CONSULTANT (including CONSULTANT's employees) is an independent contractor and that no relationship of employer-employee exists between the parties hereto for any purpose whatsoever. Neither CONSULTANT nor CONSULTANT's assigned personnel shall be entitled to any benefits payable to employees of CITY. CITY is not required to make any deductions or withholdings from the compensation payable to CONSULTANT under the provisions of this Agreement, and CONSULTANT shall be issued a Form 1099 for its services hereunder. As an independent contractor, CONSULTANT hereby agrees to indemnify and hold CITY harmless from any and all claims that may be made against CITY based upon any contention by any of CONSULTANT's employees or by any third party, including but not limited to any state or federal agency, that an employer-employee relationship or a substitute therefor exists for any purpose whatsoever by reason of this Agreement or by reason of the nature and/or performance of any Services under this Agreement. (As used in this Exhibit D, the term "Services" shall include both Services and Additional Services as such terms are defined elsewhere in this Agreement.)
  
- B. It is further understood and agreed by the parties hereto that CONSULTANT, in the performance of its obligations hereunder, is subject to the control and direction of CITY as to the designation of tasks to be performed and the results to be accomplished under this Agreement, but not as to the means, methods, or sequence used by CONSULTANT for accomplishing such results. To the extent that CONSULTANT obtains permission to, and does, use CITY facilities, space, equipment or support services in the performance of this Agreement, this use shall be at the CONSULTANT's sole discretion based on the CONSULTANT's determination that such use will promote CONSULTANT's efficiency and effectiveness. Except as may be specifically provided elsewhere in this Agreement, the CITY does not require that CONSULTANT use CITY facilities, equipment or support services or work in CITY locations in the performance of this Agreement.
  
- C. If, in the performance of this Agreement, any third persons are employed by CONSULTANT, such persons shall be entirely and exclusively under the direction, supervision, and control of CONSULTANT. Except as may be specifically provided elsewhere in this Agreement, all terms of employment, including hours, wages, working conditions, discipline, hiring, and discharging, or any other terms of employment or requirements of law, shall be determined by CONSULTANT. It is further understood and agreed that CONSULTANT shall issue W-2 or 1099 Forms for income and employment tax purposes, for all of CONSULTANT's assigned personnel and subcontractors.

D. The provisions of this Section 1 shall survive any expiration or termination of this Agreement. Nothing in this Agreement shall be construed to create an exclusive relationship between CITY and CONSULTANT. CONSULTANT may represent, perform services for, or be employed by such additional persons or companies as CONSULTANT sees fit provided that CONSULTANT does not violate the provisions of Section 5, below.

2. **Licenses; Permits, Etc.** CONSULTANT represents and warrants that CONSULTANT has all licenses, permits, City Business Operations Tax Certificate, qualifications, and approvals of whatsoever nature that are legally required for CONSULTANT to practice its profession or provide any services under the Agreement. CONSULTANT represents and warrants that CONSULTANT shall, at its sole cost and expense, keep in effect or obtain at all times during the term of this Agreement any licenses, permits, and approvals that are legally required for CONSULTANT to practice its profession or provide such Services. Without limiting the generality of the foregoing, if CONSULTANT is an out-of-state corporation, CONSULTANT warrants and represents that it possesses a valid certificate of qualification to transact business in the State of California issued by the California Secretary of State pursuant to Section 2105 of the California Corporations Code.
3. **Time.** CONSULTANT shall devote such time and effort to the performance of Services pursuant to this Agreement as is necessary for the satisfactory and timely performance of CONSULTANT's obligations under this Agreement. Neither party shall be considered in default of this Agreement, to the extent that party's performance is prevented or delayed by any cause, present or future, that is beyond the reasonable control of that party.
4. **CONSULTANT Not Agent.** Except as CITY may specify in writing, CONSULTANT and CONSULTANT's personnel shall have no authority, express or implied, to act on behalf of CITY in any capacity whatsoever as an agent. CONSULTANT and CONSULTANT's personnel shall have no authority, express or implied, to bind CITY to any obligations whatsoever.
5. **Conflicts of Interest.** CONSULTANT covenants that neither it, nor any officer or principal of its firm, has or shall acquire any interest, directly or indirectly, that would conflict in any manner with the interests of CITY or that would in any way hinder CONSULTANT's performance of Services under this Agreement. CONSULTANT further covenants that in the performance of this Agreement, no person having any such interest shall be employed by it as an officer, employee, agent or subcontractor, without the written consent of CITY. CONSULTANT agrees to avoid conflicts of interest or the appearance of any conflicts of interest with the interests of CITY at all times during the performance of this Agreement. If CONSULTANT is or employs a former officer or employee of the CITY, CONSULTANT and any such employee(s) shall comply with the provisions of Sacramento City Code Section 2.16.090 pertaining to appearances before the City Council or any CITY department, board, commission or committee.
6. **Confidentiality of CITY Information.** During performance of this Agreement, CONSULTANT may gain access to and use CITY information regarding inventions, machinery, products, prices, apparatus, costs, discounts, future plans, business affairs, governmental affairs, processes, trade secrets, technical matters, systems, facilities, customer lists, product design, copyright, data, and other vital information (hereafter collectively referred to as "City Information") that are valuable, special and unique assets of the CITY. CONSULTANT agrees to protect all City Information and treat it as strictly confidential, and further agrees that CONSULTANT shall not

at any time, either directly or indirectly, divulge, disclose or communicate in any manner any City Information to any third party without the prior written consent of CITY. In addition, CONSULTANT shall comply with all CITY policies governing the use of the CITY network and technology systems, as set forth in applicable provisions of the City of Sacramento Administrative Policy Instructions # 30. A violation by CONSULTANT of this Section 6 shall be a material violation of this Agreement and shall justify legal and/or equitable relief.

**7. CONSULTANT Information.**

- A. CITY shall have full ownership and control, including ownership of any copyrights, of all information prepared, produced, or provided by CONSULTANT pursuant to this Agreement. In this Agreement, the term "information" shall be construed to mean and include: any and all work product, submittals, reports, plans, specifications, and other deliverables consisting of documents, writings, handwritings, typewriting, printing, photostatting, photographing, computer models, and any other computerized data and every other means of recording any form of information, communications, or representation, including letters, works, pictures, drawings, sounds, or symbols, or any combination thereof. CONSULTANT shall not be responsible for any unauthorized modification or use of such information for other than its intended purpose by CITY.
- B. CONSULTANT shall fully defend, indemnify and hold harmless CITY, its officers and employees, and each and every one of them, from and against any and all claims, actions, lawsuits or other proceedings alleging that all or any part of the information prepared, produced, or provided by CONSULTANT pursuant to this Agreement infringes upon any third party's trademark, trade name, copyright, patent or other intellectual property rights. CITY shall make reasonable efforts to notify CONSULTANT not later than ten (10) days after CITY is served with any such claim, action, lawsuit or other proceeding, provided that CITY's failure to provide such notice within such time period shall not relieve CONSULTANT of its obligations hereunder, which shall survive any termination or expiration of this Agreement.
- C. All proprietary and other information received from CONSULTANT by CITY, whether received in connection with CONSULTANT's proposal to CITY or in connection with any Services performed by CONSULTANT, will be disclosed upon receipt of a request for disclosure, pursuant to the California Public Records Act; provided, however, that, if any information is set apart and clearly marked "trade secret" when it is provided to CITY, CITY shall give notice to CONSULTANT of any request for the disclosure of such information. The CONSULTANT shall then have five (5) days from the date it receives such notice to enter into an agreement with the CITY, satisfactory to the City Attorney, providing for the defense of, and complete indemnification and reimbursement for all costs (including plaintiff's attorney fees) incurred by CITY in any legal action to compel the disclosure of such information under the California Public Records Act. The CONSULTANT shall have sole responsibility for defense of the actual "trade secret" designation of such information.
- D. The parties understand and agree that any failure by CONSULTANT to respond to the notice provided by CITY and/or to enter into an agreement with CITY, in accordance with the provisions of subsection C, above, shall constitute a complete waiver by CONSULTANT of any rights regarding the information designated "trade secret" by

CONSULTANT, and such information shall be disclosed by CITY pursuant to applicable procedures required by the Public Records Act.

8. **Standard of Performance.** CONSULTANT shall perform all Services required pursuant to this Agreement in the manner and according to the standards currently observed by a competent practitioner of CONSULTANT's profession in California. All products of whatsoever nature that CONSULTANT delivers to CITY pursuant to this Agreement shall be prepared in a professional manner and conform to the standards of quality normally observed by a person currently practicing in CONSULTANT's profession, and shall be provided in accordance with any schedule of performance specified in Exhibit A. CONSULTANT shall assign only competent personnel to perform Services pursuant to this Agreement. CONSULTANT shall notify CITY in writing of any changes in CONSULTANT's staff assigned to perform the Services required under this Agreement, prior to any such performance. In the event that CITY, at any time during the term of this Agreement, desires the removal of any person assigned by CONSULTANT to perform Services pursuant to this Agreement, because CITY, in its sole discretion, determines that such person is not performing in accordance with the standards required herein, CONSULTANT shall remove such person immediately upon receiving notice from CITY of the desire of CITY for the removal of such person.

9. **Term; Suspension; Termination.**

- A. This Agreement shall become effective on the date that it is approved by both parties, set forth on the first page of the Agreement, and shall continue in effect until both parties have fully performed their respective obligations under this Agreement, unless sooner terminated as provided herein.
- B. CITY shall have the right at any time to temporarily suspend CONSULTANT's performance hereunder, in whole or in part, by giving a written notice of suspension to CONSULTANT. If CITY gives such notice of suspension, CONSULTANT shall immediately suspend its activities under this Agreement, as specified in such notice.
- C. CITY shall have the right to terminate this Agreement at any time by giving a written notice of termination to CONSULTANT. If CITY gives such notice of termination, CONSULTANT shall immediately cease rendering Services pursuant to this Agreement. If CITY terminates this Agreement:
  - (1) CONSULTANT shall, not later than five days after such notice of termination, deliver to CITY copies of all information prepared pursuant to this Agreement.
  - (2) CITY shall pay CONSULTANT the reasonable value of Services rendered by CONSULTANT prior to termination; provided, however, CITY shall not in any manner be liable for lost profits that might have been made by CONSULTANT had the Agreement not been terminated or had CONSULTANT completed the Services required by this Agreement. In this regard, CONSULTANT shall furnish to CITY such financial information as in the judgment of the CITY is necessary for CITY to determine the reasonable value of the Services rendered by CONSULTANT. The foregoing is cumulative and does not affect any right or remedy that either party may have in law or equity.

**10. Indemnity.**

- A. Indemnity: CONSULTANT shall indemnify and save harmless, CITY, its officers and employees, and each and every one of them, from and against all actions, damages, costs, liability, claims, demands, losses, judgments, penalties, costs and expenses of every type and description, including, but not limited to, any fees and/or costs reasonably incurred by CITY's staff attorneys or outside attorneys and any fees and expenses incurred in enforcing this provision (hereafter collectively referred to as "Liabilities"), to the extent such Liabilities are caused by or arise from any negligent act or omission, recklessness or willful misconduct of CONSULTANT, its subconsultants, subcontractors or agents, and their respective officers and employees, in connection with the performance or nonperformance of this Agreement, whether or not (i) such Liabilities also are caused in part by the passive negligence of the CITY, its officers or employees, (ii) the CITY, its officers or employees reviewed, accepted or approved any service or work product performed or provided by the CONSULTANT, or (iii) such Liabilities are litigated, settled or reduced to judgment.
  
- B. Obligation to Defend: CONSULTANT shall, upon CITY's request, defend at CONSULTANT's sole cost any action, claim, suit, cause of action or portion thereof that asserts or alleges Liabilities caused by or arising from any negligent act or omission, recklessness or willful misconduct of CONSULTANT, its sub-consultants, subcontractors or agents, and their respective officers and employees, in connection with the performance or nonperformance of this Agreement, whether such action, claim, suit, cause of action or portion thereof is well founded or not.
  
- C. Insurance Policies; Intellectual Property Claims: Except as may be expressly provided in this Section 10, the existence or acceptance by CITY of any of the insurance policies or coverages described in this Agreement shall not affect or limit any of CITY's rights under this Section 10, nor shall the limits of such insurance limit the liability of CONSULTANT hereunder. This Section 10 shall not apply to any intellectual property claims, actions, lawsuits or other proceedings subject to the provisions of Section 7.B., above. The provisions of this Section 10 shall survive any expiration or termination of this Agreement.

**11. Insurance Requirements.** During the entire term of this Agreement, CONSULTANT shall maintain the insurance coverage described in this Section 11.

Full compensation for all premiums that CONSULTANT is required to pay for the insurance coverage described herein shall be included in the compensation specified for the Services provided by CONSULTANT under this Agreement. No additional compensation will be provided for CONSULTANT's insurance premiums.

It is understood and agreed by the CONSULTANT that its liability to the CITY shall not in any way be limited to or affected by the amount of insurance coverage required or carried by the CONSULTANT in connection with this Agreement.

A. Minimum Scope & Limits of Insurance Coverage

- (1) Commercial General Liability Insurance, providing coverage at least as broad as

ISO CGL Form 00 01 or equivalent on an occurrence basis for bodily injury, including death, of one or more persons, property damage and personal injury, with limits of not less than one million dollars (\$1,000,000) per occurrence. The policy shall provide contractual liability and products and completed operations coverage for the term of the policy.

- (2) Automobile Liability Insurance providing coverage at least as broad as ISO Form CA 00 01 or equivalent on an occurrence basis for bodily injury, including death, of one or more persons, property damage and personal injury, with limits of not less than one million dollars (\$1,000,000) per occurrence. The policy shall provide coverage for owned, non-owned and/or hired autos as appropriate to the operations of the CONSULTANT.

No automobile liability insurance shall be required if CONSULTANT completes the following certification:

"I certify that a motor vehicle will not be used in the performance of any work or services under this agreement." \_\_\_\_\_ (CONSULTANT initials)

- (3) Workers' Compensation Insurance with statutory limits, and Employers' Liability Insurance with limits of not less than one million dollars (\$1,000,000). The Worker's Compensation policy shall include a waiver of subrogation for contracts involving construction or maintenance.
- (4) Professional Liability Insurance providing coverage on a claims made basis for errors, omissions or malpractice with limits of not less than one million (\$1,000,000) dollars if required by the CITY by selecting the option below:

X  Professional liability insurance is required and must be continued for at least  5  year(s) following the completion of all Services and Additional Services under this Agreement.

B. Additional Insured Coverage

- (1) Commercial General Liability Insurance: The CITY, its officials, employees and volunteers shall be covered by policy terms or endorsement as additional insureds as respects general liability arising out of activities performed by or on behalf of CONSULTANT, products and completed operations of CONSULTANT, and premises owned, leased or used by CONSULTANT. The general liability additional insured endorsement must be signed by an authorized representative of the insurance carrier for contracts involving construction or maintenance, or if required by the CITY by selecting the option below:

\_\_\_\_\_ Additional insured endorsement must be signed by an authorized representative of the insurance carrier.

If the policy includes a blanket additional insured endorsement or contractual additional insured coverage, the above signature requirement may be fulfilled by

submitting that document with a signed declaration page referencing the blanket endorsement or policy form.

- (2) Automobile Liability Insurance: The CITY, its officials, employees and volunteers shall be covered by policy terms or endorsement as additional insureds as respects auto liability.

C. Other Insurance Provisions

The policies are to contain, or be endorsed to contain, the following provisions:

- (1) Except for professional liability, CONSULTANT's insurance coverage shall be primary insurance as respects CITY, its officials, employees and volunteers. Any insurance or self-insurance maintained by CITY, its officials, employees or volunteers shall be in excess of CONSULTANT's insurance and shall not contribute with it.
- (2) Any failure to comply with reporting provisions of the policies shall not affect coverage provided to CITY, its officials, employees or volunteers.
- (3) Coverage shall state that CONSULTANT's insurance shall apply separately to each insured against whom claim is made or suit is brought, except with respect to the limits of the insurer's liability.
- (4) CITY will be provided with thirty (30) days written notice of cancellation or material change in the policy language or terms.

D. Acceptability of Insurance

Insurance shall be placed with insurers with a Bests' rating of not less than A:V. Self-insured retentions, policy terms or other variations that do not comply with the requirements of this Section 11 must be declared to and approved by the CITY Risk Management Division in writing prior to execution of this Agreement.

E. Verification of Coverage

- (1) CONSULTANT shall furnish CITY with certificates and required endorsements evidencing the insurance required. The certificates and endorsements shall be forwarded to the CITY representative named in Exhibit A. Copies of policies shall be delivered to the CITY on demand. Certificates of insurance shall be signed by an authorized representative of the insurance carrier.
- (2) The CITY may withdraw its offer of contract or cancel this Agreement if the certificates of insurance and endorsements required have not been provided prior to execution of this Agreement. The CITY may withhold payments to CONSULTANT and/or cancel the Agreement if the insurance is canceled or CONSULTANT otherwise ceases to be insured as required herein.

F. Subcontractors

CONSULTANT shall require and verify that all sub-consultants and subcontractors maintain insurance coverage that meets the minimum scope and limits of insurance coverage specified in subsection A, above.

**12. Equal Employment Opportunity.** During the performance of this Agreement, CONSULTANT, for itself, its assignees and successors in interest, agrees as follows:

- A. Compliance With Regulations: CONSULTANT shall comply with the Executive Order 11246 entitled "Equal Opportunity in Federal Employment", as amended by Executive Order 11375 and 12086, and as supplemented in Department of Labor regulations (41 CFR Chapter 60), hereinafter collectively referred to as the "Regulations".
- B. Nondiscrimination: CONSULTANT, with regards to the work performed by it after award and prior to completion of the work pursuant to this Agreement, shall not discriminate on the ground of race, color, religion, sex, national origin, age, marital status, physical handicap or sexual orientation in selection and retention of subcontractors, including procurement of materials and leases of equipment. CONSULTANT shall not participate either directly or indirectly in discrimination prohibited by the Regulations.
- C. Solicitations for Subcontractors, Including Procurement of Materials and Equipment: In all solicitations either by competitive bidding or negotiations made by CONSULTANT for work to be performed under any subcontract, including all procurement of materials or equipment, each potential subcontractor or supplier shall be notified by CONSULTANT of CONSULTANT's obligation under this Agreement and the Regulations relative to nondiscrimination on the ground of race, color, religion, sex, national origin, age, marital status, physical handicap or sexual orientation.
- D. Information and Reports: CONSULTANT shall provide all information and reports required by the Regulations, or by any orders or instructions issued pursuant thereto, and shall permit access to its books, records, accounts, other sources of information and its facilities as may be determined by the CITY to be pertinent to ascertain compliance with such Regulations, orders and instructions. Where any information required of CONSULTANT is in the exclusive possession of another who fails or refuses to furnish this information, CONSULTANT shall so certify to the CITY, and shall set forth what efforts it has made to obtain the information.
- E. Sanctions for Noncompliance: In the event of noncompliance by CONSULTANT with the nondiscrimination provisions of this Agreement, the CITY shall impose such sanctions as it may determine to be appropriate including, but not limited to:
  - (1) Withholding of payments to CONSULTANT under this Agreement until CONSULTANT complies;
  - (2) Cancellation, termination, or suspension of the Agreement, in whole or in part.
- F. Incorporation of Provisions: CONSULTANT shall include the provisions of subsections A through E, above, in every subcontract, including procurement of materials and leases of equipment, unless exempted by the Regulations, or by any order or instructions issued

pursuant thereto. CONSULTANT shall take such action with respect to any subcontract or procurement as the CITY may direct as a means of enforcing such provisions including sanctions for noncompliance; provided, however, that in the event CONSULTANT becomes involved in, or is threatened with, litigation with a subcontractor or supplier as a result of such direction, CONSULTANT may request CITY to enter such litigation to protect the interests of CITY.

13. **Entire Agreement.** This document, including all Exhibits, contains the entire agreement between the parties and supersedes whatever oral or written understanding they may have had prior to the execution of this Agreement. No alteration to the terms of this Agreement shall be valid unless approved in writing by CONSULTANT, and by CITY, in accordance with applicable provisions of the Sacramento City Code.
14. **Severability.** If any portion of this Agreement or the application thereof to any person or circumstance shall be held invalid or unenforceable, the remainder of this Agreement shall not be affected thereby and shall be enforced to the greatest extent permitted by law.
15. **Waiver.** Neither CITY acceptance of, or payment for, any Service or Additional Service performed by CONSULTANT, nor any waiver by either party of any default, breach or condition precedent, shall be construed as a waiver of any provision of this Agreement, nor as a waiver of any other default, breach or condition precedent or any other right hereunder.
16. **Enforcement of Agreement.** This Agreement shall be governed, construed and enforced in accordance with the laws of the State of California. Venue of any litigation arising out of or connected with this Agreement shall lie exclusively in the state trial court or Federal District Court located in Sacramento County in the State of California, and the parties consent to jurisdiction over their persons and over the subject matter of any such litigation in such courts, and consent to service of process issued by such courts.
17. **Assignment Prohibited.** The expertise and experience of CONSULTANT are material considerations for this Agreement. CITY has a strong interest in the qualifications and capability of the persons and entities who will fulfill the obligations imposed on CONSULTANT under this Agreement. In recognition of this interest, CONSULTANT shall not assign any right or obligation pursuant to this Agreement without the written consent of the CITY. Any attempted or purported assignment without CITY's written consent shall be void and of no effect.
18. **Binding Effect.** This Agreement shall be binding on the heirs, executors, administrators, successors and assigns of the parties, subject to the provisions of Section 17, above.

## **EXHIBIT E**

### **REQUIREMENTS OF THE NON-DISCRIMINATION IN EMPLOYEE BENEFITS CODE**

#### **INTRODUCTION**

The Sacramento Non-Discrimination In Employee Benefits Code (the "Ordinance"), codified as Sacramento City Code Chapter 3.54, prohibits City contractors from discriminating in the provision of employee benefits between employees with spouses and employees with domestic partners, and between the spouses and domestic partners of employees.

#### **APPLICATION**

The provisions of the Ordinance apply to any contract or agreement (as defined below), between a Contractor and the City of Sacramento, in an amount exceeding \$25,000.00. The Ordinance applies to that portion of a contractor's operations that occur: (i) within the City of Sacramento; (ii) on real property outside the City of Sacramento if the property is owned by the City or if the City has a right to occupy the property; or (iii) at any location where a significant amount of work related to a City contract is being performed.

The Ordinance does not apply: to subcontractors or subcontracts of any Contractor or contractors; to transactions entered into pursuant to cooperative purchasing agreements approved by the Sacramento City Council; to legal contracts of other governmental jurisdictions or public agencies without separate competitive bidding by the City; where the requirements of the ordinance will violate or are inconsistent with the terms or conditions of a grant, subvention or agreement with a public agency or the instructions of an authorized representative of any such agency with respect to any such grant, subvention or agreement; to permits for excavation or street construction; or to agreements for the use of City right-of-way where a contracting utility has the power of eminent domain.

#### **DEFINITIONS**

As set forth in the Ordinance, the following definitions apply:

"Contract" means an agreement for public works or improvements to be performed, or for goods or services to be purchased or grants to be provided, at the expense of the City or to be paid out of moneys deposited in the treasury or out of the trust money under the control or collected by the City. "Contract" also means a written agreement for the exclusive use ("exclusive use" means the right to use or occupy real property to the exclusion of others, other than the right reserved by the fee owner) or occupancy of real property for a term exceeding 29 days in any calendar year, whether by singular or cumulative instrument, (i) for the operation or use by others of real property owned or controlled by the City for the operation of a business, social, or other establishment or organization, including leases, concessions, franchises and easements, or (ii) for the City's use or occupancy of real property owned by others, including leases, concessions, franchises and easements.

"Contract" shall not include: a revocable at-will use or encroachment permit for the use of or encroachment on City property regardless of the ultimate duration of such permit; excavation, street construction or street use permits; agreements for the use of City right-of-way where a contracting utility has the power of eminent domain; or agreements governing the use of City property that constitute a public forum for activities that are primarily for the purpose of espousing or advocating causes or ideas

and that are generally protected by the First Amendment to the United States Constitution or that are primarily recreational in nature.

"Contractor" means any person or persons, firm partnership or corporation, company, or combination thereof, that enters into a Contract with the City. "Contractor" does not include a public entity.

"Domestic Partner" means any person who has a currently registered domestic partnership with a governmental entity pursuant to state or local law authorizing the registration.

"Employee Benefits" means bereavement leave; disability, life, and other types of insurance; family medical leave; health benefits; membership or membership discounts; moving expenses; pension and retirement benefits; vacation; travel benefits; and any other benefit given to employees. "Employee benefits" shall not include benefits to the extent that the application of the requirements of this chapter to such benefits may be preempted by federal or state.

### **CONTRACTOR'S OBLIGATION TO PROVIDE THE CITY WITH DOCUMENTATION AND INFORMATION**

Contractor shall provide the City with documentation and information verifying its compliance with the requirements of the Ordinance within ten (10) days of receipt of a request from the City. Contractors shall keep accurate payroll records, showing, for each City Contract, the employee's name, address, Social Security number, work classification, straight time pay rate, overtime pay rate, overtime hours worked, status and exemptions, and benefits for each day and pay period that the employee works on the City Contract. Each request for payroll records shall be accompanied by an affidavit to be completed and returned by the Contractor, as stated, attesting that the information contained in the payroll records is true and correct, and that the Contractor has complied with the requirements of the Ordinance. A violation of the Ordinance or noncompliance with the requirements of the Ordinance shall constitute a breach of contract.

### **EMPLOYER COMPLIANCE CERTIFICATE AND NOTICE REQUIREMENTS**

(a) All contractors seeking a Contract subject to the Ordinance shall submit a completed Declaration of Compliance Form, signed by an authorized representative, with each proposal, bid or application. The Declaration of Compliance shall be made a part of the executed contract, and will be made available for public inspection and copying during regular business hours.

(b) The Contractor shall give each existing employee working directing on a City contract, and (at the time of hire), each new employee, a copy of the notification provided as Attachment "A."

(c) Contractor shall post, in a place visible to all employees, a copy of the notice provided as Attachment "B."

## Attachment A



### **YOUR RIGHTS UNDER THE CITY OF SACRAMENTO'S NON-DISCRIMINATION IN EMPLOYEE BENEFITS CODE**

On ..... (date), your employer (the "Employer") entered into a contract with the City of Sacramento (the "City") for ..... (contract details), and as a condition of that contract, agreed to abide by the requirements of the City's Non-Discrimination In Employee Benefits Code (Sacramento City Code Section 3.54).

The Ordinance does not require the Employer to provide employee benefits. The Ordinance does require that if certain employee benefits are provided by the Employer, that those benefits be provided without discrimination between employees with spouses and employees with domestic partners, and without discrimination between the spouse or domestic partner of employees.

The Ordinance covers any employee working on the specific contract referenced above, but only for the period of time while those employees are actually working on this specific contract.

The included employee benefits are:

- Bereavement leave
- Disability, life and other types of insurance
- Family medical leave
- Health benefits
- Membership or membership discounts
- Moving expenses
- Pension and retirement benefits
- Vacation
- Travel benefits
- Any other benefits given to employees

(Employee Benefits does not include benefits that may be preempted by federal or state law.)

If you feel you have been discriminated or retaliated against by your employer in the terms and conditions of your application for employment, or in your employment, or in the application of these employee benefits, because of your status as an applicant or as an employee protected by the Ordinance, or because you reported a violation of the Ordinance, and after having exhausted all remedies with your employer,

**You May . . .**

- Submit a written complaint to the City of Sacramento, Contract Services Unit, containing the details of the alleged violation. The address is:

City of Sacramento  
Contract Services Unit  
921 10th St., Room 402  
Sacramento, CA 95814-2714

- Bring an action in the appropriate division of the Superior Court of the State of California against the Employer and obtain the following remedies:
  - Reinstatement, injunctive relief, compensatory damages and punitive damages
  - Reasonable attorney's fees and costs

## Attachment B



### **YOUR RIGHTS UNDER THE CITY OF SACRAMENTO'S NON-DISCRIMINATION IN EMPLOYEE BENEFITS CODE**

If your employer provides employee benefits, they must be provided to those employees working on a City of Sacramento contract without discriminating between employees with spouses and employees with domestic partners.

The included employee benefits are:

- Bereavement leave
- Disability, life and other types of insurance
- Family medical leave
- Health benefits
- Membership or membership discounts
- Moving expenses
- Pension and retirement benefits
- Vacation
- Travel benefits
- Any other benefits given to employees

If you feel you have been discriminated against by your employer . . .

#### **You May . . .**

- Submit a written complaint to the City of Sacramento, Contract Services Unit, containing the details of the alleged violation. The address is:  
  
City of Sacramento  
Contract Services Unit  
921 10th St., Room 402  
Sacramento, CA 95814-2714
- Bring an action in the appropriate division of the Superior Court of the State of California against the employer and obtain reinstatement, injunctive relief, compensatory damages, punitive damages and reasonable attorney's fees and costs.

#### **Discrimination and Retaliation Prohibited.**

If you feel you have been discriminated or retaliated against by your employer in the terms and conditions of your application for employment, or in your employment, because of your status as an applicant or as an employee protected by the Ordinance, or because you reported a violation of this Ordinance . . .

#### **You May Also . . .**

Submit a written complaint to the City of Sacramento, Contract Services Unit, at the same address, containing the details of the alleged violation.

# ACORD™ CERTIFICATE OF LIABILITY INSURANCE

04/01/2008

DATE (MM/DD/YY)  
04/04/2007

**PRODUCER**  
Lockton Companies, LLC-L Los Angeles  
19800 MacArthur Blvd., Suite 550  
CA License #0F15767  
Irvine CA 92612  
949-252-4400

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW.

### INSURERS AFFORDING COVERAGE

**INSURED**  
1075642 EDAW, Inc.  
2022 J Street  
Sacramento CA 95814

INSURER A: Insurance Co. State of Pennsylvania  
INSURER B: National Union Fire Insurance Co.  
INSURER C:  
INSURER D:  
INSURER E:

### COVERAGES AECTE01 OE

THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER AND THE CERTIFICATE HOLDER.

THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. AGGREGATE LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	POLICY NUMBER	POLICY EFFECTIVE DATE (MM/DD/YY)	POLICY EXPIRATION DATE (MM/DD/YY)	LIMITS
	<b>GENERAL LIABILITY</b> <input type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS MADE <input type="checkbox"/> OCCUR  GEN'L AGGREGATE LIMIT APPLIES PER: <input type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC	NOT APPLICABLE			EACH OCCURRENCE \$ XXXXXXXX FIRE DAMAGE (Any one fire) \$ XXXXXXXX MED EXP (Any one person) \$ XXXXXXXX PERSONAL & ADV INJURY \$ XXXXXXXX GENERAL AGGREGATE \$ XXXXXXXX PRODUCTS - COM/OP AGG \$ XXXXXXXX
	<b>AUTOMOBILE LIABILITY</b> <input type="checkbox"/> ANY AUTO <input type="checkbox"/> ALL OWNED AUTOS <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS <input type="checkbox"/> NON-OWNED AUTOS	NOT APPLICABLE			COMBINED SINGLE LIMIT (Ea accident) \$ XXXXXXXX BODILY INJURY (Per person) \$ XXXXXXXX BODILY INJURY (Per accident) \$ XXXXXXXX PROPERTY DAMAGE (Per accident) \$ XXXXXXXX
	<b>GARAGE LIABILITY</b> <input type="checkbox"/> ANY AUTO	NOT APPLICABLE			AUTO ONLY - EA ACCIDENT \$ XXXXXXXX OTHER THAN EA ACC AGG \$ XXXXXXXX
	<b>EXCESS LIABILITY</b> <input type="checkbox"/> OCCUR <input type="checkbox"/> CLAIMS MADE  <input type="checkbox"/> DEDUCTIBLE <input type="checkbox"/> UMBRELLA FORM RETENTION \$	NOT APPLICABLE			EACH OCCURRENCE \$ XXXXXXXX AGGREGATE \$ XXXXXXXX \$ XXXXXXXX \$ XXXXXXXX \$ XXXXXXXX
Λ	<b>WORKERS COMPENSATION AND EMPLOYERS' LIABILITY</b>	WC2921235 (AOS)	04/01/2007	04/01/2008	<input checked="" type="checkbox"/> WC STATU-TORY LIMITS <input type="checkbox"/> OTH-ER E.L. EACH ACCIDENT \$ 1,000,000 E.L. DISEASE - EA EMPLOYEE \$ 1,000,000 E.L. DISEASE - POLICY LIMIT \$ 1,000,000 Sanitary Limits - See Above
Λ		WC2921236 (CA)	04/01/2007	04/01/2008	
Λ		WC2921237 (FL)	04/01/2007	04/01/2008	
Λ		WC2921238 (OR)	04/01/2007	04/01/2008	
B	<b>OTHER</b> Worker's Compensation	WC2921239 (OH,WA,WI,WV,WY)	04/01/2007	04/01/2008	

DESCRIPTION OF OPERATIONS/LOCATIONS/VEHICLES/EXCLUSIONS ADDED BY ENDORSEMENT/SPECIAL PROVISIONS  
Re: Sacramento City Housing Element Update Project#: 07110114 01

**CERTIFICATE HOLDER**  
2834309  
City of Sacramento  
Attn: Greg Sandland, Asst Planner  
915 1st Street 5th Floor  
Sacramento CA 95814

**ADDITIONAL INSURED; INSURER LETTER:** \_\_\_\_\_ **CANCELLATION**  
SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, THE ISSUING INSURER WILL ENDEAVOR TO MAIL 30 DAYS WRITTEN NOTICE TO THE CERTIFICATE HOLDER NAMED TO THE LEFT, BUT FAILURE TO DO SO SHALL IMPOSE NO OBLIGATION OR LIABILITY OF ANY KIND UPON THE INSURER, ITS AGENTS OR REPRESENTATIVES.  
AUTHORIZED REPRESENTATIVE \_\_\_\_\_